

Form PTO-1595 (Rev. 07/05)  
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U.S. DEPARTMENT OF COMMERCE  
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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Plumtree Software, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) January 19, 2006

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: BEA Systems, Inc.

Internal Address: \_\_\_\_\_

Street Address: 2315 North First Street

City: San Jose

State: California

Country: U.S.A. Zip: 95131

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s) 09/696,627

09/896,039 10/077,423 10/840,853 10/946,328  
09/949,532 10/085,780 10/840,979 10/966,359  
10/004,301 10/159,532 10/841,022 11/161,838  
10/059,460 10/414,813

B. Patent No.(s) 6,804,662

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Sheldon R. Meyer

Internal Address: Fliesler Meyer, LLP

Customer Number 23910

Street Address: Four Embarcadero Center,  
Fourth Floor

City: San Francisco

State: California Zip: 94111-4156

Phone Number: 415.362.3800

Fax Number: 415.362.2928

Email Address: officeactions@fdml.com

### 6. Total number of applications and patents involved: 16

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 640.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
 Expiration Date \_\_\_\_\_

b. Deposit Account Number 06-1325

Authorized User Name Fliesler Meyer, LLP

### 9. Signature:

Signature

January 19, 2006

Date

Sheldon R. Meyer, Reg. No. 27,660

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

9

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

Attorney Docket No.: BEAS-00300USO SRM  
 srm/beas/0300/PlumtreeAssignRecordCoverSheet.pdf

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**PATENT**  
**REEL: 017198 FRAME: 0606**

CH \$600.00 061325 09896039

**MEMORIALIZATION OF CORPORATE TO CORPORATE  
ASSIGNMENT OF PATENTS, APPLICATIONS, AND INVENTIONS**

WHEREAS, PLUMTREE SOFTWARE, INC., a corporation organized and existing under the laws of the State of Delaware and having a principal place of business at 500 Sansome Street, San Francisco, California, 94111 (hereinafter "ASSIGNOR"), hereby memorializes that ASSIGNOR was the owner of all right, title and interest in Letters Patents and applications therefor in Exhibit A and Exhibit B, attached hereto and incorporated herein by reference.

WHEREAS, PATENT PROPERTY shall include each and all of the following:

(a) the foregoing Letters Patent and applications therefor, including but not limited to those listed in Exhibit A and Exhibit B, all others of the foregoing applications, and the inventions disclosed therein, and all embodiments of such inventions heretofore owned by and/or assigned to ASSIGNOR (all collectively hereinafter termed "said inventions");

(b) all rights to apply in any and all countries of the world for patents, certificates of invention or other governmental grants on said inventions, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding;

(c) any and all applications filed and any and all patents, certificates of invention or other governmental grants granted on said inventions in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications;

(d) each and every reissue or extension of any of said patents;

(e) each and every patent claim resulting from a reexamination certificate for any and all of said patents, and

(f) the right to sue for and all claims for damages, profits or other recovery resulting from infringement, including past infringement, of any of the foregoing.

WHEREAS, BEA SYSTEMS, INC., a corporation organized and existing under the laws of the State of Delaware and having a principal place of business at 2315 North First Street, San Jose, California, 95131 (hereinafter "ASSIGNEE") is to acquire from ASSIGNOR the entire right, title and interest in and to said Patent Property.


NOW THEREFORE, for good and valuable consideration acknowledged by said ASSIGNOR to have been received in full from said ASSIGNEE:

1. ASSIGNOR memorializes that ASSIGNOR has sold, assigned, transferred and otherwise conveyed to ASSIGNEE, and ASSIGNEE's successors, legal representatives and assigns, the entire right, title and interest in and to the PATENT PROPERTY.


2. ASSIGNOR further agrees to execute and cause to be executed such additional instruments as may be necessary or desirable to confirm the transfer of rights as herein contemplated, to record the transfer of rights in the United States and throughout the world, and to permit ASSIGNEE, and ASSIGNEE's successors, legal representatives and assigns to enforce the PATENT PROPERTY.

IN WITNESS WHEREOF, ASSIGNOR has caused this instrument to be executed by its duly authorized corporate officer and delivered to ASSIGNEE.

## PLUMTREE SOFTWARE, INC.

By:   
Name: Robert F. Donohue  
Title: Chief Financial Officer and Secretary  
Date: January 19, 2006

## BEA SYSTEMS, INC.

By:   
Name: Robert F. Donohue  
Title: Sr. Vice President, General Counsel and Secretary  
Date: January 19, 2006

**EXHIBIT A**

BEA Systems, Inc.

