

Attorney Docket No. 3126.01US02

FORM PTO-1595 U.S. DEPARTMENT OF COMMERCE
1/31/92 Patent and Trademark Office

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks.
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
MFCITY MEDICAL INNOVATIONS, INC.
1610 14TH STREET NW
SUITE 100 B
ROCHESTER, MN 55901

2. Name and address of receiving party(ies):
LUMEN BIOMEDICAL, INC.
2605 FERNBROOK LANE
SUITE A
ROCHESTER, MINNESOTA 55901

Additional name(s) of conveying party(ies)
attached? ☐ Yes ☒ No

Additional name(s) & address(es)
attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Other: _____

Execution Date(s): November 30, 2005

4. Application number(s) or patent number(s):

If this document is being filed together with a new non-provisional application, the execution date of the application is:

A. Patent Application No(s).
10/414,909

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Customer No. 24113
Peter S. Dardi, Ph.D.
Patterson, Thuent, Skaar & Christensen, P.A.
4800 IDS Center
80 South 8th Street
Minneapolis, Minnesota 55402-2100

6. Total number of applications and
patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit
account

8. Deposit Account Number: 16-0631
(Attach duplicate copy of this page if
paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy
of the original document.*

Peter S. Dardi, Ph.D.
Name of Person Signing

December 19, 2005
Date

Peter S. Dardi
Signature

Total number of pages comprising cover sheet: 1

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PATENT
REEL: 017129 FRAME: 0098

CH \$40.00 160631 10414909

ASSIGNMENT

WHEREAS, MedCity Medical Innovations, Inc. ("Assignor"), a corporation organized and existing under the laws of the State of Minnesota, and having its principal offices at 1610 14th Street NW, Suite 100 B, Rochester, Minnesota 55901 is the Assignor the following related Patent Applications:

United States Patent Application No. 10/414,909, filed April 16, 2003,
 United States Patent Application No. 10/806,311, filed March 22, 2004,
 EPO Application No. EP 2003/00767200.3, PCT filing date August 1, 2003, and
 Japanese Patent Application No. 2004-526448, PCT filing date August 1, 2003,
 all of which are entitled EMBOLISM PROTECTION DEVICES.

WHEREAS, Lumen Biomedical, Inc. ("Assignee"), a business entity organized and existing under the laws of the State of Minnesota, and having its principal offices at 2605 Fernbrook Lane, Suite A, Plymouth, Minnesota 55447, is desirous of acquiring the entire right, title and interest in and to said invention, said applications and in, to and under any and all Letters Patent to be obtained therefore;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00), to effectuate objectives of earlier Agreements between the parties, and other good and valuable consideration to Assignor in hand paid by said Assignee, the receipt of which is hereby acknowledged, Assignor has sold, assigned and transferred, and by these presents does hereby sell, assign and transfer unto the said Assignee, its successors and assigns, Assignor's entire right, title and interest in and to said invention, said provisional/utility application, all applications claiming priority to said provisional/utility application including all divisions, continuations or renewals thereof, and the Letters Patent, both foreign and domestic, that may or shall issue, therefrom including all reissues or extensions of such patents including all of Assignor's rights under the International Convention, and Assignor does hereby authorize and request the Commissioner of Patents to issue said Letters Patent to the above mentioned Assignee in accordance herewith.

Assignor further authorizes said Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of said United States application and/or otherwise take advantage of the provisions of the International Convention.

Upon said consideration Assignor does hereby covenant and agree with the said Assignee, its successors and assigns, that Assignor will not execute in writing or do any act whatsoever conflicting with these presents, and that Assignor or its executors or administrators will at any time upon request, without further or additional consideration, but at the expense of the said Assignee, its successors and assigns, execute such additional writings and do such additional acts as said Assignee, its successors and assigns, may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making

Nov. 30 2005 01:11PM P2/3

FAX NO.: 763-577-1044

FROM :

P.02/03

OPPENHEIMER WOLFF

PATENT

REEL: 017129 FRAME: 0099


TOTAL P.03

Attorney Docket No.:

application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, or of any and all foreign countries on said invention, and in enforcing any rights occurring as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents.


IN WITNESS WHEREOF, Assignor has hereunto set its hands and affixed its seal as dated below.

Date: November 30, 2005



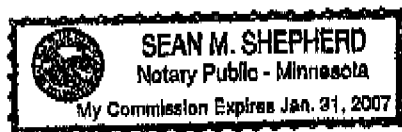
Robert H. S. Jacobson,
President

Subscribed and sworn to before me
this 30 day of November, 2005



Notary Public

[Notary Seal]



PATTERSON, THUENTE, SKAAR & CHRISTENSEN, P.A.
4800 IDS Center, 80 South Eighth Street
Minneapolis, Minnesota 55402-2100 USA

FACSIMILE COVER SHEET

TELEPHONE: (612) 349-5740 TOLL FREE: 1-800 331-4537
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TOTAL NUMBER OF PAGES BEING SENT (INCLUDING COVER SHEET): 4

☐ Original documents to follow by mail

☒ No originals will be sent

DATE: December 19, 2005

TO: Director of the United States Patent and Trademark Office

FAX #: 571-273-0140

PHONE #: 571-272-3350

Application No.: 10/414,909

OUR REF.: 3126.01US02

FROM: Peter S. Dardi

PHONE #: (404) 949-5730

Enclosed please find an Assignment regarding the above-identified application. Please charge the Assignment recording fee to our Deposit Account No. 16-0631.

Respectfully submitted,



Date December 19, 2005

Peter S. Dardi, Ph.D.
Registration No. 39,650

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office, Fax No. 703/306-5995 on the date shown below.

December 19, 2005
Date

Peter S. Dardi
Peter S. Dardi

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