



10/20/05



Form PTO-1205

(Rev. 10/02)
OMB No. 0651-0027 (exp. 6/30/2005)

RECORDATION FOR

DEPT. OF COMMERCE
Trademark Office

PATENTS ONLY

To the Honorable Commissioner of Patent and Trademarks: Please record the attached documents or copy thereof.

1. Name of conveying party(ies):

CHIRALSEP S.A.

2. Name and address of receiving party(ies):

Name: EKA Chemicals AB
445 80 Bohus, Sweden

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: February 3, 2003, March 12, 2004,
November 11, 2004

No additional names(s) or address(es) attached ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
09/541,690, 09/394,905

B. Patent No.(s)
6,342,592, 6,042,723, 6,528,642, 6,677,446, 6,346,616, 6,514,407

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MILLEN, WHITE, ZELANO
& BRANIGAN, P.C.
Address: Arlington Court Plaza I
Suite 1400
2200 Clarendon BLVD.
Arlington, VA 22201

6. Total number of applications and patents involved: 8

7. Total fee (37CFR 3.41) \$320.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. DEPOSIT ACCOUNT NO. 13-3402

(Attach duplicate copies of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

I. William Millen
Names of Person Signing

Signature

October 20, 2005
Date

Total number of pages including cover sheet, attachments, and documents 15

Attorney Docket No. PLASSERAUD-0001-X

10/24/2005 ECDOPER 00000311 09541690

01 FC:0021

320.00 OP

PATENT
REEL: 017105 FRAME: 0736

TECHNOLOGY TRANSFER AGREEMENT

An agreement pursuant to the terms and conditions set forth below is hereby made by and between the following parties:

- A. Eka Chemicals AB a company organized and existing under the laws of Sweden represented herein by its Separation Products Unit whose address is at 445 80 Bohus, Sweden (hereinafter referred to as "Eka") and
- B. Chiralsep S.A. a company organized and existing under the laws of France whose address is at Parc d'Actives de la Boissiere, 76170 La Frenaye, France (hereinafter referred to as "Chiralsep").

Redacted

RS
DES

Redacted

2. Transfer of Patents

- 2.1 Chiralsep hereby sells and transfers to Eka the Patents listed in Exhibit No.1 including all documentation, rights and benefits related thereto.
- 2.2 Chiralsep will itself and Chiralsep will cause its employees and the Stake-holders to furnish and sign all documents and take all actions required by Eka for the purpose of vesting with Eka all rights, interests and benefits to the Patents.
- 2.3 On its own behalf as well as on behalf of the Stake-holders Chiralsep gives the following warranties with respect to the Patents:
 - (i) the list of the Patents appearing in Exhibit No.1 is exhaustive and neither Chiralsep nor the Stake-holders are holding or have prepared or applied for any other patents within the Technology;
 - (ii) Chiralsep has the unrestricted right to sell and transfer the Patents and Eka will obtain unrestricted title thereto;

AS PD

Redacted

7. Term and termination

- 7.1 This Agreement will come into effect when it has been signed by authorised representatives of the parties and the different aspects of the Agreement will thereafter continue to be valid as follows.

Redacted

AS [Signature]

This Agreement has been signed in duplicate and each party has received one original copy.

For and on behalf of
Eka Chemicals AB

For and on behalf of
Chiralsep S.A.

Signature: 

Signature: 

Name: THOMAS BERGLIN

Name: RAMUEL DUVAL

Title: V.P. Strategy & Technology

Title: PRESIDENT

Date: 3/2/2003

Date: 3/2/2003

Signature: 

Signature: _____

Name: Tommy Ohlin

Name: _____

Title: V.P. Specialty Products

Title: _____

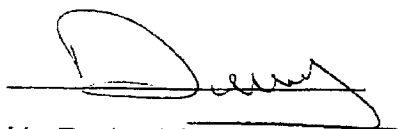
Date: 3/2/2003

Date: _____

Personal Guarantee

The undersigned Stake-holder in Chiralsep S.A. hereby guarantees the proper fulfilment by Chiralsep S.A. and by the other Stake-holders of their respective obligations set forth above and undertakes further to be personally bound by the obligations with respect to non-disclosure and non-compete set forth in Section 5 above.

