

09-26-2005

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

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103088452

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Charles C. Kiehlbauch
James E. Chastain
Daniel P. Leavitt
Randal L. Berardi

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 8/18/05; 8/22/05; 9/19/05

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Alcon, Inc.

Internal Address: _____

Street Address: Bosch 69

City: Hunenberg

State: _____

Country: Switzerland Zip: 6331

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11/207,101

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: W. David Lee

Internal Address: Alcon Research, Ltd.

IP Legal, Q-148

Street Address: 6201 South Freeway

City: Fort Worth

State: Texas Zip: 76134-2099

Phone Number: 817-568-6029

Fax Number: 817-551-4610

Email Address: David.Lee@alconlabs.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 501051

Authorized User Name _____

9. Signature:

W. David Lee

Signature

09/21/05

Date

W. David Lee
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

8

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

09/23/2005 ECOOPER 00000165 501051 11207101

01 FC:8021

40.00 DA

PATENT
REEL: 017005 FRAME: 0874

ASSIGNMENT

WHEREAS, We, the undersigned, have invented certain new and useful improvements in the following:

COUNTER PRESSURE DEVICE FOR OPHTHALMIC DRUG DELIVERY

for which We have made application for United States Letters Patent.

WHEREAS, ALCON, INC., a Swiss corporation having a place of business at Bosch 69, 6331 Hunenberg, Switzerland (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives our entire right, title and interest in and to said invention and in and to said application and all patents which may be granted therefore, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interest is concerned, to the said ASSIGNEE of our entire right, title and interest.

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said applications throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and

We further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said ASSIGNEE, or its successors, assigns and legal representatives, any facts known to us respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

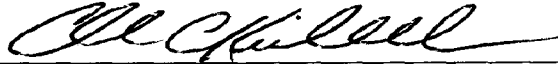
We further authorize and direct Alcon attorneys to insert below* the serial number and filing date of said application now identified as Attorney Docket No. 2745 as soon as the same shall have been made known to them by the United States Patent Office.

*Serial Number: 11/207,101

Filing Date: AUGUST 18, 2005

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 18th day of

August, 2005.



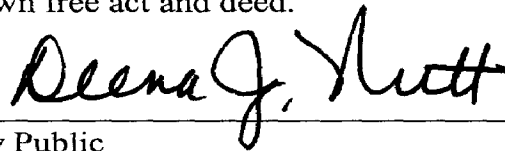
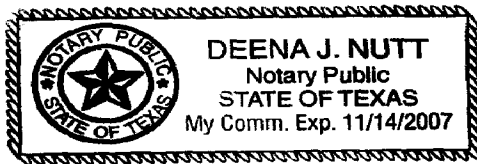
Address: Charles C. Kiehlbauch
1611 Cobblestone Court
Cleburne, TX 76033

State of Texas

§
§
§

County of Tarrant

On this 18th day of August, 2005, before me, a Notary Public in and for the State and County aforesaid, personally appeared Charles C. Kiehlbauch, to me well known and known by me to be the person of the above name who signed and sealed the foregoing instrument, and acknowledged the same to be his own free act and deed.



Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 18th day of

August, 2005.

James E. Chastain

Address:

James E. Chastain
8504 Tangleridge Drive
Fort Worth, TX 76123

State of Texas

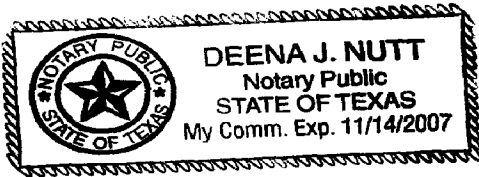
§

County of Tarrant

§

§

On this 18th day of August, 2005, before me, a Notary Public in and for the State and County aforesaid, personally appeared James E. Chastain, to me well known and known by me to be the person of the above name who signed and sealed the foregoing instrument, and acknowledged the same to be his own free act and deed.



Deena J. Nutt

Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 22 day of

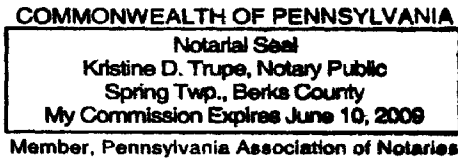
August, 2005.

Daniel P. Leavitt
Daniel P. Leavitt
Address: 11 High Meadow Lane
Leesport, PA 19533

State of Pennsylvania §
County of Berks §

On this 22 day of August, 2005, before me, a Notary Public in and for the State and County aforesaid, personally appeared Daniel P. Leavitt, to me well known and known by me to be the person of the above name who signed and sealed the foregoing instrument, and acknowledged the same to be his own free act and deed.

Kristine D. Trupe
Notary Public



IN WITNESS WHEREOF, I have hereunto set my hand and seal this 19th day of

September, 2005.

Randal L. Berardi
Randal L. Berardi
Address: 115 Briarhill Lane
Ephrata, PA 17522

State of Pennsylvania §
County of Berks §
Lancaster §

On this 19th day of September, 2005, before me, a Notary Public in and for the State and County aforesaid, personally appeared Randal L. Berardi, to me well known and known by me to be the person of the above name who signed and sealed the foregoing instrument, and acknowledged the same to be his own free act and deed.

Kristine D. Trupe
Notary Public

