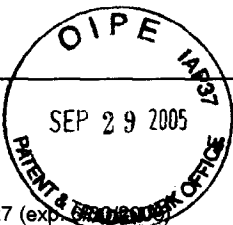


9-29-05

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(Rev. 10/02)



10-06-2005



U.S. DEPARTMENT OF COMMERCE  
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OMB No. 0651-0027 (exp. 06/01/2008)

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Attorney Docket No.: 57A 3726

To the Director of the U.S. Patent & Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

YOSHIKAZU NAKAYAMA, TAKASHI OKAWA, SHIGENOBU  
YAMANAKA, AKIO HARADA, MASATOSHI YASUTAKE and  
YOSHIHARU SHIRAKAWABE

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 8/18/05, 8/23/05, 9/2/05 & 9/6/05

2. Name and address of receiving party(ies):

YOSHIKAZU NAKAYAMA  
9-404, 14-2, Korigaoka 1-chome  
Hirakata-city, Osaka 573-0084 Japan

DAIKEN CHEMICAL CO., LTD.  
7-19, Hanaten-nishi 2 chome, Joto-ku  
Osaka-city, Osaka 536-0011 Japan

SII NANOTECHNOLOGY INC.  
8, Nakase 1-chome, Mihama-ku  
Chiba-city, Chiba 261-0023 Japan

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 11/145,869

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

William L. Androlia  
KODA & ANDROLIA  
2029 Century Park East, Suite 1140  
Los Angeles, CA 90067-2983

6. Total number of applications and patents involved: [ 1 ]

7. Total fee (37 CFR 3.41) \$40

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number: 11-1445

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

William L. Androlia, Reg. No. 27,177

Date: September 27, 2005

Total number of pages including cover sheet, attachments, and documents: [ 4 ]

Mail documents to be recorded with required cover sheet information to:

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PATENT  
REEL: 017046 FRAME: 0606

**ASSIGNMENT**

- (1-8) *Insert Name(s) of Inventor(s)* (1) **YOSHIKAZU NAKAYAMA** (5)  
 (2) (6)  
 (3) (7)  
 (4) (8)
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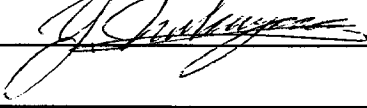
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Date: August 23, 2005 Signature of Inventor(1) 

Date: \_\_\_\_\_ Signature of Inventor(2) \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Inventor(3) \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Inventor(4) \_\_\_\_\_

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A.; (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date: \_\_\_\_\_ Witness \_\_\_\_\_

Date: \_\_\_\_\_ Witness \_\_\_\_\_

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Date: Aug. 18, 2005 Signature of Inventor(1) Takashi Okawa

Date: Aug. 18, 2005 Signature of Inventor(2) Shigenobu Yamataka

Date: Aug. 18, 2005 Signature of Inventor(3) Akio Harada

Date: \_\_\_\_\_ Signature of Inventor(4) \_\_\_\_\_

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Date: \_\_\_\_\_ Witness \_\_\_\_\_

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 (3) (7)  
 (4) (8)

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**SII NANOTECHNOLOGY, INC.**

(10) *Insert state of Incorporation of Assignee* (10) Japan

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Date: sep 06, 2005 Signature of Inventor(1) Masatoshi Yasutake

Date: sep 02, 2005 Signature of Inventor(2) Yoshiharu Shirakawabe

Date: \_\_\_\_\_ Signature of Inventor(3) \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Inventor(4) \_\_\_\_\_

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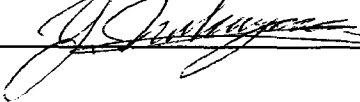
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