Signed this 3 day of February 2003 in Paris


Mr. Raphaël Duval

AS PD

CHIRALSEP PATENTS RIGHTS GRANTED to EKA CHEMICALS

- March 14, 1997: Chiral compounds, their synthesis and uses as supports:
 - * FR 2767 834; priority FR 97 03076 – USP 6,342,592 B1
 - * EP 0 864 586 B1 – AU 744412*Duval, R., Lévêque, H.*
- August 29, 1997: Mono and di-derivatives of cyclodextrins, synthesis thereof and purification and use thereof in a support:
 - * FR 97 10817; priority FR 97 10817 – USP 6,042,723
 - * patent application: EP 0 899 272 A1, and worldwide*Duval, R., Lévêque, H.*
- September 11, 1998: Chloro-, hydroxy and alkoxysilane derivatives of polysaccharides or oligosaccharides, polymerizable and crosslinkable, their synthesis and their use as source of new supports:
 - * FR 278 4109; priority FR 98 11377 – USP 6,346,616 B1
 - * patent application: EP 0 985 681 A1 and worldwide*Duval, R.*
- September 11, 1998: Crosslinked polymers based on bis-silane, bis-thioethers, bis-sulfoxydes, bis-sulfones and butane-di-yl derivatives of polysaccharides or oligosaccharides, and their uses as support materials:
 - * FR 2784108; priority FR 98 11376
 - * patent application: EP 0 985 682 A1 and worldwide including USA*Duval, R.*
- September 21, 2001: Homochiral Macrocavities:
 - * priority FR 01 12208; PCT FR 02/03238*Duval, R., Lévêque, H.*
- December 27, 2001: Optically active support materials synthesis thereof and applications thereof:
 - * priority FR 01 16933; PCT FR (waiting for the PCT number)*Duval, R., Lévêque, H.*

AMENDMENT NR 1

to Agreement between **Eka Chemicals AB** and **Chiralsep S.A.** dated February 3, 2003, hereinafter referred to as the "Main Agreement"

1. It is noted that Chiralsep S.A. has changed its company name to Chirosep S.A.

2. The definition of "Patents" in the Main Agreement is changed to read as follows:

"Patents mean all registered patents and patent applications attributable to the Technology held by Chiralsep and anyone of the Stakeholders per February 3, 2003, all patents claiming priority from such patents and patent applications as well as any divisionals, re-examinations, re-issues continuations and/or continuations in parts related to such patents and patent applications granted or filed thereafter."

3. Article 2.1 in the Main Agreement is changed to read as follows:

"Chiralsep hereby sells and transfers to Eka all Patents including all documentation, rights and benefits thereto, including but not limited to those listed in Exhibit No 1"

4. The following patents are hereby added to the patent list, Exhibit No 1 to the Main Agreement:

Patent No priority FR 0209988, August 6, 2002

Patent No priority FR 0303770, March 27, 2003

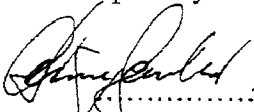
Patent No priority FR 0015147, November 23, 2000

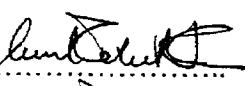
5. The provisions of Article 8 in the Main Agreement shall apply also to this Amendment No 1:

This Amendment No 1 has been signed in duplicate and each Party has received one original copy.

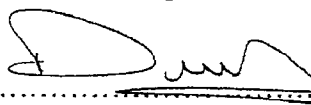
Bohus, Sweden 2004-03-12

Eka Chemicals AB
Specialty Products


D. SANCHEZ


Carl Robert Hansson

Chirosep S.A.
(earlier Chiralsep S.A.)


R. DURVAL

AMENDMENT NO 3

to Agreement between **Eka Chemicals AB** and **ChirosepS.A.** (former Chiralsep S.A.) dated February 3, 2003, hereinafter referred to as the "Main Agreement"

1. It is noted that Chiralsep S.A. has changed its company name to ChirosepS.A.
2. In an Amendment Nr 1 to the Main Agreement, dated 2004-03-12, §4 the parties agreed the following:

"4. The following patents are hereby added to the patent list, Exhibit No1 to the Main Agreement:

Patent No priority FR 0209988, August 6, 2002
Patent No priority FR 0303770, March 27, 2003
Patent No priority FR 0015147, November 23, 2000"

By this Amendment No 3, the following patents added to the patent list, Exhibit no1 to the Main Agreement as per above are hereby deleted from said Exhibit No 1:

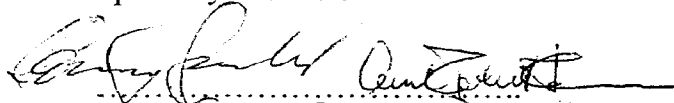
Patent No priority FR 0209988, August 6, 2002 and
Patent No priority FR 0015147, November 23, 2000

3. The provisions of Article 8 in the Main Agreement shall apply also to this Amendment No 3.

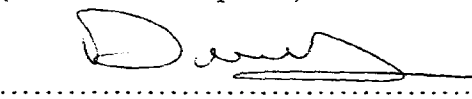
This Amendment No 3 has been signed in duplicate and each Party has received one original copy.


Bohus, Sweden 2004-11-11

Eka Chemicals AB
Specialty Products


DOMINGO SANCHEZ Carl Robert Hansen
GEN. MANAGER Acc. General Manager
SEPARATION PRODUCTS Eka Chemicals AB
Specialty Products

Chirosep S.A.
(earlier ChiralsepS.A.)


RAPHAEL DUJAR



I, the undersigned, Anne-Marie Bonde, Notary Public
of the City of Stockholm, Sweden, hereby certify:

that the document (TECHNOLOGY TRANSFER AGREEMENT),
hereto attached under my seal of office, holds a
true and correct photostat copy in extract of the
original Technology Transfer Agreement, which has
been duly produced to me.

Stockholm, Sweden, 2004-11-16

Ex officio:

