

Docket No. 030612/US/4

RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
ARIE E. KAUFMAN, ZHENGRONG LIANG,
MARK R. WAX, MING WAN and
DONGQING CHENAdditional name(s) of conveying party(ies) attached?
☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____Execution Date: October 21, 1999

2. Name and address of receiving party(ies)

Name: The Research Foundation of State
University of New YorkAddress: Office of Technology Licensing and
Industry Relations
N5002 Melville Library
SUNY at Stony BrookCity: Stony Brook State: NY Zip: 11794-3369Additional name(s) and address(es) attached?
☐ Yes ☒ No

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s)

09/974,548

B. Patent No.(s)

Additional numbers attached?

☐ Yes☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:Name: Paul D. AckermanDorsey & Whitney LLPAddress: 250 Park AvenueCity: New York State: NY Zip: 10177

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

50-2054(Attach duplicate copy of this page if paying by deposit
account)

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing instrumentation is true and correct and any attached copy is a true copy of the
original document.*Paul D. Ackerman

Reg. No. 39,891

Name of Person Signing



Signature

12/26/08
Date

Total number of pages including cover sheet, attachments and document:

6

CH \$40.00 502054 09974548

AP30612-A 072600 0161

ASSIGNMENT

WHEREAS, WE, ARIE E. KAUFMAN, ZHENGRONG LIANG, MARK R. WAX, MING WAN and DONGQING CHEN, citizens of the United States, United States, United States, China and China, respectively, residing in Plainview, County of Nassau, State of New York; Stony Brook, County of Suffolk, State of New York; Greenlawn, County of Suffolk, State of New York; Stony Brook, County of Suffolk, State of New York and Stonybrook, County of Suffolk, State of New York, respectively, whose post office addresses are 94 Cedar Drive W., Plainview, NY 11803; 9 Freshman Lane, Stony Brook, NY 11790; 6 E. Sanders Street, Greenlawn, NY 11740; 183 Chapin Complex, Stony Brook, NY 11790; and, 48 Pembroke Driveway, Stony Brook, NY 11790 respectively, have made an invention for which we have filed an application for Letters Patent of the United States entitled "SYSTEM AND METHOD FOR PERFORMING A THREE-DIMENSIONAL VIRTUAL SEGMENTATION AND EXAMINATION," Serial No. 09/343,012, filed on June 29, 1999; and

WHEREAS, THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK, a corporation organized and existing under the laws of the State of New York, and having an office for the transaction of business at Office of Technology Licensing, SUNY at Stony Brook, Stony Brook, New York 11794, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said ARIE E. KAUFMAN, ZHENGRONG LIANG, MARK R. WAX, MING WAN and DONGQING CHEN, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to us in hand paid by said THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK, and other valuable considerations unto us moving from said THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK, at or before the enrolling and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK, its successors and assigns, the entire right, title and interest in and to the said invention in said application for Letters Patent of the United States entitled "SYSTEM AND METHOD FOR PERFORMING A THREE-DIMENSIONAL VIRTUAL SEGMENTATION AND EXAMINATION", and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

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ASSIGNED TO

See Above

RECORDED

Oct 21 1999

SERIAL

10328

PATENT

REEL: 017150 FRAME: 0338

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AND WE, the said ARIE E. KAUFMAN, ZHENGRONG LIANG, MARK R. WAX, MING WAN and DONGQING CHEN, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK, its successors and assigns;

AND WE, the said ARIE E. KAUFMAN, ZHENGRONG LIANG, MARK R. WAX, MING WAN and DONGQING CHEN, for the considerations aforesaid, do hereby covenant and agree to and with said THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK, its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, except for any rights conferred to the United States government pursuant to a grant from the National Heart, Lung and Blood Institute, Grant No. HL 54166, National Institute of Neurological Disorders and Stroke, Grant Nos. NS 53833, NS 33859 and National Institute of Health, Grant No. CA 79180 and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK, its successors and assigns, may be required or necessary more effectively to secure to and vest in said THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

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IN WITNESS WHEREOF, WE, the said ARIE E. KAUFMAN, ZHENGRONG LIANG, MARK R. WAX, MING WAN and DONGQING CHEN, have hereunto set our hands and seals on the date below written.

A. Kaufman
ARIE E. KAUFMAN

STATE OF NEW YORK)
: ss.:
COUNTY OF SUFFOLK)

BE IT KNOWN, that on this 13 day of Oct, 1999, before me personally came ARIE E. KAUFMAN, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and acknowledged to me that the execution of the same was a free act and deed for the use and purposes therein mentioned.

Notary Public

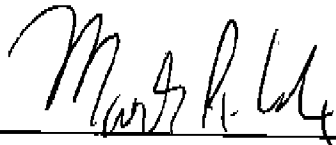
Zhengrong Liang
ZHENGRONG LIANG

STATE OF NEW YORK)
: ss.:
COUNTY OF SUFFOLK)

BE IT KNOWN, that on this 13 day of Oct, 1999, before me personally came ZHENGRONG LIANG, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and acknowledged to me that the execution of the same was a free act and deed for the use and purposes therein mentioned.

Notary Public

AP30612-A 072600.0161



MARK R. WAX

STATE OF NEW YORK)
 : ss.:
COUNTY OF SUFFOLK)

BE IT KNOWN, that on this 13 day of October, 1999, before me personally came MARK R. WAX, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and acknowledged to me that the execution of the same was a free act and deed for the use and purposes therein mentioned.

Notary Public



MING WAN

STATE OF NEW YORK)
 : ss.:
COUNTY OF SUFFOLK)

BE IT KNOWN, that on this 13 day of Octo, 1999, before me personally came MING WAN, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and acknowledged to me that the execution of the same was a free act and deed for the use and purposes therein mentioned.

Notary Public

AP30612-A 072600.0161


DONGQING CHEN

STATE OF NEW YORK)
 : ss.:
COUNTY OF SUFFOLK)

BE IT KNOWN, that on this 13 day of October, 1999, before me personally came DONGQING CHEN, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and acknowledged to me that the execution of the same was a free act and deed for the use and purposes therein mentioned.

Notary Public