U.S. Patents and Pending U.S. Patent Applications

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Application Number: 09/696,627	Application Date: 10/25/2000
Patent Number:	Issue Date:
Application Number: 09/698,873	Application Date: 10/27/2000
Patent Number: 6,804,662	Issue Date: 10/12/2004
Application Number: 09/896,039	Application Date: 6/29/2001
Patent Number:	Issue Date:
Application Number: 09/949,532	Application Date: 9/7/2001
Patent Number:	Issue Date:
Application Number: 10/004,301	Application Date: 11/2/2001
Patent Number:	Issue Date:
Application Number: 10/059,460	Application Date: 1/29/2002
Patent Number:	Issue Date:
Application Number: 10/077,423	Application Date: 2/15/2002
Patent Number:	Issue Date:
Application Number: 10/085,780	Application Date: 2/28/2002
Patent Number:	Issue Date:
Application Number: 10/159,532	Application Date: 5/31/2002
Patent Number:	Issue Date:
Application Number: 10/414,813	Application Date: 4/16/2003
Patent Number:	Issue Date:
Application Number: 10/840,853	Application Date: 5/7/2004
Patent Number:	Issue Date:
Application Number: 10/840,979	Application Date: 5/7/2004
Patent Number:	Issue Date:
Application Number: 10/841,022	Application Date: 5/7/2004
Patent Number:	Issue Date:
Application Number: 10/946,328	Application Date: 9/20/2004
Patent Number:	Issue Date:
Application Number: 10/966,359	Application Date: 10/14/2004
Patent Number:	Issue Date:
Application Number: 11/161,838	Application Date: 8/18/2005
Patent Number:	Issue Date:

**EXHIBIT B**  
**BEA Systems, Inc.**  
**International Patent Applications**

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Country: Europe  
Application Number: 01966647.8                      Application Date: 9/7/2001  
Title: METHOD AND SYSTEM FOR ASSEMBLING CONCURRENTLY-GENERATED CONTENT

Country: Europe  
Application Number: 02709545.4                      Application Date: 2/15/2002  
Title: ASSEMBLING CONCURRENTLY-GENERATED PERSONALIZED WEB PAGES

Country: Patent Cooperation Treaty  
Application Number: PCT/US01/28097                      Application Date: 9/7/2001  
Title: METHOD AND SYSTEM FOR ASSEMBLING CONCURRENTLY-GENERATED CONTENT

Country: Patent Cooperation Treaty  
Application Number: PCT/US02/04507                      Application Date: 2/15/2002  
Title: ASSEMBLING CONCURRENTLY-GENERATED PERSONALIZED WEB PAGES

Country: Australia  
Application Number: 2003-213723                      Application Date: 2/28/2003  
Title: EFFICIENTLY STORING INDENTED THREADS IN A THREADED  
DISCUSSION APPLICATION

Country: Canada  
Application Number: 2,477,733                      Application Date: 2/28/2003  
Title: EFFICIENTLY STORING INDENTED THREADS IN THREADED APPLICATION

Country: People's Republic of China  
Application Number: 03804880.9                      Application Date: 2/28/2003  
Title: EFFICIENTLY STORING INDENTED THREADS IN A THREADED  
DISCUSSION APPLICATION

Country: Europe  
Application Number: 03711411.3                      Application Date: 2/28/2003  
Title: EFFICIENTLY STORING INDENTED THREADS IN A THREADED  
DISCUSSION APPLICATION

Country: Hong Kong  
Application Number: 05100892.4                      Application Date: 2/3/2005  
Title: EFFICIENTLY STORING INDENTED THREADS IN A THREADED  
DISCUSSION APPLICATION

**EXHIBIT B**  
**BEA Systems, Inc.**  
**International Patent Applications**

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Country: India

Application Number: 424/KOL NP/04                      Application Date: 2/28/2003

Title: EFFICIENTLY STORING INDENTED THREADS IN A THREADED  
DISCUSSION APPLICATION

Country: Japan

Application Number: 2003-571890                      Application Date: 2/28/2003

Title: EFFICIENTLY STORING INDENTED THREADS IN A THREADED  
DISCUSSION APPLICATION

Country: Republic of Korea

Application Number: 10-2004-7013494                      Application Date: 2/28/2003

