

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

John L. Faul  
Toshihiko Nishimura  
Peter N. Kao

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 12/20/04, 1/4/05, and 1/5/05

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☒ Other Assignment: Correction of Recordation

### 2. Name and address of receiving party(ies)

Name: The Board of Regents The Leland Stanford Jr. University

Internal Address: Office Of Technology Licensing

Street Address: 1705 El Camino Real

City: Palo Alto

State: California

Country: United States Zip: 94306

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)  
10/961,731

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Bell & Associates

Internal Address: \_\_\_\_\_

Street Address: 416 Funston Ave., Ste. 100

City: San Francisco

State: California Zip: 94118

Phone Number: (415) 752-4085

Fax Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

### 6. Total number of applications and patents involved: One

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 50-3194

Authorized User Name Bell & Associates/M.Kaser

### 9. Signature:

  
Signature

16th December, 2005

Date

Matthew Kaser, D.Phil.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

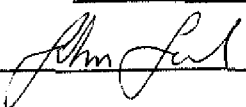
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12/16/2004 11:01

415-221-5581

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<b>WORLDWIDE ASSIGNMENT OF RIGHTS</b>	<b>Docket Number:</b> <b>S03-013-CIP</b>
<p>Whereas I, <b>John L. Faul of Stanford, CA</b> hereafter referred to as "assignor" have invented certain new and useful improvements in arterio-venous shunt devices as disclosed in US application Serial No. 10/961,731 filed 10 October 2004 entitled</p> <p><b>IMPLANTABLE ARTERIO-VENOUS SHUNT DEVICES AND METHODS FOR THEIR USE</b></p> <p>Whereas, <b>Stanford University</b>, California, herein referred to as "assignee" whose mailing address is <b>Stanford University Office of Technology Licensing, 1705 El Camino Real, Palo Alto, CA 94306</b> is desirous of acquiring the entire right, title and interest in the same; Now, therefore, for good and valuable consideration the receipt whereof is acknowledged, I the assignor by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in all the countries of the world and the entire right, title and interest in and to any and all intellectual property and patent rights (including rights associated with any and all provisional, non-provisional, divisional, RCE, CPA, continuing applications, including continuations-in-part) which may be granted therefore in any country or region of the world, I hereby authorize and request the appropriate Patent Office of any country in which a patent may issue, to issue said patent to said assignee of the entire right, title, and interest in and to the same, for the assignee's sole use and ownership; and for the use and ownership of the assignee's legal representatives, for the full term for which said patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.</p> <p>Executed on this date: <u>JAN 4<sup>th</sup> 2005</u></p> <p>At this place: <u>STANFORD UNIVERSITY</u></p> <p>Print Name: <u>John L. Faul</u></p> <p>Signature: <u></u></p>	

12/6/2004 11:01

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## WORLDWIDE ASSIGNMENT OF RIGHTS

Docket Number:  
**S03-013-CIP**

Whereas I, **Toshihiko Nishimura of Menlo Park, CA**, hereafter referred to as "assignor" have invented certain new and useful improvements in arterio-venous shunt devices as disclosed in US application Serial No. 10/961,731 filed 10 October 2004 entitled

**IMPLANTABLE ARTERIO-VENOUS SHUNT DEVICES AND METHODS FOR THEIR USE**

Whereas, **Stanford University**, California, herein referred to as "assignee" whose mailing address is Stanford University Office of Technology Licensing, 1705 El Camino Real, Palo Alto, CA 94306 is desirous of acquiring the entire right, title and interest in the same; Now, therefore, for good and valuable consideration the receipt whereof is acknowledged, I the assignor by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in all the countries of the world and the entire right, title and interest in and to any and all intellectual property and patent rights (including rights associated with any and all provisional, non-provisional, divisional, RCE, CPA, continuing applications, including continuations-in-part) which may be granted therefore in any country or region of the world, I hereby authorize and request the appropriate Patent Office of any country in which a patent may issue, to issue said patent to said assignee of the entire right, title, and interest in and to the same, for the assignee's sole use and ownership; and for the use and ownership of the assignee's legal representatives, for the full term for which said patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed on this date: 1/5/2005At this place: 1044 Middle Ave Menlo Park CA 94025Print Name: Toshihiko NishimuraSignature: 

12/16/2004 11:01

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## WORLDWIDE ASSIGNMENT OF RIGHTS

Docket Number:  
**S03-013-CIP**

Whereas I, **Peter N. Kao of Palo Alto, CA**, hereafter referred to as "assignor" have invented certain new and useful improvements in arterio-venous shunt devices as disclosed in US application Serial No. 10/961,731 filed 10 October 2004 entitled

**IMPLANTABLE ARTERIO-VENOUS SHUNT DEVICES AND METHODS FOR THEIR USE**

Whereas, **Stanford University**, California, herein referred to as "assignee" whose mailing address is **Stanford University Office of Technology Licensing, 1705 El Camino Real, Palo Alto, CA 94306** is desirous of acquiring the entire right, title and interest in the same; Now, therefore, for good and valuable consideration the receipt whereof is acknowledged, I the assignor by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in all the countries of the world and the entire right, title and interest in and to any and all intellectual property and patent rights (including rights associated with any and all provisional, non-provisional, divisional, RCE, CPA, continuing applications, including continuations-in-part) which may be granted therefore in any country or region of the world, I hereby authorize and request the appropriate Patent Office of any country in which a patent may issue, to issue said patent to said assignee of the entire right, title, and interest in and to the same, for the assignee's sole use and ownership; and for the use and ownership of the assignee's legal representatives, for the full term for which said patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed on this date:

12/20/04

At this place:

Palo Alto, CA

Print Name:

Peter N. Kao

Signature:

Peter N. Kao