

9/30/05

10-12-2005

FORM PTO-1595  
(Rev. 6-93)  
Office



DEPARTMENT OF COMMERCE  
Patent and Trademark

103097870

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

Attorney Docket No.: 18638-04-5064

To the Commissioner for Patents

**ATTN: MAIL STOP ASSIGNMENT RECORDATION SERVICES**

Please record the attached original documents or copy thereof.

1. Name of conveying parties:

**Donal Martin Creed  
Richard James Borina**

2. Name and address of receiving party(ies):

Name: **LEHMAN BROTHERS INC.**

Internal Address:

Street Address: **745 Seventh Avenue**

City: **New York**

State: **NY** Zip: **10019**

3. Nature of conveyance:

- ☒ **Assignment** ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: **September 29, 2005**

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. New Application number(s) or patent number(s): **NEW APPLICATION**

If this document is being filed together with a new application the execution date of the application is:

**September 30, 2005**

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of party to whom  
correspondence concerning document should  
be mailed:

Name:

Internal Address: **Morgan, Lewis & Bockius LLP  
Customer No. 09629**

Street Address: **1111 Pennsylvania Ave., N.W.  
City: Washington State: D.C. Zip: 20004**

6. Total number of applications and patents involved:  
**1**

7. Total fee (37 C.F.R. §3.41): **\$40.00**

☐ Enclosed

☒ Authorized to be charged to Deposit Account  
50-0310

8. Deposit Account No. 50-0310  
(Attach duplicate page if paying by deposit  
account)

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy  
is a true copy of the original document.

**Steven K. Fukuda**

Name of Person Signing

Signature

**September 30, 2005**

Date

Total number of pages including cover sheet, attachments and documents: **4**

113010 U.S. PTO  
11/239887

093005

10/11/2005 DBYRNE 00000117-500310 11239887  
01 FC:0021 40.00 DA

**PATENT  
REEL: 017061 FRAME: 0506**

**ASSIGNMENT**

WHEREAS We, the below named inventors, [hereinafter referred to as Assignors], have made an invention entitled:

**Central Pricing System and Method**

for which WE executed an application for United States Letters Patent concurrently herewith; or on \_\_\_\_; or filed an application of United States Letters Patent on \_\_\_\_, (Application No. \_\_\_\_); and

WHEREAS Lehman Brothers Inc., a corporation of the State of Delaware, whose post office address is 745 Seventh Avenue, New York, NY 10019 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application of United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, WE, as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such applications, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent of this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY covenant that WE have the full right to convey the interest assigned by this Assignment, and WE have not executed and will not execute any agreement in conflict with this Assignment;

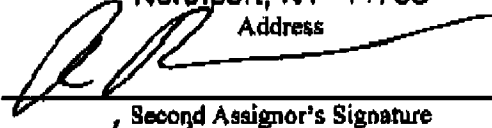
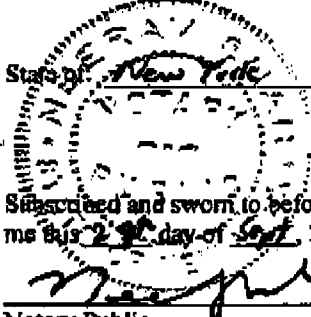
AND, WE HEREBY further covenant and agree that WE will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to US respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

AND, WE HEREBY authorize and request the attorneys WE have empowered in the Declaration and Power of Attorney in this application, to insert here in parenthesis (Application No. \_\_\_\_\_, filed \_\_\_\_\_) the filing date and application number of said application when known.

IN TESTIMONY WHEREOF, WE have hereunto set our hands.

County of: <u>New York</u>	<b>Donal Martin Creed</b> Full Name of First Assignor
State of: <u>New York</u>	24 Highpoint Place Princeton Junction, NJ 08550 Address
Subscribed and sworn to before me this <u>27</u> day of <u>Sept</u> , 2005.	<u>[Signature]</u> First Assignor's Signature
<u>[Signature]</u> Notary Public	<u>9/29/2005</u> Date
Names of additional inventors attached <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

**NEERAV SHAH**  
Notary Public, State of New York  
No. 01SH6080801  
Qualified in New York County  
Commission Expires Sept. 23, 2006

County of: <u>New York</u>	SS	<b>Richard James Borina</b> Full Name of Second Assignor
State of: <u>New York</u>		7 Harborview Drive Northport, NY 11768 Address
Subscribed and sworn to before me this <u>29</u> day of <u>Sept</u> , 2005.		 Second Assignor's Signature
 Notary Public		<u>9/29/05</u> Date
Names of additional inventors attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**NEERAV SHAH**  
Notary Public, State of New York  
No. 01SH6080001  
Qualified in New York County  
Commission Expires Sept. 23, 2008

**ASSIGNMENT**

WHEREAS We, the below named inventors, [hereinafter referred to as Assignors], have made an invention entitled:

**Central Pricing System and Method**

for which WE executed an application for United States Letters Patent concurrently herewith; or on \_\_\_\_; or filed an application of United States Letters Patent on \_\_\_\_, (Application No. \_\_\_\_); and

WHEREAS Lehman Brothers Inc., a corporation of the State of Delaware, whose post office address is 745 Seventh Avenue, New York, NY 10019 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application of United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, WE, as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such applications, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent of this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY covenant that WE have the full right to convey the interest assigned by this Assignment, and WE have not executed and will not execute any agreement in conflict with this Assignment;

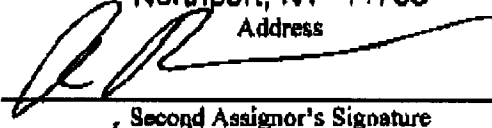
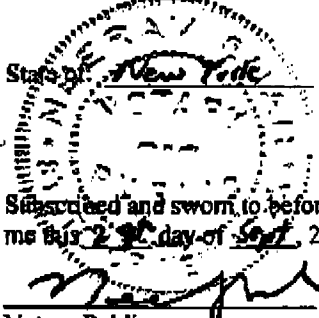
AND, WE HEREBY further covenant and agree that WE will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to US respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

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County of: <u>New York</u>	<b>Donal Martin Creed</b> Full Name of First Assignor
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Subscribed and sworn to before me this <u>29</u> day of <u>Sept</u> , 2005.	<u>[Signature]</u> First Assignor's Signature
<u>[Signature]</u> Notary Public	<u>9/29/2005</u> Date
Names of additional inventors attached <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

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Commission Expires Sept. 29, 2008

County of: <u>New York</u>	<b>Richard James Borina</b> Full Name of Second Assignor
State of: <u>New York</u>	7 Harborview Drive Northport, NY 11768 Address
Subscribed and sworn to before me this <u>2<sup>nd</sup></u> day of <u>Sept</u> , 2005.	 Second Assignor's Signature
 Notary Public	<u>9/29/05</u> Date
Names of additional inventors attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

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