

Form PTO-1595 (Rev. 08/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

- (1) Howard W. Lutnick; and
(2) Kevin M. Foley

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) (1) 11/09/05; and (2) 11/03/05

- ☒ Assignment (partly executed) ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: eSpeed, Inc.

Internal Address: _____

Street Address: 110 East 59th Street

City: New York

State: New York

Country: US Zip: 10022

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
11/215,236, filed August 30, 2005

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ NO

5. Name and address to whom correspondence concerning document should be mailed:

Name: Hassan Albakri

Internal Address: Fish & Neave IP Group

Ropes & Gray LLP

Street Address: 1251 Avenue of the Americas

City: New York

State: New York Zip: 10020

Phone Number: 212.596.9000

Fax Number: 212.596.9090

Email Address: hassan.albakri@ropesgray.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 06-1075
(Order No. 000741-0110)

9. Signature:

Hassan Albakri
Signature

11/16/05
Date

Hassan Albakri (Limited Recognition No. L0013)

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 4

SYSTEMS AND METHODS FOR PROTECTING AGAINST ERRONEOUS PRICE ENTRIES
IN THE ELECTRONIC TRADING OF FINANCIAL AND OTHER INSTRUMENTS
Filed August 30, 2005 (Express Mail # EV619635320US)
ASSIGNMENT

P.050324.1.APP.US_RG

A S S I G N M E N T

WHEREAS, we, Howard W. Lutnick and Kevin M. Foley, along with Joseph Noviello, Lon Steger, Matt Claus and Michael Sweeting, respectively residing and having a post office address at 11 East 71st Street, New York, New York, 10021, 55 East 86th Street, Apt. 15C, New York, NY 10028, 180 West 20th Street, Apt. 7M, New York, New York, 10011, 44 Independence Way, Jersey City, New Jersey, 07305, 216 Oak Ridge Avenue, Summit, New Jersey, 07901, and Innes House, 10 Ayling Lane, Aldershot, England, GU11 3LZ, United Kingdom, have made an invention in:

SYSTEMS AND METHODS FOR PROTECTING AGAINST ERRONEOUS PRICE
ENTRIES IN THE ELECTRONIC TRADING OF FINANCIAL
AND OTHER INSTRUMENTS

and have made application for United States Letters Patent therefor, the same having been filed in the United States Patent and Trademark Office on August 30, 2005 (Express Mail # EV619635320US); and

WHEREAS, eSpeed, Inc., a corporation organized and existing under the laws of Delaware and having an office and place of business at 110 East 59th Street, New York, New York 10022, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

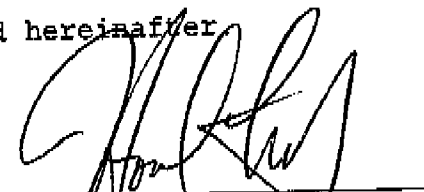
NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid to us, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said eSpeed, Inc., its

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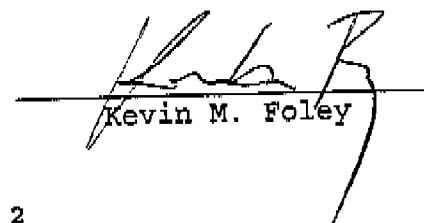
successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereon for all countries of the world, and in and to said United States patent application, including any continuations and divisions thereof, and any substitute applications therefor, and any patents which may issue thereon, and any reissues of the same, and including the right to claim priority under the International Convention based on said patent application; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same; and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said eSpeed, Inc., as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names on the date(s) indicated hereinafter

11/09/05
Date


Howard W. Lutnick

11/03/05
Date


Kevin M. Foley