

FORM PTO-1595
(Rev. 6-93)

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To the Honorable Commissioner of Patents & Trademarks

09-27-2005



103089342

U.S. Department of Commerce
Patent and Trademark Office

OUR FILE CCF-7033NP

original documents or copy thereof.

1. Name of conveying party(ies)/Execution Dates:

Hitinder Gurm; Jay Yadav; and Ji-Feng Chen

Execution Date(s): August 15, 2005; August 24, 2005; and
August 17, 2004

Additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other: _____

2. Name and address of receiving party(ies):

Name: The Cleveland Clinic Foundation

Internal Address: _____

Street Address: 9500 Euclid Avenue

City: Cleveland State: OH Zip: 44195

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A Patent Application No.(s): 11/188,347,
filed July 25, 2005

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Richard S. Wesorick

Internal Address: Tarolli, Sundheim, Covell,
& Tummino L.L.P.

Street Address: 526 Superior Avenue, Suite 1111

City: Cleveland State: OH Zip: 44114-1400

Phone Number: (216) 621-2234

Fax Number: (216) 621-4072

Email Address: RWesorick@tarolli.com

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02 FC:8021

40.00 DP

6. Total number of applications and patents involved: 1

7. Total fee (37 1.21(h) & 3.41).....\$40.00

- ☒ Enclosed is a check for the amount indicated above.
☐ Authorized to be charged to deposit account.
☐ Authorized to be charged by credit card
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card: Last 4 Numbers _____

Expiration Date: _____

Authorized User: _____

b. Deposit Account No.: 20-0090

(charge for insufficient fees only)

(Attach duplicate copy of this page if paying by deposit account)

9. Signature:

Richard S. Wesorick

Name of Person Signing
Reg. No. 40,871

Signature

Date

9/16/05

Total number of pages including cover sheet, attachments, and document: 4

Mail Documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington D.C. 20231

PATENT
REEL: 017013 FRAME: 0863

ASSIGNMENT

WHEREAS, we, Hitinder Gurm, Jay Yadav, and Ji-Feng Chen, of Ann Arbor, County of Washtenaw, and State of Michigan; of Huting Valley, County of Cuyahoga, and State of Ohio; and of Lakewood, County of Cuyahoga, and State of Ohio respectively, have made new and useful improvements in **APPARATUS FOR TREATING ATHEROSCLEROSIS** (generally referred to as CCF Project No.) for which application for Letters Patent of the United States has been filed on July 25, 2005 under Serial No. 11/188,347;

WHEREAS, The Cleveland Clinic Foundation (hereinafter "CCF"), a tax-exempt organization duly organized and existing under the laws of the State of Ohio, having a place of business at Cleveland, County of Cuyahoga, State of Ohio, is desirous of acquiring the entire right, title and interest in and to the said improvements and all patents therefor to be obtained in the United States of America and countries foreign to the United States;

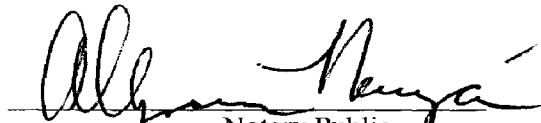
NOW THEREFORE, BE IT KNOWN that, pursuant to CCF's Invention and Discovery Policy as specified in the CCF "Yellow Book" as of this date and for valuable considerations to us paid, the receipt whereof is hereby acknowledged, we, the said Hitinder Gurm, Jay Yadav, and Ji-Feng Chen, and do hereby assign, sell and set over unto the said CCF, its successors or assigns, the entire right, title and interest in and to the said improvements and any and all inventions pertaining thereto, said application Serial No. 11/188,347, for the United States patent, all patents granted therefore in the United States of America and elsewhere, and the right to apply for patents therefore in all countries foreign to the United States and to claim for such applications the priority of United States applications, and we hereby request the Commissioner of Patents of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents, to issue such patents to the said CCF, its successors or assigns; and we further agree to execute such other applications and other instruments and do all other acts as may be deemed necessary by the said CCF, its successors or assigns in order to fully secure, protect and preserve its rights to the said improvements and to obtain patents therefor in the United States of America and all countries foreign to the United States, all without further consideration but at the expense of the said CCF, its successors or assigns.

Signed at ANN ARBOR
County of WASHTENAW and State of MICHIGAN
This 15th day of AUGUST, 2005


Hitinder Gurm

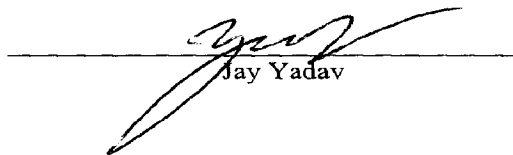
ALLYSON NAVYAC
Notary Public, State of Michigan
County of Washtenaw
STATE OF
COUNTY OF
My Commission Expires Mar. 28, 2008
Acting in the County of Washtenaw

On this 15 day of Aug, 2005
Personally appeared before me Hitinder Gurm to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and the purposes therein mentioned.


Notary Public

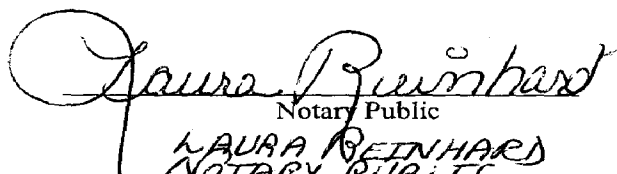
Signed at
County of Cuyahoga
This 24th

and State of Ohio
August , 2005 .


Jay Yadav

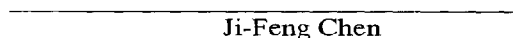
STATE OF Ohio)
COUNTY OF Cuyahoga)

On this 24th day of August , 2005
Personally appeared before me Jay Yadav to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and the purposes therein mentioned.


Notary Public
LAURA REINHARD
NOTARY PUBLIC
STATE OF OHIO COUNTY OF CUYAHOGA
MY COMMISSION EXPIRES 03/26/2010

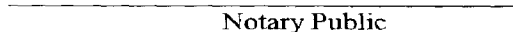
Signed at
County of
This

and State of
day of , 20 .


Ji-Feng Chen

STATE OF)
COUNTY OF)

On this day of , 20 .
Personally appeared before me Ji-Feng Chen to me known to be the person named in and who executed the above instrument, and acknowledged to he that he executed the same for the uses and the purposes therein mentioned.


Notary Public

Signed at
County of
This

day of and State of , 20

Jay Yadav

STATE OF)
COUNTY OF)

On this day of , 20
Personally appeared before me Jay Yadav to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and the purposes therein mentioned.

Notary Public

Signed at
County of Cuyahoga
This 17th

day of August , 2005

Ji-Feng Chen

STATE OF Ohio)
COUNTY OF Cuyahoga)

On this 17th day of August , 2005
Personally appeared before me Ji-Feng Chen to me known to be the person named in and who executed the above instrument, and acknowledged to he that he executed the same for the uses and the purposes therein mentioned.

Notary Public

ISABELITA DELGADO-DEMBIE
Notary Public, State of Ohio
My Commission Expires Oct. 14, 2008