

10-21-2005



103104444
RECORDATION COVER SHEET
PATENTS ONLY

5-29-05

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

New

Resubmission (Non-Recordation)
Document ID#

Correction of PTO Error
Reel # Frame #

Corrective Document
Reel # Frame #

Conveyance Type

Assignment Security Agreement

License Change of Name

Merger Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

Departmental File Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Name (line 1) Execution Date Month Day Year

Name (line 2)

Second Party

Name (line 1)

Name (line 2)

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1) If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 017101 FRAME: 0050

Correspondent Name and Address

Area Code and Telephone Number [630] 252-2393

Name ROBERT J. FISHER

Address (line 1) U.S. DEPARTMENT OF ENERGY

Address (line 2) INTELLECTUAL PROPERTY LAW DIVISION

Address (line 3) 9800 SOUTH CASS AVENUE

Address (line 4) ARGONNE, ILLINOIS 60439

Pages Enter the total number of pages of the attached conveyance document including any attachments. # 01

Application Number(s) or Patent Number(s) Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

10/063,280						

If this document is being filed together with a new Patent Application, enter the date the patent application was Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT	<input type="text"/>	PCT	<input type="text"/>	PCT	<input type="text"/>
PCT	<input type="text"/>	PCT	<input type="text"/>	PCT	<input type="text"/>

Number of Properties Enter the total number of properties involved. #

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number: #

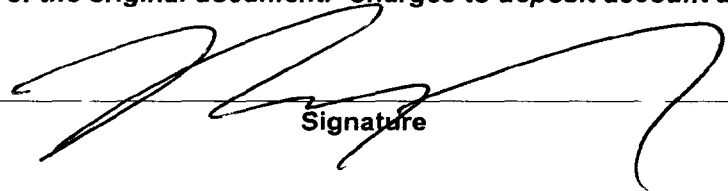
Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

BRIAN J. LALLY Reg. #51,911

Name of Person Signing



Signature

Date

CONFIRMATORY LICENSE
(Advanced Waiver of Patent Rights)

Title : AXIAL FLUX MACHINE, STATOR AND FABRICATION METHOD
Inventor(s) : Ralph James Carl
Serial No. : 10/063,280 Filing Date: 04/08/2002
Subcontractor : GENERAL ELECTRIC COMPANY
DOE Contract No.: DE-FC26-00NT40993
DOE Case No. : S-104,785 - G.E. Docket No. 122190 U.S. Patent No. 6,707,221 B2
DOE Advanced Waiver No.: W(A)-01-029

Foreign Applications filed in or intended to be filed at Contractor's expense in (countries):
All Countries of the World


An advanced waiver of Government rights having been granted by the U.S. Department of Energy (DOE) to General Electric Company and the above-identified invention having been reported as a Subject Invention to DOE by the contractor with its election to file a domestic patent application thereon, the effective date of said waiver for the above invention is April 27, 2004.

Accordingly, this document is confirmatory of the paid-up license required to be granted to the Government under 10-CFR -Part -784 and the above-identified contract in this invention, patent application and any resulting patent as well as any continuation, divisional, reissue, supplemental or continuation-in-part thereof, and of all rights acquired by the Government by the referenced patent rights clause, a copy of which is attached hereto and incorporated by reference herein. The undersigned certifies the attached copy to be a true copy of said clause. It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

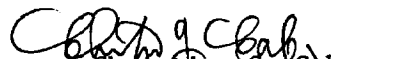
Signed this 11th day of October 2004.

(SEAL)


Donald S. Ingraham
Attesting Secretary

Global Research- GENERAL ELECTRIC COMPANY
(Contractor)

By:


Christian G. Cabou
(Contractor's Official and Title)
General Counsel
One Research Circle
Niskayuna, NY 12309
(Business Address)

