



10/31/05

11-07-2005



103114844

Form PTO-1595
OMB No. 0651-0027

RECORDATION
DATE

DEPARTMENT OF COMMERCE
Patent and Trademark Office
Jacket No. 53893-5054US1

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

1. Name of conveying parties:

James L. Riley
Carl H. June
Robert H. Vonderheide
Nicole Aquino
Megan M. Suhoski

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **The Trustees of the University of Pennsylvania**

Address: 3160 Chestnut Street
Suite 200
Philadelphia, PA 19104

Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution date(s): August 19, 22, 26, 2005 and September 16, 2005

4. Application number(s) or patent number(s):

Patent Application No.(s): 11/137,807, filed May 25, 2005

A. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Kathryn Doyle
DRINKER BIDDLE & REATH LLP
One Logan Square
18th & Cherry Streets
Philadelphia, PA 19103

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41) **\$40.00**

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account No. **50-0573**

DO NOT USE THIS SPACE

9. Signature

Darryl Pratcher
Name of Person Signing

Darryl Pratcher
Signature

October 28, 2005
Date

Total number of pages including cover sheet, attachments and documents: 17

10/31/2005 YPOLITE1 00000025 11137807

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40.00 OP

PHIP\403028\1

PATENT

IRBEL: 017171 FRAME: 0904

ASSIGNMENT

WHEREAS, We, James L. Riley, Carl H. June, Robert H. Vonderheide, Nicole Aqui and Megan M. Suhoski, with respective post office addresses of 435 Creekside Drive, Downingtown, Pennsylvania 19335, 409 Baird Road, Merion Station, Pennsylvania 19066-1416, 518 Lafayette Road, Merion Station, Pennsylvania 19066, 2713 North 45th Street, Philadelphia, Pennsylvania 19131, 5176 Nuangola Road, Nuangola, Pennsylvania 18707, citizens of the United States of America, hereinafter generally referred to as "ASSIGNORS," have invented a certain new and useful

NOVEL ARTIFICIAL ANTIGEN PRESENTING CELLS AND USES THEREFOR

for which I have executed a United States patent application, Application No.: 11/137,807, filed May 25, 2005, and

ASSIGNORS authorize ASSIGNEE or ASSIGNEE's representative to insert the Application Number and Filing Date of this application if they are unknown at the time of execution of this Assignment.

WHEREAS, The Trustees of the University of Pennsylvania, with a principal place of business at 3160 Chestnut Street, Suite 200, Philadelphia, Pennsylvania 19104-6283, hereinafter generally referred to as "ASSIGNEE", is desirous of acquiring said invention and said patent application.

NOW, THEREFORE, in consideration of the sum of One Dollar and other good and valuable executed consideration, the full receipt and sufficiency of all of which are hereby acknowledged and intending to be legally bound hereby, we, the undersigned ASSIGNORS, hereby agree to sell, assign, transfer and convey and by these presents do sell, assign, transfer and convey unto the above-named ASSIGNEE, the whole and entire right, title and interest

in and to said invention as described in the above patent application, for the territory of the United States and its possessions and territories and all foreign countries;

in and to the above patent application and any and all related United States and foreign patent applications disclosing said invention, including provisionals, non-provisionals, divisions and continuations thereof, along with all rights of priority created by said patent application under any treaty relating thereto; and

in and to all United States and foreign patents which may be granted on any and all of said patent applications, including extensions, reissues and reexamination certificates thereof;

said invention, application(s) and patent(s) to be held and enjoyed by the above-named ASSIGNEE, for ASSIGNEE's own use and benefit, and for ASSIGNEE's legal representatives and assigns to the full end of the term or terms for which said patent(s) may be granted, as fully and entirely as the

same would have been held by the undersigned ASSIGNORS had this assignment and sale not been made; and for the aforesaid consideration ASSIGNORS hereby covenant, agree and undertake to execute, whenever requested by the above-named ASSIGNEE, all patent applications, assignments, lawful oaths and any other papers which ASSIGNEE may deem necessary or desirable for securing to ASSIGNEE or for maintaining for ASSIGNEE all the patent(s) hereby assigned or agreed to be assigned; all without further compensation to the undersigned ASSIGNORS.

