

10-03-2005

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



103092814

9/28/05

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies):**

Ashland Products, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**2. Name and address of receiving party(ies)**

Name: Newell Operating Company

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

29 East Stephenson Street

City: Freeport

State: Illinois

Country: USA Zip: 61032-4235

Additional name(s) & address(es) attached: ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s): December 31, 2003

☐ Assignment ☒ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other \_\_\_\_\_

**4. Application or patent number(s):**

A. Patent Application No.(s)

See Attached  
Schedule

Additional numbers attached? ☒ Yes ☐ No

☐ This document is being filed together with a new application.

B. Patent No.(s)

See Attached  
Schedule

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Thomas A. Stine

Internal Address: Atty. Dkt.: 30664/10003

Street Address: \_\_\_\_\_

Marshall, Gerstein & Borun LLP  
233 South Wacker Drive  
6300 Sears Tower

City: Chicago

State: IL Zip: 60606

Phone Number: 312 474 6300

Fax Number: 312 474 0448

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:**

61

**7. Total fee (37 CFR 1.21(h) & 3.41) \$** 2440.00

☐ Authorized to be charged by credit card

☐ Authorized to be charged to deposit account

☒ Enclosed

☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_

Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

Thomas K Stine  
Signature

9/26/05  
Date

\_\_\_\_\_  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

8

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# Schedule of Patent Applications

<u>Application No.</u>	<u>Current Assignee</u>	<u>Title</u>	<u>U.S. Reel / Frame</u>
09/729,996	Ashland Products, Inc.	Tilt-Latch for a Sash Window	011611 / 0929
09/713,163	Ashland Products, Inc.	Actuator for a Tilt-Latch for a Sash Window	011723 / 0690
10/229,726	Ashland Products, Inc.	Snap on Latch Mechanism for a Sash Window	010547 / 0663
10/694,266	Ashland Products, Inc.	Muntin Clip	014348 / 0150
10/752,406	Ashland Products, Inc.	Universal Stop for a Slidable Window	014576 / 0545
29/198,912	Ashland Products, Inc.	Tilt-Latch	014571 / 0754
10/840,895	Ashland Products, Inc.	Balance System for Sash Window Assembly	012704 / 0375
10/909,941	Ashland Products, Inc.	Snap on Latch Mechanism for a Sash Window	010547 / 0663
10/219,059	Ashland Products, Inc.	Actuator for a Tilt-Latch for a Sash Window	013365 / 0235
10/062,302	Ashland Products, Inc.	Brake Shoe with Spring Brake Member	012853 / 0880
10/262,549	Ashland Products, Inc.	Stop for a Slidable Window	013529 / 0014
10/337,181	Ashland Products, Inc.	Sash Lock for Sash Window	013937 / 0549
10/277,258	Ashland Products, Inc.	Block and Tackle Sash Balance Brake Assembly	013600 / 0824
10/411,881	Ashland Products, Inc.	Tilt-Latch for a Sash Window with a Reversible Bolt	014161 / 0519
10/290,092	Ashland Products, Inc.	Integrated Tilt/Sash Lock Assembly	013779 / 0222
10/351,982	Ashland Products, Inc.	Forced Entry Resistance Device for Sash Lock	014006 / 0946
10/366,753	Ashland Products, Inc.	Brake Shoe for Sash Window of Door Assembly	011693 / 0010
10/747,859	Newell Operating Company	Integrated Tit/Sash Lock Assembly	015347 / 0039
10/457,582	Ashland Products, Inc.	Block and Tackle Balance with Brake Shoe	012140 / 0287

11/116,865	Ashland Products, Inc.	Brake Shoe for Sash Window or Door Assembly	011693 / 0010
11/084,573	Ashland Products, Inc.	Spring Balance Assembly	014116 / 0808
11/079,580	Ashland Products, Inc.	Actuator or a Tilt-Latch for a Sash Window	011723 / 0690

