

Form PTO-1595 (Rev. 09/04)  
OMB No. 0651-0027 (exp. 6/30/2005)

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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)/Execution Date(s):

Maresh Chaubal  
Mark J. Doty  
Jamie T. Konkel  
Barrett E. Rabinow

Execution Date(s) November 15, 2005

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

### 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: Baxter International Inc.

Internal Address: DF2-2W

Street Address: One Baxter Parkway

City: Deerfield

State: Illinois

Country: United States

Zip: 60015

Additional name(s) & address(es) attached? ☒ Yes ☐ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/224,633

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael C. Mayo, Esq.

Internal Address: Baxter Healthcare Corporation

DF3-2E

Street Address: One Baxter Parkway

City: Deerfield

State: Illinois

Zip: 60015

Phone Number: 847-948-2136

Fax Number: 847-948-3880

Email Address: \_\_\_\_\_

### 6. Total number of applications and patents involved:

1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 02-1440

Authorized User Name Baxter International Inc.

### 9. Signature:



Signature

December 5, 2005

Date

Michael C. Mayo, Registration No. 38,545

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 021440 11224633

700228617

PATENT  
REEL: 017090 FRAME: 0296

**RECORDATION FORM COVER SHEET (CONT'D)**

2. Name and address of receiving party(ies)

Baxter Healthcare S.A.  
Hertistrasse 2  
Wallisellen CH-8304  
Switzerland

**Baxter****ASSIGNMENT  
(Joint Inventors)**Serial No. (1) 11/224,633 Filed (1) September 12, 2005 Docket No. IFT-5657A-1A1C8

In consideration of good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, we hereby assign to **BAXTER INTERNATIONAL INC.**, a corporation of Delaware, having a principal place of business at Deerfield, Illinois, its successors, legal representatives and assigns, and **BAXTER HEALTHCARE S.A.**, a corporation of Switzerland, having a principal place of business at Zurich, Switzerland, its successors, legal representatives and assigns (hereinafter jointly referred to as "assignee") the entire right, title and interest throughout the world in our invention or improvements in

## (2) SURFACTANT SYSTEMS FOR DELIVERY OF ORGANIC COMPOUNDS

and in the application for Letters Patent of the United States therefor, executed by each of us individually, and any and all other United States applications and applications in any and all countries which we may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States or of any other country which may be obtained on any of the said applications, and in any reissue or extension thereof.

We hereby authorize and request the Commissioner of patents to issue said Letters Patent to said assignee.

We hereby authorize and request the attorneys of record in said application to insert in this assignment the date and serial number of said application when officially known.

We warrant ourselves to be the owners of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said considerations we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and renewal applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient, and for the said considerations we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. We further agree to perform, upon such request, any and all affirmative acts to obtain Letters Patent, and vest all rights therein hereby conveyed in the said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by the said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, and for the said considerations we hereby also assign to said assignee, its successors, legal representatives and assigns the entire right, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

WITNESS our hand and seal

Date 11/15/05 Signature [Signature](3) Typed Name: Mahesh Chaubal(4) State of Illinois, County of LakeSigned before me on this 15<sup>th</sup> day of November, 2005  
by Mahesh Chaubal  
InventorDate 11/15/05 Signature [Signature](3) Typed Name: Mark J. Doty(4) State of Illinois, County of LakeSigned before me on this 15<sup>th</sup> day of November, 2005  
by Mark J. Doty  
Inventor

[Signature] 11-15-05  
Notary Public  
OFFICIAL SEAL  
**LAURENE LERCHE**  
NOTARY PUBLIC, STATE OF ILLINOIS  
MY COMMISSION EXPIRES 10-23-2007

[Signature] 11-15-05  
Notary Public  
OFFICIAL SEAL  
**LAURENE LERCHE**  
NOTARY PUBLIC, STATE OF ILLINOIS  
MY COMMISSION EXPIRES 10-23-2007

Date 11/15/05 Signature [Signature](3) Typed Name: Jamie T. Konkkel(4) State of Illinois, County of LakeSigned before me on this 15<sup>th</sup> day of November, 2005  
by Jamie T. Konkkel  
InventorDate 11/15/05 Signature [Signature](3) Typed Name: Barrett E. Rabinow(4) State of Illinois, County of LakeSigned before me on this 15<sup>th</sup> day of November, 2005  
by Barrett E. Rabinow  
Inventor

[Signature] 11-15-05  
Notary Public  
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**LAURENE LERCHE**  
NOTARY PUBLIC, STATE OF ILLINOIS  
MY COMMISSION EXPIRES 10-23-2007

[Signature] 11-15-05  
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See attached sheet for instructions to complete this form.

RECORDED: 12/05/2005

PATENT  
REEL: 017090 FRAME: 0298  
\*\* TOTAL PAGE 05 \*\*