22 Aug 05
Date

James L. Riley
James L. Riley

(Seal)

STATE OF Penna :
COUNTY OF Chester : ss.

Before me, a notary public in and for the State and County aforesaid, on this 22ND day of August, 2005, personally appeared **James L. Riley**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Chrystal Mackey
Notary Public
My Commission Expires: NOV. 10, 2005

Notarial Seal
Chrystal Mackey, Notary Public
Calm Twp., Chester County
My Commission Expires Nov. 10, 2005
Member, Pennsylvania Association of Notaries

(Seal)

Date

Carl H. June

STATE OF _____ :
: ss.
COUNTY OF _____ :

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **Carl H. June**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

(Seal)

Date

Robert H. Vonderheide

STATE OF _____ :
: ss.
COUNTY OF _____ :

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **Robert H. Vonderheide**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

(Seal)

Date

Nicole Aquí

STATE OF _____ :
: ss.
COUNTY OF _____ :

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **Nicole Aquí**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

(Seal)

Date

Megan M. Suhoski

STATE OF _____ :
: ss.
COUNTY OF _____ :

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **Megan M. Suhoski**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

ASSIGNMENT

WHEREAS, We, James L. Riley, Carl H. June, Robert H. Vonderheide, Nicole Aqui and Megan M. Suhoski, with respective post office addresses of 435 Creekside Drive, Downingtown, Pennsylvania 19335, 409 Baird Road, Merion Station, Pennsylvania 19066-1416, 518 Lafayette Road, Merion Station, Pennsylvania 19066, 2713 North 45th Street, Philadelphia, Pennsylvania 19131, 5176 Nuangola Road, Nuangola, Pennsylvania 18707, citizens of the United States of America, hereinafter generally referred to as "ASSIGNORS," have invented a certain new and useful

NOVEL ARTIFICIAL ANTIGEN PRESENTING CELLS AND USES THEREFOR

for which I have executed a United States patent application, Application No.: 11/137,807, filed May 25, 2005, and

ASSIGNORS authorize **ASSIGNEE** or **ASSIGNEE's** representative to insert the Application Number and Filing Date of this application if they are unknown at the time of execution of this Assignment.

WHEREAS, The Trustees of the University of Pennsylvania, with a principal place of business at 3160 Chestnut Street, Suite 200, Philadelphia, Pennsylvania 19104-6283, hereinafter generally referred to as "**ASSIGNEE**", is desirous of acquiring said invention and said patent application.

NOW, THEREFORE, in consideration of the sum of One Dollar and other good and valuable executed consideration, the full receipt and sufficiency of all of which are hereby acknowledged and intending to be legally bound hereby, we, the undersigned **ASSIGNORS**, hereby agree to sell, assign, transfer and convey and by these presents do sell, assign, transfer and convey unto the above-named **ASSIGNEE**, the whole and entire right, title and interest

in and to said invention as described in the above patent application, for the territory of the United States and its possessions and territories and all foreign countries;

in and to the above patent application and any and all related United States and foreign patent applications disclosing said invention, including provisionals, non-provisionals, divisions and continuations thereof, along with all rights of priority created by said patent application under any treaty relating thereto; and

in and to all United States and foreign patents which may be granted on any and all of said patent applications, including extensions, reissues and reexamination certificates thereof;

said invention, application(s) and patent(s) to be held and enjoyed by the above-named **ASSIGNEE**, for **ASSIGNEE's** own use and benefit, and for **ASSIGNEE's** legal representatives and assigns to the full end of the term or terms for which said patent(s) may be granted, as fully and entirely as the

same would have been held by the undersigned ASSIGNORS had this assignment and sale not been made; and for the aforesaid consideration ASSIGNORS hereby covenant, agree and undertake to execute, whenever requested by the above-named ASSIGNEE, all patent applications, assignments, lawful oaths and any other papers which ASSIGNEE may deem necessary or desirable for securing to ASSIGNEE or for maintaining for ASSIGNEE all the patent(s) hereby assigned or agreed to be assigned; all without further compensation to the undersigned ASSIGNORS.