Title: EFFICIENTLY STORING INDENTED THREADS IN A THREADED  
DISCUSSION APPLICATION

Country: Patent Cooperation Treaty

Application Number: PCT/US03/06694                      Application Date: 2/28/2003

Title: EFFICIENTLY STORING INDENTED THREADS IN THREADED APPLICATION

Country: Canada

Application Number:                      Application Date:

Title: WEB SERVER HIT MULTIPLIER AND REDIRECTOR

Country: People's Republic of China

Application Number: 0                      Application Date: 11/25/2003

Title: WEB SERVER HIT MULTIPLIER AND REDIRECTOR

Country: Europe

Application Number: 03787206.6                      Application Date: 11/25/2003

Title: WEB SERVER HIT MULTIPLIER AND REDIRECTOR

Country: India

Application Number: 0                      Application Date: 11/25/2003

Title: WEB SERVER HIT MULTIPLIER AND REDIRECTOR

Country: Japan

Application Number: 2004-570965                      Application Date: 11/25/2003

Title: WEB SERVER HIT MULTIPLIER AND REDIRECTOR

**EXHIBIT B**  
**BEA Systems, Inc.**  
**International Patent Applications**

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Country: Korea South  
Application Number: 10-2005-7009596      Application Date: 11/25/2003  
Title: WEB SERVER HIT MULTIPLIER AND REDIRECTOR

Country: Patent Cooperation Treaty  
Application Number: PCT/US2003/037986      Application Date: 11/25/2003  
Title: WEB SERVER HIT MULTIPLIER AND REDIRECTOR

Country: Canada  
Application Number: 2,489,303      Application Date: 5/23/2003  
Title: MANAGING SECURE RESOURCES IN WEB RESOURCES THAT ARE ACCESSED  
BY MULTIPLE PORTALS

Country: People's Republic of China  
Application Number: 03815488.9      Application Date: 5/23/2003  
Title: MANAGING SECURE RESOURCES IN WEB RESOURCES THAT ARE ACCESSED  
BY MULTIPLE PORTALS

Country: Europe  
Application Number: 03756206.3      Application Date: 5/23/2003  
Title: MANAGING SECURE RESOURCES IN WEB RESOURCES THAT ARE ACCESSED  
BY MULTIPLE PORTALS

Country: India  
Application Number: 2955/CHENP/2004      Application Date: 5/23/2003  
Title: MANAGING SECURE RESOURCES IN WEB RESOURCES THAT ARE ACCESSED  
BY MULTIPLE PORTALS

Country: Japan  
Application Number: 2004-509773      Application Date: 5/23/2003  
Title: MANAGING SECURE RESOURCES IN WEB RESOURCES THAT ARE ACCESSED  
BY MULTIPLE PORTALS

Country: Patent Cooperation Treaty  
Application Number: PCT/US03/16409      Application Date: 5/23/2003  
Title: MANAGING SECURE RESOURCES IN WEB RESOURCES THAT ARE ACCESSED  
BY MULTIPLE PORTALS



**EXHIBIT B**

BEA Systems, Inc.  
International Patent Applications

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Country: Europe

Application Number: 01988885.8

Application Date: 10/29/2001

Title: METHOD AND APPARATUS FOR QUERY AND ANALYSIS

Country: Patent Cooperation Treaty

Application Number: PCT/US01/46228

Application Date: 10/29/2001

Title: METHOD AND APPARATUS FOR QUERY AND ANALYSIS

Country: Europe

Application Number: 03708905.9

Application Date: 1/29/2003

Title: SINGLE SIGN-ON OVER THE INTERNET USING PUBLIC-KEY  
CRYPTOGRAPHY

Country: Hong Kong

Application Number: 05100891.5

Application Date: 2/3/2005

Title: SINGLE SIGN-ON OVER THE INTERNET USING PUBLIC-KEY  
CRYPTOGRAPHY

Country: Japan

Application Number: 2003-565101

Application Date: 1/29/2003

Title: SINGLE SIGN-ON OVER THE INTERNET USING PUBLIC-KEY  
CRYPTOGRAPHY

Country: Patent Cooperation Treaty

Application Number: PCT/US03/02783

Application Date: 1/29/2003

Title: SINGLE SIGN-ON OVER THE INTERNET USING PUBLIC-KEY  
CRYPTOGRAPHY