## Schedule of U.S. Patents

<b>Patent No.</b>	<b>Issue Date</b>	<b>Title</b>
4,923,230	5/8/90	Self-Contained Security Lock for Double-Hung Window
4,824,154	4/25/89	Security Lock for Double-Hung window
4,837,975	6/13/89	Externally Mounted Latch for Slidable Sash Window
4,578,903	4/1/86	Corner Locking/Associated Pivot for Extruded Plastic Sash
4,901,475	2/20/90	Externally Mounted Latch for Slidable Sash Window
4,791,756	12/20/88	Latch for Pivotal Sash Window
4,622,778	11/18/86	Latch and Corner Support for Pivotal Window Sash
4,581,850	4/15/86	Combination Pivot Corner and Slide Guide for Sash Window
4,553,353	11/19/85	Latch for Pivotal Sash Window
5,251,401	10/12/93	Pivot Corner for a Sash Window
5,273,363	12/28/93	Roller for Sliding Windows and Doors
5,248,174	9/28/93	Security Lock for Sash Window
5,371,971	12/13/94	Sash Balance Brake and Pivot Pin Assembly
5,661,927	9/2/97	Sliding Counterbalance Assembly for a Sash Window
5,806,900	9/15/98	Stop for a Slidable Window
5,806,243	9/15/98	Sash Balance Brake Assembly
5,924,243	7/20/99	Rotor for a Sash Balance Brake and Pivotal Pin Assembly
6,021,603	2/8/00	Tilt-Latch With Bolt Stop
D416,468	11/19/99	Tilt-Latch
D416,780	11/23/99	Tilt-Latch
D414,674	10/5/99	Tilt-Latch
D415,671	10/26/99	Tilt-Latch
D411,094	6/15/99	Tilt-Latch
D411,797	7/6/99	Tilt-Latch
D411,095	6/15/99	Tilt-Latch

RE37,916	12/3/02	Snap on Latch Mechanism for a Sash Window
5,671,958	9/30/97	Snap on Latch Mechanism for a Sash Window
6,364,375	4/2/02	Apparatus for Securing Sash Window
D444,373	7/3/01	Tilt-Latch
D442,847	5/29/01	Tilt-Latch
D442,848	5/29/01	Tilt-Latch
6,523,307	2/25/03	Balance System for Sash Window Assembly
6,374,557	4/23/02	Weep-Hole Construction
6,485,070	11/26/02	Tilt-Latch for a Sash Window
D456,688	5/7/02	Tilt-Latch
6,622,342	9/23/03	Block and Tackle Balance with Brake Shoe
D465,401	11/12/02	Tilt-Latch
6,722,712	4/20/04	Tilt-Latch for a Sash Window
6,751,904	6/22/04	Balance System for Sash Window Assembly

# Delaware

PAGE 1

*The First State*

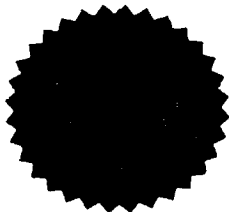
I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"ASHLAND PRODUCTS, INC.", A DELAWARE CORPORATION,

WITH AND INTO "NEWELL OPERATING COMPANY" UNDER THE NAME OF "NEWELL OPERATING COMPANY", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-NINTH DAY OF DECEMBER, A.D. 2003, AT 6:27 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2003.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



*Harriet Smith Windsor*  
Harriet Smith Windsor, Secretary of State

0750702 8100M

030840822

AUTHENTICATION: 2841226

DATE: 12-30-03

PATENT  
REEL: 017057 FRAME: 0654

**CERTIFICATE OF MERGER**  
**OF**  
**ASHLAND PRODUCTS, INC.**  
**INTO**  
**NEWELL OPERATING COMPANY**

It is hereby certified that:

1. The constituent business corporations participating in the merger herein certified are:
  - (i) Ashland Products, Inc., which is incorporated under the laws of the state of Delaware; and
  - (ii) Newell Operating Company, which is incorporated under the laws of the state of Delaware.
2. An Agreement and Plan of Merger has been approved, adopted, certified, executed and acknowledged by each of the aforesaid constituent corporations in accordance with the provisions of subsection (c) of Section 251 of the General Corporation Law of the State of Delaware.
3. The name of the surviving corporation is Newell Operating Company, a Delaware corporation.
4. The merger is to become effective on December 31, 2003.
5. The Certificate of Incorporation of Newell Operating Company shall be the Certificate of Incorporation of the surviving corporation.
6. The executed Agreement of Merger is on file at the principal place of business of the surviving corporation. The address of said principal place is as follows:

c/o Newell Rubbermaid Inc.  
29 East Stephenson Street  
Freeport, IL 61032-4235

6. A copy of the Agreement and Plan of Merger will be furnished by the surviving

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 07:38 PM 12/29/2003  
FILED 06:27 PM 12/29/2003  
SRV 030840822 - 0750702 FILE

corporation, on request and without cost, to any stockholder of any constituent corporation.

Dated as of the 29<sup>th</sup> day of December, 2003.

NEWELL OPERATING COMPANY,  
a Delaware corporation

By: *D. L. Matschullat*  
Dale L. Matschullat,  
Vice President-General Counsel and  
Corporate Secretary

CH114010753.1

TOTAL P.02