Date

James L. Riley

(Seal)

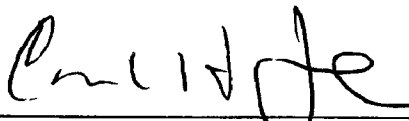
STATE OF _____:
: ss.
COUNTY OF _____:

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **James L. Riley**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

25 Aug 05

Date

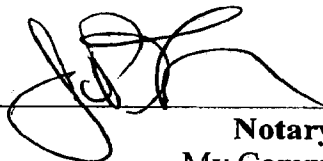

Carl H. June

(Seal)

STATE OF PA :
: ss.
COUNTY OF Montgomery :

Before me, a notary public in and for the State and County aforesaid, on this 26 day of August, 2005, personally appeared **Carl H. June**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
John-Paul Gerhold, Notary Public
Lower Merion Twp., Montgomery County
My Commission Expires Jan. 4, 2009
Member, Pennsylvania Association of Notaries



Notary Public
My Commission Expires: 01/04/09

Date

Robert H. Vonderheide

(Seal)

STATE OF _____ :
: ss.
COUNTY OF _____ :

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **Robert H. Vonderheide**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

Date

Nicole Aqui

(Seal)

STATE OF _____ :
: ss.
COUNTY OF _____ :

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **Nicole Aqui**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

Date

Megan M. Suhoski

(Seal)

STATE OF _____ :
: ss.
COUNTY OF _____ :

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **Megan M. Suhoski**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

ASSIGNMENT

WHEREAS, We, James L. Riley, Carl H. June, Robert H. Vonderheide, Nicole Aqui and Megan M. Suhoski, with respective post office addresses of 435 Creekside Drive, Downingtown, Pennsylvania 19335, 409 Baird Road, Merion Station, Pennsylvania 19066-1416, 518 Lafayette Road, Merion Station, Pennsylvania 19066, 2713 North 45th Street, Philadelphia, Pennsylvania 19131, 5176 Nuangola Road, Nuangola, Pennsylvania 18707, citizens of the United States of America, hereinafter generally referred to as "ASSIGNORS," have invented a certain new and useful

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for which I have executed a United States patent application, Application No.: 11/137,807, filed May 25, 2005, and

ASSIGNORS authorize **ASSIGNEE** or **ASSIGNEE's** representative to insert the Application Number and Filing Date of this application if they are unknown at the time of execution of this Assignment.

WHEREAS, The Trustees of the University of Pennsylvania, with a principal place of business at 3160 Chestnut Street, Suite 200, Philadelphia, Pennsylvania 19104-6283, hereinafter generally referred to as "ASSIGNEE", is desirous of acquiring said invention and said patent application.

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in and to said invention as described in the above patent application, for the territory of the United States and its possessions and territories and all foreign countries;

in and to the above patent application and any and all related United States and foreign patent applications disclosing said invention, including provisionals, non-provisionals, divisions and continuations thereof, along with all rights of priority created by said patent application under any treaty relating thereto; and

in and to all United States and foreign patents which may be granted on any and all of said patent applications, including extensions, reissues and reexamination certificates thereof;

said invention, application(s) and patent(s) to be held and enjoyed by the above-named **ASSIGNEE**, for **ASSIGNEE's** own use and benefit, and for **ASSIGNEE's** legal representatives and assigns to the full end of the term or terms for which said patent(s) may be granted, as fully and entirely as the

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(Seal)

STATE OF _____ :
COUNTY OF _____ : ss.

