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U.S. Department of Commerce Patents & Trademark Office

Attorney Docket No. 027923,00001

## Date: October 31, 2005 USPTO Document ID No. 102989432 To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. Name of conveying party(les)/Execution Date(s): Name and address of receiving party(ies): Name: National Institutes of Health (NIH) John A. CHIORINI 9000 Rockville Pike Address: Execution Date(s): February 22, 2005 Bethesda, Maryland 20892 3. Nature of conveyance: Assignment Security Agreement ☐ Change of Name Government Interest Assignment Executive Order 9424, Confirmatory License Other Response to Notice of Non-Recordation of Document requesting a New Coversheet regarding correct address information Additional name(s) & address(es) attached? ☐ Yes ⊠ No 4. Application number(s) or patent number(s): ☐ This document is being filed together with a new application. A. Patent Application No.(s) B. Patent No.(s) -11/005,410 filed December 6, 2004 Additional numbers attached? Yes No Name and address of party to whom correspondence 6. Total number of applications and patents involved: One concerning document should be mailed: 7. Total fee (37 CFR 1.21(h) & 3.41).......... \$40@0 Arent Fox PLLC Included in attached check. Street Address: 1675 Broadway Authorized to be charged by credit card. New York, NY 10019-5820 Authorized to be charged to Deposit Account. Any additional fees are authorized to be charged to Tel, No.: (212)484-3945Fax No.: (212) 484-3990 deposit account. 01-2300 8. Payment information Email Address: seide.rochelle@arentfox.com a. Credit Card Last 4 Numbers: 1007 11/04/2005 DBYRNE <del>0</del>0000090 012300 11005410 Expiration Date: 10/09 01 FC:#021 40.00 DA Deposit account number: 01-2300 (Attach duplicate) copy of this page if paying by deposit account) Authorized User Name: Rochelle K. Seide, Ph.D. DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and engaltached copy is a true copy of the original document. Rochelle K. Seide, Ph.D. October 31, 2005 Name of Person Signing Signature Date Reg. No. 32,300

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Total number of pages including cover sheet, attachments, and document:

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ATTORNEY DOCKET NO. 14014.3158
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## ASSIGNMENT

WHEREAS, I, JOHN A. CHIORINI, an employee of the Department of Health and Human Services, together with LYNDA S. OSTEDGAARD, MICHAEL J. WELSH, AND MARK F. STINSKI, have invented "TRUNCATED CMV PROMOTER AND VECTORS CONTAINING SAME," for which an application for Letters Patent of the United States, Serial No. 11/005,410, was filed December 6, 2004; and

WHEREAS, I am the applicant(s) named in the above-identified application(s); and

WHEREAS, the conditions under which said invention was made are such as to entitle THE GOVERNMENT OF THE UNITED STATES OF AMERICA [hereinafter THE GOVERNMENT] under Paragraph 1(a) of Executive Order 10096 to the entire right, title, and interest herein, both domestic and foreign; and

WHEREAS, THE GOVERNMENT is desirous of acquiring all domestic and foreign right, title, and interest in the above-mentioned invention described in the application for Letters Patent; and

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign and transfer to THE GOVERNMENT, as represented by the Secretary, Department of Health and Human Services, the full and exclusive rights in and to said invention in the United States and within each and every foreign country in which THE GOVERNMENT elects to file and the entire right, title, and interest in and to such application, and any subsequent application claiming priority to the above-identified application under 35 U.S.C. § 119(e), continuation, continuation-in-part, divisional, reissue, reexamination, or extension thereof, and including priority rights as may be filed in the United States and foreign countries, and such Letters Patent as may be granted to be held by THE GOVERNMENT to the

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PATENT

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end of the term for which the same would have been held by the inventors had this assignment not been made.

I further agree to make, execute, and deliver to THE GOVERNMENT, as represented by the Secretary, Department of Health and Human Services, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist THE GOVERNMENT in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by THE GOVERNMENT.

IN WITNESS WHEREOF	, I have executed this Assignment this day
of Feb 2005	
	ON. O.
	JOHN A. CHIORINI
State of Mary land County of Mont gones	
County of Mont gones	2
On this 22 Md day of the known to me known	wn and known to be the individual described in and who
executed the foregoing assignment, a and deed.	and he/she duly acknowledged the same to be his/her free act
and deed.	
Donn	tcheel
Notary Public	
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My Commission Expires: 5/1/200/