

10-24-2005

Docket No. 71308-A/JPW/AJM/MVM

J.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademark

1. Name of conveying party(ies):

Jan Kitajewski
Carrie Shawber
Yasuhiro Funahashi

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Assignment ☐ Change of Name
☐ Other

Execution Date(s): **10/12/05; 10/12/05;**
10/12/05

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is (are):

A. Patent Application No.(s) **11/114,962**
filed April 26, 2005

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **John P. White**
 Internal Address: _____
 Street Address: **Cooper & Dunham LLP**
1185 Avenue of the Americas
 City/State/Zip: **New York, New York 10036**

6. Total number of applications and patents involved:

7. Total fee (37 CFR §3.41): \$ **40.00**☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alan J. Morrison

Name of Person Signing
 Reg. No. 37,399

Signature

October 17, 2005

Date

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:
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Alan J. Morrison
 Reg. No. 37,399

Date

OMB No. 0651-0011 (exp. 4/94)

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PATENT
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Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned,
Jan Kitajewski residing at 323 Hillcrest Road, Ridgewood, NJ 07450;
Carrie Shawber residing at 418 Beech St., Township of Washington, NJ 07676 ;
Yasuhiro Funahashi residing at 235 West 48th St., Apt. 19J, NY, NY 10036.

Hereby sell, assign and transfer to The Trustees of Columbia University
in the City of New York a corporation of the State of New York
having a place of business at West 116th Street & Broadway, New York
in the County of New York and State of New York 10027

its successors, assigns and legal representatives, the entire right, title and interest
for all countries, in and to any and all inventions which are disclosed and claimed,
and any and all inventions which are disclosed but not claimed, in the application for
United States Patent, which has been executed by the undersigned on 10/12/05;
and is entitled 10/12/05; and 10/12/05

NOTCH-BASED FUSION PROTEINS AND USES THEREOF
(U.S. Serial No. 11/114,962 , filed April 26, 2005, claiming priority
of U.S. Provisional Application No. 60/566,877, filed April 29, 2004)

and in and to said application and all divisional, continuing, substitute, renewal,
reissue, and all other applications for U.S. Letters Patent or other related property
rights in any and all foreign countries which have been or shall be filed on any of
said inventions disclosed in said application; and in and to all original and reissued
patents or related foreign documents which have been or shall be issued on said
inventions;

Authorize and request the Commissioner of Patents of the United States to issue
to said Assignee, the corporation above named, its successors, assigns and legal
representatives, in accordance with this assignment, any and all United States
Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 10/12/2005
Witness: [Signature] (signature)
630 W 168th St (printed name)
NY 10033 (address)

[Signature] (L.S.)
Jan Kitajewski

Date: 10/12/2005
Witness: [Signature] (signature)
630 W 168th St (printed name)
NY 10033 (address)

[Signature] (L.S.)
Carrie Shauber

Date: 10/12/05 20
 Witness: Mia Kondo (signature)
1630 W 168 St (printed name)
NY NY 10032 (address)

Yasuhiro Funahashi [L.S.]
 Yasuhiro Funahashi

Date: _____ 20
 Witness: _____ (signature)
 _____ (printed name)
 _____ (address)

_____ [L.S.]

Date: _____ 20
 Witness: _____ (signature)
 _____ (printed name)
 _____ (address)

_____ [L.S.]

Date: _____ 20
 Witness: _____ (signature)
 _____ (printed name)
 _____ (address)

_____ [L.S.]

Date: _____ 20
 Witness: _____ (signature)
 _____ (printed name)
 _____ (address)

_____ [L.S.]

Date: _____ 20
 Witness: _____ (signature)
 _____ (printed name)
 _____ (address)

_____ [L.S.]