

10-24-2005



ET 1111779-1441

103105622

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

10-13-05

1. Name of conveying party(ies)

Steven Charles Doire
Robert Ray Salzman

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Deutsche Bank AG

Internal Address:

Street Address: 60 Wall Street

City: New York

State: New York

Country: US Zip: 10005

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) October 3, 2005 and October 10, 2005

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: White & Case LLP

Internal Address: Patent Department

Street Address: 1155 Avenue of the Americas

City: New York

State: New York Zip: 10036

Phone Number: 212-819-8200

Fax Number: 212-354-8113

Email Address: SWeingaertner@whitecase.com

6. Total number of applications and patents involved: One (1)

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 23-1703

Authorized User Name Scott T. Weingaertner

9. Signature:

Scott T. Weingaertner

October 13, 2005

Date

10/21/2005 DBYRNE 00000271 231703 11250857 Signature

01 FC:8021 40.00 BA Scott T. Weingaertner, Reg. No. 37,756

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

Now, therefore, for good and valuable consideration, receipt and sufficiency of which is hereby acknowledged, I/we

Steven Charles **Doire**, residing in Mansfield, MA, and Robert Ray **Salzman**, residing in Mount Prospect, IL, hereafter referred to as ASSIGNOR (S),

Hereby sell(s), assign(s) and transfer(s) to ASSIGNEE Deutsche Bank AG, a company created and existing under the laws of Germany, and having an office for the transaction of business at 60 Wall Street, New York, New York 10005, and the successors, assigns and legal representatives of the ASSIGNEE, the entire right, title and interest for the United States and its territorial possessions, and in all foreign countries, in and to the inventions and any and all improvements thereon which are the subject of an application entitled:

DYNAMIC BOOK YIELD ANALYSIS

And which is disclosed in:

- (a) U.S. patent application executed on even date herewith;
- (b) U.S. patent application executed on _____;
- (c) U.S. application no. _____ filed on _____;
- (d) International application no. _____ filed on _____.

And in and to the above application and any legal equivalent thereof in a foreign country, including the right to claim priority, and in and to any division, continuation or continuation-in-part, renewal or substitute thereof, and in and to all resulting Letters Patents or any reissue or reexamination thereof, to have and to hold the same to the full end of the term or terms for which any and all of said Letters Patent may be granted;

ASSGNOR(S) authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said ASSIGNEE or its successors and assigns;

ASSIGNOR(S) hereby covenant(s) that ASSIGNOR(S) has/have the full power to make this assignment, and that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; and

Without further payment or compensation by the ASSIGNEE or its successors and assigns, ASSIGNOR(S) further covenant(s) and agree(s) to communicate to said ASSIGNEE or its representatives, agents, its successors or assigns, any facts relating to said invention or inventions including evidence for purposes of interference or other administrative or legal proceedings whenever requested; to testify in any interference, legal or administrative proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said ASSIGNEE, its successors or assigns and nominees to secure, obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment any further information necessary for recordation of this document.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.

.....
Signature of Assignor: **Steven Charles Doire**
WITNESSED BY:

10-3-05
.....
Date of Assignor's Signature

.....
Signature of Witness
Name of Witness
(Type or print clearly) **Karim Ayad**

.....
Signature of Witness
Name of Witness
(Type or print clearly) **Grace Ansani**

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.

.....
Signature of Assignor: **Robert Ray Salzman**
WITNESSED BY:

.....
Date of Assignor's Signature

.....
Signature of Witness
Name of Witness
(Type or print clearly)

.....
Signature of Witness
Name of Witness
(Type or print clearly)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.

.....
Signature of Assignor
WITNESSED BY:

.....
Date of Assignor's Signature

.....
Signature of Witness
Name of Witness
(Type or print clearly)

.....
Signature of Witness
Name of Witness
(Type or print clearly)

Additional signature pages attached: Yes No

ASSIGNMENT

Now, therefore, for good and valuable consideration, receipt and sufficiency of which is hereby acknowledged, I/we

Steven Charles **Doire**, residing in Mansfield, MA, and Robert Ray **Salzman**, residing in Mount Prospect, IL, hereafter referred to as ASSIGNOR (S),

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DYNAMIC BOOK YIELD ANALYSIS

And which is disclosed in:

- (a) U.S. patent application executed on even date herewith;
- (b) U.S. patent application executed on _____;
- (c) U.S. application no. _____ filed on _____;
- (d) International application no. _____ filed on _____.

And in and to the above application and any legal equivalent thereof in a foreign country, including the right to claim priority, and in and to any division, continuation or continuation-in-part, renewal or substitute thereof, and in and to all resulting Letters Patents or any reissue or reexamination thereof, to have and to hold the same to the full end of the term or terms for which any and all of said Letters Patent may be granted;

ASSGNOR(S) authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said ASSIGNEE or its successors and assigns;

ASSIGNOR(S) hereby covenant(s) that ASSIGNOR(S) has/have the full power to make this assignment, and that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; and

Without further payment or compensation by the ASSIGNEE or its successors and assigns, ASSIGNOR(S) further covenant(s) and agree(s) to communicate to said ASSIGNEE or its representatives, agents, its successors or assigns, any facts relating to said invention or inventions including evidence for purposes of interference or other administrative or legal proceedings whenever requested; to testify in any interference, legal or administrative proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said ASSIGNEE, its successors or assigns and nominees to secure, obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment any further information necessary for recordation of this document.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.

Signature of Assignor: **Steven Charles Doire**
WITNESSED BY:

Date of Assignor's Signature

Signature of Witness
Name of Witness
(Type or print clearly)

Signature of Witness
Name of Witness
(Type or print clearly)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.

Signature of Assignor: **Robert Ray Salzman**
WITNESSED BY:

10/10/05
Date of Assignor's Signature

Kerri Haywood
Signature of Witness
Name of Witness
(Type or print clearly)

Kerri Haywood
Signature of Witness
Name of Witness
(Type or print clearly)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.

Signature of Assignor
WITNESSED BY:

Date of Assignor's Signature

Signature of Witness
Name of Witness
(Type or print clearly)

Signature of Witness
Name of Witness
(Type or print clearly)

Additional signature pages attached: Yes No