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **James L. Riley**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

Date

Carl H. June

(Seal)

STATE OF _____ :
: ss.
COUNTY OF _____ :

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **Carl H. June**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

9/16/05
Date

Robert H. Vonderheide
Robert H. Vonderheide

(Seal)

STATE OF PA :
: ss.
COUNTY OF MONTGOMERY :

Before me, a notary public in and for the State and County aforesaid, on this 16th day of SEPTEMBER, 2005, personally appeared **Robert H. Vonderheide**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Margaret Simpson
Notary Public
My Commission Expires:

NOTARIAL SEAL
MARGARET SIMPSON, Notary Public
Lower Merion Twp., Montgomery County
My Commission Expires April 2, 2006

PHIP\425858\1

PATENT

REEL: 017171 FRAME: 0915

Nicole Aquino

STATE OF _____ :
_____ : SS.
COUNTY OF _____ :

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **Nicole Aqui**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

Megan M. Suhoski

STATE OF _____ :
COUNTY OF _____ : ss.

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **Megan M. Suhoski**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

ASSIGNMENT

WHEREAS, We, James L. Riley, Carl H. June, Robert H. Vonderheide, Nicole Aqui and Megan M. Suhoski, with respective post office addresses of 435 Creekside Drive, Downingtown, Pennsylvania 19335, 409 Baird Road, Merion Station, Pennsylvania 19066-1416, 518 Lafayette Road, Merion Station, Pennsylvania 19066, 2713 North 45th Street, Philadelphia, Pennsylvania 19131, 5176 Nuangola Road, Nuangola, Pennsylvania 18707, citizens of the United States of America, hereinafter generally referred to as "ASSIGNORS," have invented a certain new and useful

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in and to said invention as described in the above patent application, for the territory of the United States and its possessions and territories and all foreign countries;

in and to the above patent application and any and all related United States and foreign patent applications disclosing said invention, including provisionals, non-provisionals, divisions and continuations thereof, along with all rights of priority created by said patent application under any treaty relating thereto; and

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same would have been held by the undersigned ASSIGNORS had this assignment and sale not been made; and for the aforesaid consideration ASSIGNORS hereby covenant, agree and undertake to execute, whenever requested by the above-named ASSIGNEE, all patent applications, assignments, lawful oaths and any other papers which ASSIGNEE may deem necessary or desirable for securing to ASSIGNEE or for maintaining for ASSIGNEE all the patent(s) hereby assigned or agreed to be assigned; all without further compensation to the undersigned ASSIGNORS.

(Seal)

Date _____

James L. Riley

STATE OF _____:
COUNTY OF _____: SS.

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **James L. Riley**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

Date

Carl H. June

(Seal)

STATE OF _____:
: ss.
COUNTY OF _____:

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **Carl H. June**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

Date

Robert H. Vonderheide

(Seal)

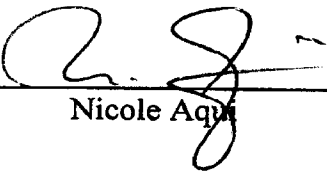
STATE OF _____:
: ss.
COUNTY OF _____:

Before me, a notary public in and for the State and County aforesaid, on this _____ day of _____, 2005, personally appeared **Robert H. Vonderheide**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public
My Commission Expires:

8/19/05

Date


Nicole Aquilino

(Seal)

STATE OF Pennsylvania :
COUNTY OF Philadelphia : SS.

Before me, a notary public in and for the State and County aforesaid, on this 19th day of August, 2005, personally appeared **Nicole Aquilino**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

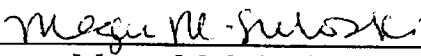

Maryellen Brada

Notary Public

My Commission Expires: 12-19-05

8/19/05

Date


Megan M. Suhoski

(Seal)

STATE OF Pennsylvania :
COUNTY OF Philadelphia : SS.

Before me, a notary public in and for the State and County aforesaid, on this 19th day of August, 2005, personally appeared **Megan M. Suhoski**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his/her free deed and act, signed, sealed and delivered by his/her for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.


Maryellen Brada

Notary Public

My Commission Expires: 12-19-05