

Form PTO-1595 (Rev. 03/05)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Serge Plotkin
Hristo Bojinov

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Network Appliance, Inc.

Internal Address: _____

Street Address: 495 East Java Drive

City: Sunnyvale

State: California

Country: USA Zip: 94089

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) December 1, 2005 & December 14, 2005

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/223,445

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Berkeley Law & Technology Group, LLC

Internal Address: Suite 240

Street Address: 1700 NW 167th Place

City: Beaverton

State: Oregon Zip: 97006

Phone Number: 503.439.6500

Fax Number: 503.439.6558

Email Address: _____

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged by credit card

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information


a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 50-3130

Authorized User Name Howard A. Skaist

9. Signature:


Signature

12/28/2005
Date

Steven J. Munson, Reg. No. 47,812

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 503130 11223445

700233444

PATENT
REEL: 017144 FRAME: 0162

ASSIGNMENT

Docket No.
001.P005

1001.2467

In consideration of One Dollar and Other good and valuable consideration, of which we acknowledge receipt, we

SERGE PLOTKIN
HRISTO BOJINOV

sell and assign to Network Appliance, Inc., a Delaware corporation having offices at 495 East Java Drive, Sunnyvale, CA 94089, and its successors and assigns the entire right, title and interest in and to the improvements in:

MANAGING THE ENCRYPTION OF DATA

invented by me (us), as described in the application for United States patent

☐ executed concurrently herewith, or

☒ U.S. Serial No.: 11/223,445, filed 9/9/2005

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuation and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said Network Appliance, Inc., or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

12/14/05
Date

Serge Plotkin



CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

STATE OF CALIFORNIA)

COUNTY OF San Mateo)

On December 14, 2005 before me, Gary Rossetto, Notary
DATE NAME, TITLE OF OFFICER - E.G., "JANE DOE, NOTARY PUBLIC"

personally appeared, SERGE PLATKIN

personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[Signature] (SEAL)
NOTARY PUBLIC SIGNATURE



OPTIONAL INFORMATION

THIS OPTIONAL INFORMATION SECTION IS NOT REQUIRED BY LAW BUT MAY BE BENEFICIAL TO PERSONS RELYING ON THIS NOTARIZED DOCUMENT.

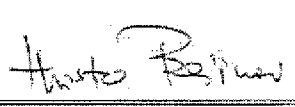
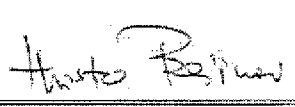
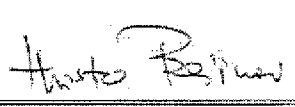
TITLE OR TYPE OF DOCUMENT AssignmentDATE OF DOCUMENT 12-14-05 NUMBER OF PAGES _____

SIGNER(S) OTHER THAN NAMED ABOVE _____

SIGNER'S NAME _____ SIGNER'S NAME _____

RIGHT THUMBPRINT

RIGHT THUMBPRINT

<h2 style="margin: 0;">ASSIGNMENT</h2>	Docket No. 001.P005 1P01-2467		
<p>In consideration of One Dollar and Other good and valuable consideration, of which we acknowledge receipt, we</p> <p>SERGE PLOTKIN HRISTO BOJINOV</p> <p>sell and assign to Network Appliance, Inc., a Delaware corporation having offices at 495 East Java Drive, Sunnyvale, CA 94089, and its successors and assigns the entire right, title and interest in and to the improvements in:</p> <p><u>MANAGING THE ENCRYPTION OF DATA</u></p> <p>invented by me (us), as described in the application for United States patent</p> <p><input type="checkbox"/> executed concurrently herewith, or</p> <p><input checked="" type="checkbox"/> U.S. Serial No.: <u>11/223,445</u>, filed <u>9/9/2005</u></p> <p>and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuation and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said Network Appliance, Inc., or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 20px;"><tr><td style="width: 50%; text-align: center; vertical-align: bottom; padding: 10px;"><div style="border-top: 1px solid black; margin-bottom: 5px;">Date</div><div style="font-size: 1.2em; margin-bottom: 5px;">12/01/05</div><div style="border-top: 1px solid black; margin-bottom: 5px;">DATE</div></td><td style="width: 50%; text-align: center; vertical-align: bottom; padding: 10px;"><div style="border-top: 1px solid black; margin-bottom: 5px;">Serge Plotkin</div><div style="font-size: 1.2em; margin-bottom: 5px;"></div><div style="border-top: 1px solid black; margin-bottom: 5px;">HRISTO BOJINOV</div></td></tr></table>		<div style="border-top: 1px solid black; margin-bottom: 5px;">Date</div> <div style="font-size: 1.2em; margin-bottom: 5px;">12/01/05</div> <div style="border-top: 1px solid black; margin-bottom: 5px;">DATE</div>	<div style="border-top: 1px solid black; margin-bottom: 5px;">Serge Plotkin</div> <div style="font-size: 1.2em; margin-bottom: 5px;"></div> <div style="border-top: 1px solid black; margin-bottom: 5px;">HRISTO BOJINOV</div>
<div style="border-top: 1px solid black; margin-bottom: 5px;">Date</div> <div style="font-size: 1.2em; margin-bottom: 5px;">12/01/05</div> <div style="border-top: 1px solid black; margin-bottom: 5px;">DATE</div>	<div style="border-top: 1px solid black; margin-bottom: 5px;">Serge Plotkin</div> <div style="font-size: 1.2em; margin-bottom: 5px;"></div> <div style="border-top: 1px solid black; margin-bottom: 5px;">HRISTO BOJINOV</div>		

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

Santa Clara

} ss.

On December 1, 2005

before me,

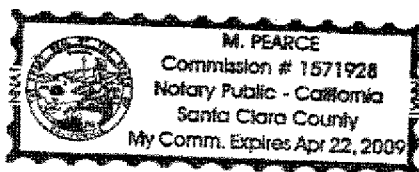
M. Pearce, Notary Public

Name and Title of Officer (e.g., "Linda Don, Notary Public")

personally appeared

Hristo Boyinov

Name(s) of Signer(s)

☐ personally known to me☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies); and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

M. Pearce
 Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document:

Assignment POI-2467

Document Date:

December 1, 2005

Number of Pages:

Signer(s) Other Than Named Above:

Capacity(ies) Claimed by Signer

Signer's Name:

Hristo Boyinov☒ Individual☐ Corporate Officer — Title(s):☐ Partner — ☐ Limited ☐ General☐ Attorney-in-Fact☐ Trustee☐ Guardian or Conservator☐ Other:

Signer Is Representing:

Decca (Network Appliance)