

U.S. DEPARTMENT OF COMMERCE nited States Patent and Trademark Office

REC 103112373 To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 2. Name and address of receiving party(ies) 1. Name of conveying party(ies)/Execution Date(s): Name: University Research Corporation Walden K. Roberts (a) Claude P. Selitrennikoff (b) Internal Address: Bridget E. Laue (c) Execution Date(s) 3/10/94 (a) and 3/11/94 (b) and (c) Street Address: 1305 University Avenue Additional name(s) of conveying party(ies) attached? Yes 🗹 No 3. Nature of conveyance: ✓ Assignment Merger City: Boulder Change of Name J Security Agreement State: Colorado Government Interest Assignment Country: United States of America Executive Order 9424, Confirmatory License Other Additional name(s) & address(es) attached? 4. Application or patent number(s): This document is being filed together with a new application. B. Patent No.(s) A. Patent Application No.(s) 5,559,034 Yes V No Additional numbers attached? 6. Total number of applications and patents 5. Name and address to whom correspondence concerning document should be mailed: involved: Name: Larry W. Stults 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00 Authorized to be charged by credit card Internal Address: Syngenta Biotechnology, Inc. Authorized to be charged to deposit account ✓ Enclosed Street Address: 3054 Comwallis Road None required (government interest not affecting title) 8. Payment Information City: Research Triangle Park a. Credit Card Last 4 Numbers 8991 Zip: 27709 State: NC Expiration Date 4/06 Phone Number: 919-765-5117 b. Deposit Account Number \_ Fax Number: 919-541-8689 Authorized User Name Email Address: edouard.lebel@syngenta.com 9. Signature: Total number of pages including cover Edouard G. Lebel, Ph.D., Reg. No. 43,742

> Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to: Mall Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

11/01/2005 ECOOPER 00000171 5559034

01 FC:8021

Name of Person Signing

sheet, attachments, and documents:

REEL: 017154 FRAME: 0290

## **ASSIGNMENT**

WHEREAS WE,

WALDEN K. ROBERTS residing at 5320 East 17th Avenue

Denver, Colorado 80220

CLAUDE P. SELITRENNIKOFF residing at 264 Ponderosa Place

Evergreen, Colorado 80439

BRIDGET E. LAUE residing at 311 East Seventh St., Apt. 4

Davis, California 95616

(herein called "ASSIGNORS"), are coinventors of the invention in "SYNERGISTIC ANTIFUNGAL PROTEIN AND COMPOSITIONS CONTAINING SAME" for which we have executed an application for a Patent of the United States filed January 10, 1994, Serial No. 08/178,708;

WHEREAS, University Research Corporation, a Colorado for-profit corporation, (herein called "ASSIGNEE"), having a principal place of business at 1305 University Avenue, Boulder, Colorado 80302, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration the receipt of which is hereby acknowledged, we the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under

ABRUM657CIPUCRINV.ASS

the said invention, and the said United States Application and all divisions, renewals and

continuations thereof, and all Patents of the United States which may be granted thereon and all

reissues and extensions thereof; and all applications for industrial property protection, including,

without limitation, all applications for patents, utility models, and designs which may hereafter

be filed for said invention in any country or countries foreign to the United States, together with

the right to file such applications and the right to claim for the same the priority of the United

States, the International Convention of the Protection of Industrial Property, or any other

international agreement or the domestic laws of the country in which any such application is

filed, as may be applicable; and all forms of industrial property protection, including without

limitation, patents, utility models, inventors' certificates and designs which may be granted for

said invention in any country or countries foreign to the United States and all extensions,

renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and

Trademarks of the United States, and any Official of any country or countries foreign to the

United States whose duty it is to issue patents or other evidence or forms of industrial property

protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors,

legal representatives and assigns, in accordance with the terms of this instrument;

AND WE HEREBY covenant and agree that we have full right to convey the

entire interest herein assigned, and that we have not executed, and will not execute, any

agreement in conflict herewith;

AND WE HEREBY further covenant and agree that we will communicate to the

said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me

respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all

ABRUM657CIPUCRINV.ASS

2

divisional, continuing, reissue and foreign application, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

| Dated: | 3/10/94 | Willen KRobert           |
|--------|---------|--------------------------|
|        | , , ,   | WALDEN K. ROBERTS        |
|        |         |                          |
| Dated: |         | CLAUDE P. SELITRENNIKOFF |
|        |         |                          |
| Dated: |         |                          |
|        |         | BRIDGET E LAUE           |

ABRUM657CIPAUCRINV.ASS

3

## **ASSIGNMENT**

WHEREAS WE.

