

RE

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket-G&C 30794.142-US-01

10/14/05

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- (1) Frédéric S. Diana
- (2) Aurélien J.F. David
- (3) Pierre M. Petroff
- (4) Claude C.A. Weisbuch

2. Name and address of receiving party(ies):

The Regents of the University of California
1111 Franklin Street
12th Floor
Oakland, California 94607

Additional name(s) of conveying party(ies) attached? ☒ No

Additional name(s) & address(es) attached? ☒ No

3. Nature of conveyance:

☒ Assignment

112948 U.S. PTO
11/25/05
101405

Execution Date: (1) October 4, 2005, (2) October 11, 2005, (3) October 2, 2005, (4) October 11, 2005

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: October 2, 2005

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: George H. Gates
Address: GATES & COOPER LLP
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40

☒ Authorized to be charged to Deposit Account No. 50-0494 of Gates and Cooper LLP.

8. Please charge any additional fees or credit any overpayments to Deposit Account No. 50-0494.

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George H. Gates

Name of Person Signing

Signature

October 14, 2005

Date

Total number of pages including cover sheet, attachments, and document: Three

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PATENT
REEL: 017104 FRAME: 0271

ASSIGNMENT

U.C. Case No. 2005-534

G & C Case No. G&C 30794.142-US-01

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. FRÉDÉRIC S. DIANA
3. PIERRE M. PETROFF

2. AURÉLIEN J.F. DAVID
4. CLAUDE C.A. WEISBUCH

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 12th Floor, Oakland, California 94607, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**PHOTONIC STRUCTURES FOR EFFICIENT LIGHT EXTRACTION AND CONVERSION IN
MULTI-COLOR LIGHT EMITTING DEVICES**

and which is found in:

X U.S. patent application filed herewith and listing the above named persons as inventors

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner for Patents to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

4th day of October, 2005

Signature of Inventor(s)



FRÉDÉRIC S. DIANA

____ day of _____, ____

10/04/05 day of October 2005

AURÉLIEN J.F. DAVID



PIERRE M. PETROFF

____ day of _____, ____

CLAUDE C.A. WEISBUCH

assign doc

ASSIGNMENT

U.C. Case No. 2005-534

G & C Case No. G&C 30794.142-US-01

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4. CLAUDE C.A. WEISBUCH

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**PHOTONIC STRUCTURES FOR EFFICIENT LIGHT EXTRACTION AND CONVERSION IN
MULTI-COLOR LIGHT EMITTING DEVICES**

and which is found in:

X U.S. patent application filed herewith and listing the above named persons as inventors

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

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AND the ASSIGNOR(S) requests the Commissioner for Patents to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

_____ day of _____,

FRÉDÉRIC S. DIANA

11th day of October, 2005

AURELIEN J.F. DAVID

~~11th day of October~~

PIERRE M. PETROFF

11th day of October, 2005

CLAUDE C.A. WEISBUCH

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