Substitute Form PTQ-1595 Attorney Docket No.: 12406-074003 Client's Ref. No.: 1998P1998 US N2

# RECORDATION FORM COVER SHEET **PATENTS ONLY**

| ent(s) or copy(les).                                                                        |  |
|---------------------------------------------------------------------------------------------|--|
|                                                                                             |  |
| Name and address of receiving party(ies);                                                   |  |
| Osram Opto Semiconductors GmbH & Co. OHG Wernerwerkstrasse 2 93049 Regensburg Germany       |  |
|                                                                                             |  |
|                                                                                             |  |
|                                                                                             |  |
|                                                                                             |  |
| Additional names/addresses attached? ☐ Yes ☑ No                                             |  |
|                                                                                             |  |
| <u> </u>                                                                                    |  |
| date of the application is:                                                                 |  |
| B: Patent No(s).;                                                                           |  |
| 6,624,491                                                                                   |  |
| recorded at Reel/Frame 011935/0629                                                          |  |
| 6,858,879                                                                                   |  |
| recorded at Reel/Frame 014269/0811                                                          |  |
| ached? ☐ Yes 国 No                                                                           |  |
| 6. Total number of applications/patents involved: 1                                         |  |
| 7. Total fee (37 CFR §3.41): \$120                                                          |  |
| □ Enclosed                                                                                  |  |
| ☑ Authorized to charge Deposit Account.                                                     |  |
| 8. Deposit Account No.: 06-1050                                                             |  |
| Please apply any additional charges, or any credits, to our<br>Deposit Account No. 06-1050. |  |
| THIS SPACE                                                                                  |  |
|                                                                                             |  |
|                                                                                             |  |
|                                                                                             |  |
| belief, the foregoing information is true and correct and                                   |  |
|                                                                                             |  |
| - ,                                                                                         |  |
| 11 1/4/20                                                                                   |  |
| <u> </u>                                                                                    |  |
| Date                                                                                        |  |
|                                                                                             |  |
| f pages including coversheet, attachments and document:                                     |  |
|                                                                                             |  |

21241967.doc

### CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

Duy CG. For LAT CHEAST B. GORREST

Typed Namports Name Certificate

**REEL: 017164 FRAME: 0992** 

JAN. 9. 2006 11:51AM (3) FISH & RICHARDSON 6175428906

NO. 6653 P. 3/9

07-SEP-2005 15:03

FA. EPPING

+49 89 50032999

99 S. PD /PX

GR1996P1998P US

#### ASSIGNMENT

For good and valuable consideration, I/we, the undersigned

WAITL, Güntler

residing at:

Preschweg 3, D-93049 Regensburg

Germany

(Bundesrepublic Deutschland)

BRUNNER, Herbert

Winklergasse 18 D-03647 Repensburg

Germany

(Bundesropublik Dautschland)

hereby sell, essign, and transfer to

Osram Opto Semiconductors GMBH & Co. 0 H &

a German corporation organized and existing under the laws of the Faderal Republic of Germany, having its principal place of business in Municis, Federal Republic of Germany herein the "Assignas", its successors, assigns and legal representatives the entire and exclusive right, title and interest in and for the United States, in all and to any improvements in the

(Mid:) Improved Diode Housing

disclosed in the PCT international application for Letters Patent designating the United States, said application being identified in our records as

Applicants File No.

GR1998P1998P US

and flied as PCT-application

PCY/DE09/01912

and in and to said application and all divisional, continuing autositute, renewal, release and all other applications for Latters Patent which have been or shall be filed in the United States on any of said improvements; and in and to all original and released patents which have been or shall be lacued in the United States on said improvements;

hereby agree that said Assignee may epply for and receive Letters Patent for said improvements in its own name and that when requested without charge to but at the expense of said Assignee, its successors, tassigns, and legal representatives, to carry out in good feith the intent and purpose of this agreement, the undersigned will execute all divisional,

PATENT

REEL: 017164 FRAME: 0993

(3) FISH & RICHARDSON 6175428906,

NO.6653 — P. 4/9—

07-SEP-2005 15:04

FA. EPPING

+49 89 50032999

S. 23/23

2

continuing substitute, renewal, release and all other patent applications on any and all said improvements; execute all rightful ordine, sessignments, powers of altomay and other papers; communicate to said Apalgree, its successors, assigns and legal representatives all facts known to the undereigned retaining to said improvements and the bistory thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for alding in securing and maintaining proper patent protection for said improvements and all applications for patents and all patents on said improvements in said Assignee, its successors, assigns, and legal representatives; and

hereby coverant with said Assignee, its successors, sasigns and legal representatives that no sesignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed had been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: Hovemon Os. 2010

lank 78001 (Inde Hana

(type name)

(Jäger Hunald)

Deta Moraula, 83,2000

HEIDER BRUNNER

Witnesses: Kalket

mes: Robert dut (Hobert dut)

(type name)

Minosana Babon - Late Molle Polity (Scalle, Palich)

(Authoritan edv.)

GESAMT SETTEN 03

PATENT REEL: 017164 FRAME: 0994

GR1998P1998P US

# ASSIGNMENT

For good and valuable consideration, I/we, the undersigned

WAITL, Günther

residing at:

Praschweg 3, D-93049 Regensburg

Germany

(Bundesrepublik Deutschland)

BRUNNER, Herbert

Winklergasse 16 D-93047 Regensburg

Germany

(Bundesrepublik Deutschland)

hereby sell, assign, and transfer to

## Osram Opto Semiconductors GMBH & Co.

a German corporation organized and existing under the laws of the Federal Republic of Germany, having its principal place of business in Munich, Federal Republic of Germany herein the "Assignee", its successors, assigns and legal representatives the entire and exclusive right, title and interest in and for the United States, in all and to any improvements in the

(Title:) Improved Diode Housing

disclosed in the PCT international application for Letters Patent designating the United States, said application being identified in our records as

Applicants File No.

GR1998P1998P US

and filed as PCT-application

PCT/DE99/01912

and in and to said application and all divisional, continuing substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States on any of said improvements; and in and to all original and relssued patents which have been or shall be issued in the United States on said improvements;

hereby agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name and that when requested without charge to but at the expense of said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional,

PATENT REEL: 017164 FRAME: 0995

continuing substitute, renewal, reissue and all other patent applications on any and all said improvements; execute all rightful caths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and legal representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements in said Assignee, its successors, assigns, and legal representatives; and

hereby covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed had been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned,

Date: Novembar 03.

(type name)

(type name)

(type name)

Witnesses:

Holle BLD (Dolle, Pakich)

(type name)

PATENT REEL: 017164 FRAME: 0996

**RECORDED: 01/09/2006**