Substitute Form PTO-1595 Attorney Docket No.: 07917-277001 Client's Ref. No.: UMMC 04-82

## RECORDATION FORM COVER SHEET **PATENTS ONLY**

Commissioner for Patents: Please record the attached original document(s) or copy(ies).	
Name of conveying party(ies):	Name and address of receiving party(ies):
Charles R. Kiefer, L. Michael Snyder, James B.	University of Massachusetts
McKenney, and Jane F. Trainor	225 Franklin Street, 12th Floor
Additional name(s) attached? ☐ Yes ☒ No	Boston, MA 02110
3. Nature of conveyance:	
■ Assignment ■ Merger	
☐ Security Agreement ☐ Change of Name ☐ Other:	
Execution Date: 11/2/05	Additional names/addresses attached? ☐ Yes 図 No
4. Application number(s) or patent number(s):	
If this document is being filed with a new application, the execution date of the application is:	
A. Patent Application No(s).:	B: Patent No(s).:
11/220,818	
Additional numbers attached? ☐ Yes 图 No	
<ol><li>Name/address of party to whom correspondence concerning document should be mailed:</li></ol>	6. Total number of applications/patents involved: 1
Fish & Richardson P.C.	7. Total fee (37 CFR §3.41): \$40
60 South Sixth Street	☐ Enclosed
Suite 3300	国 Authorized to charge Deposit Account.
Minneapolis, MN 55402	8. Deposit Account No.: 06-1050
	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050, referencing Attorney Docket No. 07917-277001.
DO NOT USE THIS SPACE	
9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and	
any attached copy is a true copy of the original document.	
Janice L. Kugler	11-21-2005
Reg. No. 50,429	Date
Name of Person SignIng Signature Signature	Da( <del>a</del>
Total number of pages including coversheet, attachments and document: 6	

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## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date

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<sup>−</sup>NO.4575<sup>−−−</sup>P. 3

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## ASSIGNMENT

For valuable consideration, we, Charles R. Kiefer, of Shrewsbury, MA; L. Michael Snyder, of Framingham, MA; James B. McKenney, of Hubbardston, MA; Jane F. Trainor, of Webster, MA; hereby assign to UNIVERSITY OF MASSACHUSETTS, a public institution of higher education of the Commonwealth of Massachusetts, having a place of business at 225 Franklin Street, 12th Floor Boston, MA 02110, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of a U.S. Patent application for United States Patent entitled <u>DISEASE MARKERS</u> FOR EARLY STAGE ATHEROSCLEROSIS, filed September 7, 2005, and assigned U.S. Serial Number 11/220,818, this assignment including said application, any and all United States and foreign utility patents and patent applications, including continuation, divisional, reissue, and reexamination applications, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Assignment Page 1 of 5

DATE: <u>02 Nov 2005</u>

CHARLES R. KIEFER

STATE OF Mass.

SS.

COUNTY OF Wascester

On 2, 2005, before me, the undersigned, a notary public for the State of Massachusetts, there personally appeared CHARLES R. KIEFER, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to this Assignment, who acknowledged having executed the same in his authorized capacity and that by his signature on this Assignment, the person or the entity upon behalf of which he acted, executed this Assignment.

WITNESS my hand and official seal.

Notary Public

DATE: 11/1/0=

L. MICHAEL SNYDER

STATE OF Mass

SS.

COUNTY OF Chroster

On Nov 2, 2025, before me, the undersigned, a notary public for the State of Massachusetts, there personally appeared L. MICHAEL SNYDER, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to this Assignment, who acknowledged having executed the same in his authorized capacity and that by his signature on this Assignment, the person or the entity upon behalf of which he acted, executed this Assignment.

WITNESS my hand and official seal.

Notary Public

Ex. 4/17/09

DATE: 11/2/05

JAMES B. MCKENNEY

STATE OF

) :

COUNTY OF Word

On Nov 2, 2005, before me, the undersigned, a notary public for the State of Massachusetts, there personally appeared JAMES B. MCKENNEY, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to this Assignment, who acknowledged having executed the same in his authorized capacity and that by his signature on this Assignment, the person or the entity upon behalf of which he acted,

executed this Assignment.

WITNESS my hand and official seal.

Notary Public

DATE: 11/2/05

JANEY TRAINOR

STATE OF Maso.

SS.

COUNTY OF Whicaster

On Nov. 2, 2005, before me, the undersigned, a notary public for the State of Massachusetts, there personally appeared JANE F. TRAINOR, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to this Assignment, who acknowledged having executed the same in his authorized capacity and that by his signature on this Assignment, the person or the entity upon behalf of which he acted, executed this Assignment.

WITNESS my hand and official seal.

Notary Public

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**RECORDED: 11/21/2005**