WALDEN K. ROBERTS residing at 5320 East 17th Avenue

Denver, Colorado 80220

CLAUDE P. SELITRENNIKOFF residing at 264 Ponderosa Place

Evergreen, Colorado 80439

BRIDGET E. LAUE residing at 311 East Seventh St., Apt. 4

Davis, California 95616

(herein called "ASSIGNORS"), are coinventors of the invention in "SYNERGISTIC ANTIFUNGAL PROTEIN AND COMPOSITIONS CONTAINING SAME" for which we have executed an application for a Patent of the United States filed January 10, 1994, Serial No. 08/178,708;

WHEREAS, University Research Corporation, a Colorado for-profit corporation, (herein called "ASSIGNEE"), having a principal place of business at 1305 University Avenue, Boulder, Colorado 80302, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration the receipt of which is hereby acknowledged, we the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under

ABRUN 657CIPVICRINV.ASS

the said invention, and the said United States Application and all divisions, renewals and

continuations thereof, and all Patents of the United States which may be granted thereon and all

reissues and extensions thereof; and all applications for industrial property protection, including,

without limitation, all applications for patents, utility models, and designs which may hereafter

be filed for said invention in any country or countries foreign to the United States, together with

the right to file such applications and the right to claim for the same the priority of the United

States, the International Convention of the Protection of Industrial Property, or any other

international agreement or the domestic laws of the country in which any such application is

filed, as may be applicable; and all forms of industrial property protection, including without

limitation, patents, utility models, inventors' certificates and designs which may be granted for

said invention in any country or countries foreign to the United States and all extensions,

renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and

Trademarks of the United States, and any Official of any country or countries foreign to the

United States whose duty it is to issue patents or other evidence or forms of industrial property

protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors,

legal representatives and assigns, in accordance with the terms of this instrument;

AND WE HEREBY covenant and agree that we have full right to convey the

entire interest herein assigned, and that we have not executed, and will not execute, any

agreement in conflict herewith;

AND WE HEREBY further covenant and agree that we will communicate to the

said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me

respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all

ABRUN657CIPUCRINV.ASS

2

divisional, continuing, reissue and foreign application, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year

BRIDGET E. LAUE

Dated:

Dated:

WALDEN K. ROBERTS

Dated:

CLAUDE R. SELITRENNIKOFF

ABRUM657CIPUCRINV.ASS

Dated:

3

## **ASSIGNMENT**

WHEREAS WE.

WALDEN K. ROBERTS residing at 5320 East 17th Avenue

Denver, Colorado 80220

CLAUDE P. SELITRENNIKOFF residing at 264 Ponderosa Place

Evergreen, Colorado 80439

BRIDGET E. LAUE residing at 311 East Seventh St., Apt. 4

Davis, California 95616

(herein called "ASSIGNORS"), are coinventors of the invention in "SYNERGISTIC ANTIFUNGAL PROTEIN AND COMPOSITIONS CONTAINING SAME" for which we have executed an application for a Patent of the United States filed January 10, 1994, Serial No. 08/178,708;

WHEREAS, University Research Corporation, a Colorado for-profit corporation, (herein called "ASSIGNEE"), having a principal place of business at 1305 University Avenue, Boulder, Colorado 80302, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration the receipt of which is hereby acknowledged, we the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under

ABRUN657CIPUCRINV.ASS

continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority of the United States, the International Convention of the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including without

limitation, patents, utility models, inventors' certificates and designs which may be granted for

said invention in any country or countries foreign to the United States and all extensions,

the said invention, and the said United States Application and all divisions, renewals and

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all

ABRUNI657CIPUCRINV.ASS

renewals and reissues thereof:

2

divisional, continuing, reissue and foreign application, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year

Dated:

Dated:

CLAUDE P. SELITRENNIKOFF

Dated:

BRIDGET E. LAUE

ABRUNI 657 CIPUCRINY ASS

**RECORDED: 10/31/2005** 

3