

10-25-2005

Case No.: 61328US002

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13010 U.S. PTO
11/25/0675

101405

To: The Director of the U.S. Patent and Trademark Office:

Please record the attached document(s) or the new address(es) below.

1. Name of conveying party(ies)

Execution Date(s):

MOHANTY, Sanat

October 14, 2005

MORAN, Cristin E.

October 14, 2005

SAHOUBANI, Hassan

October 14, 2005

BOARDMAN, Larry D.

October 14, 2005

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

3M Innovative Properties Company

P.O. Box 33427

St. Paul, Minnesota 55133-3427

Additional name(s) of receiving party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

4. Application or patent number(s)

☒ This document is being filed together with a new application.

A. Patent Application No(s).

B. Patent No(s).

Additional Numbers Attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Kent S. Kokko
 Office of Intellectual Property Counsel
 3M Innovative Properties Company
 Phone Number: 651-733-3597
 Fax Number: 651-736-3833

32692

Customer Number

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR §§ 1.21(h) & 3.41):

\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Payment Information:

Deposit Account Number: 13-3723

Authorized User Name: Kent S. Kokko

9. Signature:

Printed Name of Attorney/Agent:

Kent S. Kokko

Registration No.: 33,931

Signature

October 14, 2005

Date

Pages: Total number of pages including COVER SHEET, attachments, and documents

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT
 REEL: 017133 FRAME: 0925

10-14-05

10/24/2005 DBYNE 00000066 133723 11250675
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ASSIGNMENT OF APPLICATION

Whereas I/we, the below named inventor(s), with residence and citizenship as indicated below, have made an invention in

CHROMONIC NANOPARTICLES CONTAINING BIOACTIVE COMPOUNDS

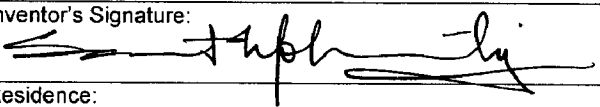

- ☒ for which an application for a United States Patent is being filed contemporaneously herewith;
☐ for which an application for a United States Patent identified as U.S. Patent Application No. ; was filed on ;
☐ which application is identified as PCT International Patent Application No. filed ;

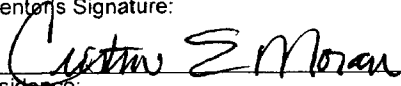
Now, therefore, for good and valuable consideration, receipt of which is acknowledged, I/we have agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly-owned subsidiary of 3M COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by me or made jointly with others (provided any such improvement is made during, or within one year after the termination of, my employment with 3M COMPANY and any of its existing or future subsidiaries), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by me/us had this assignment and transfer not been made;

I/We do further agree for myself/ourselves and for my/our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as I/we lawfully may, that may be deemed necessary by said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries; and


I/We do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

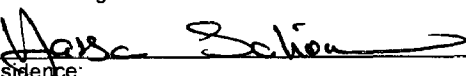
In witness whereof, I/we have signed my/our name(s) on the day and year set forth below.

Given Name (first and middle [if any]): Sanat		Family Name or Surname: MOHANTY	
Inventor's Signature: 		Date: 14 October '05	
Residence: Minneapolis, Minnesota		Country: USA	Citizenship: India
STATE OF MINNESOTA)) ss. COUNTY OF RAMSEY) On this 14th day of October 2005 , before me personally appeared the above-named Sanat MOHANTY, and who executed the foregoing instrument, as said person's free act and deed, on the day and year aforesaid.			
(Seal) 		Hylis H Froelke Notary Public	


Given Name (first and middle [if any]): Cristin E.		Family Name or Surname: MORAN	
Inventor's Signature: 		Date: 10/14/05	
Residence: Woodbury, Minnesota		Country: USA	Citizenship: United States of America

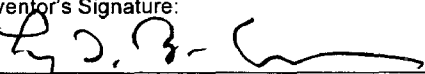
STATE OF MINNESOTA)
) ss.
COUNTY OF RAMSEY)
On this 14th day of October, 2005, before me personally appeared the above-named
Cristin E. MORAN, and who executed the foregoing instrument, as said person's free act and deed, on the day and year
aforesaid.

(Seal)  Hylis H. Froelke
Notary Public

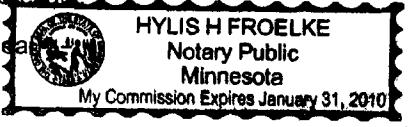
Given Name (first and middle [if any]): Hassan		Family Name or Surname: SAHOUANI	
Inventor's Signature: 		Date: 10-14-05	
Residence: Hastings, Minnesota		Country: USA	Citizenship: United States of America

STATE OF MINNESOTA)
) ss.
COUNTY OF RAMSEY)
On this 14th day of October, 2005, before me personally appeared the above-named
Hassan SAHOUANI, and who executed the foregoing instrument, as said person's free act and deed, on the day and year
aforesaid.

(Seal)  Hylis H. Froelke
Notary Public

Given Name (first and middle [if any]): Larry D.		Family Name or Surname: BOARDMAN	
Inventor's Signature: 		Date: 10-14-05	
Residence: Woodbury, Minnesota		Country: USA	Citizenship: United States of America

STATE OF MINNESOTA)
) ss.
COUNTY OF RAMSEY)
On this 14th day of October, 2005, before me personally appeared the above-named
Larry D. BOARDMAN, and who executed the foregoing instrument, as said person's free act and deed, on the day and year
aforesaid.

(Seal)  Hylis H. Froelke
Notary Public