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RECORDATION

11-03-2005 103112310

ev Docket No.: EH-10349

U.S. Department of Commerce

Patent & Trademark Office

1. Name of Conveying Party(ies):

Michael C. Pezzetti, Junior

Peter A. Faucher

Daniel E. Kane

01-31-92

Randall R. Good

Additional names of conveying parties attached? "Yes No X

3. Nature of Conveyance:

X assignment

" Merger

"Security Agreement

" Change of Name

" Other

Execution Date(s)10/12/05;10/12/05;10/12/05;08/25/05

2. Name and Address of Receiving Party(ies):

United Technologies Corporation

1 Financial Plaza

Hartford, CT 06101

4. Application Number(s) or Patent Number(s):

If this document is being filed together with a new application, the execution date(s) of the application is (are):

A. Patent Application No(s):11/193,863

C. Patent Application Date: July 30, 2005

B. Patent No(s):

5. Name & Address of Party to Whom Correspondence

11193863

Concerning Document Should be Mailed:

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6. Total Number of Applications & Patents Involved 1

Additional names of conveying parties attached? "Yes No X

7. Total Fee (37 CFR 3.41): \$40.00

" Enclosed

XAuthorized to be Charged to Deposit Account

Paid - See Original Transmittal

8. Deposit Account Number: 21-0279

Order Number: EH-10349

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9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gene D. Fleischhauer, Reg. No. 27,989

Name of person signing paper

Gene D. Fluschhauer 1 October 22, 2005

Date October 22, 2005

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## **ASSIGNMENT**

## WHEREAS

Michael C. Pezzetti, Junior, Vernon, CT 06066; Peter A. Faucher, Brooklyn, CT 06234; Daniel E. Kane, Tolland CT 06082; Randall R. Good, Simpsonville, SC, 29680 all of the USA, have invented certain improvements in a STATOR ASSEMBLY, MODULE AND METHOD FOR FORMING A ROTARY MACHINE identified as Attorney Docket No. EH-10349, and described in patent application filed on July 30, 2005, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

PATENT REEL: 017158 FRAME: 0689

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|---|---|
| IN TESTIMONY WHEREOF, this(their) hand(s) and seal(s) on the date   |   |
| (L.S) Michael C. Pezzetti, Junior   | 10/12/05 (Date)   |
| State of Connecticut ) County of HARTFOLD )   | ss:   |
| On this 12 day of ectiber, 2005, before me personally appeared Michael C. Pezzetti, Junior to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed. |   |
| $\binom{\varsigma}{}$   | Notary Public, State of Connecticut   |
| {SEAL}  | MY COMMISSION EXPIRES:  |
| (L.S) Atacher  Peter A. Faucher   |   |
| State of Connecticut ) County of Houteur )  | ss:   |
| Peter A. Faucher to me personally kn  | , 2005, before me personally appeared nown, and known to me to be the person(s) and acknowledged the signing of same as |
| (3)   | Notary Public, State of Connecticut   |
| {SEAL}  | MY COMMISSION EXPIRES:  |

PATENT REEL: 017158 FRAME: 0690

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|--|--|
| (L.S) Daniel & Kane ****   | 10 /12/05 *****<br>(Date)  |
| Daniel E. Kane   | (Date)   |
| State of Connecticut )   | SS:  |
| County of Herl ford  |  |
| On this 12 <sup>rt</sup> day of 0 1 2005, before me personally appeared <b>Daniel E. Kane</b> to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed. |  |
|  | generD. Eles inham   |
|  | Compassioner of Superior Court   |
|  | Notary Public, State of Connecticut  |
| {SEAL}   | MY COMMISSION EXPIRES:   |
| ***  | ***  |
| (L.S) Candall R. Hood<br>Randall R. Good   | 8/25/05<br>/(Date)   |
| State of South Carolina )  |  |
| County of Greenin (b)  | ss:  |
|  | nown, and known to me to be the person(s), and acknowledged the signing of same as |
| Contained  | 11/1 000   |
| WILLIAM D. JONES<br>NOTARY PUBLIC  | CHAMAST  |
| STATE OF SOUTH CAPOLINA My Commission Expires June 9, 2914   | Notary Public, State of South Carolina   |
| {SEAL}   | MY COMMISSION EXPIRES  |
|  | My Commission Expires June 9, 2014   |

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