

P26144.P11.doc

FORM PTO-1595

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PATENT AND TRADEMARK OFFICE

To the Honorable Director of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of Conveying party(ies):  
TSUTSUI, Tatsuo

2. Name and address of receiving party(ies)

Name Bioactis Limited

Street

Address: 6F, Toho Twin Tower Bldg., 5-2, Yuraku-cho1-chome, Chiyoda-ku, Tokyo 100-0006 Japan

Street

Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☒ Other Corrected Recordation at RFL 016152 and Frame 0631Execution Date: November 10, 2004

City:

State:

ZIP:

Additional names(s) and address(es) attached? ☒ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No (s)

10/512,857

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bruce H. BernsteinInternal Address: P26144Street Address: GREENBLUM & BERNSTEIN, P.L.C.1950 Roland Clarke PlaceCity: Reston State: VA ZIP: 201916. Total number of applications and patents involved: 1

7. Total Fee (37CFR 3.41)

\$40.00☐ Enclosed☒ Authorized to be charged to deposit account

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Bruce H. Bernstein, Reg. No. 20,027

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 11

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 Alexandria, VA 22314

700238224

**PATENT**  
**REEL: 017204 FRAME: 0249**

CH \$40.00 190089 10512857

P26144.P06

LISTING OF ADDITIONAL RECEIVING PARTIES

Name : Tatsuo TSUTSUI

Street address : 9-8, Nakahara 4-chome, Isogo-ku, Yokohama-shi,  
Kanagawa 235-0036 Japan

7

PATENT

FORM PTO-152

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DEPARTMENT OF COMMERCE  
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Transmittal of copy thereof

(ies)

To the Honorable Director of Patents and Trademark

1. Name of Conveying Party(ies):  
TSUTSUI, Tatsuo2. Transmittal Party(ies):  
Name Bioactis LimitedInternal  
Address:Street  
Address: 6F, Tuho Twin Tower Bldg., 5-2, Yuraku-cho 1-chome,  
Chiyoda-ku, Tokyo 100-0006 Japan

City: State: ZIP:

Additional names(s) and address(es) attached? ☒ Yes ☐ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: November 10, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/512,875

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: Bruce H. Bernstein

Internal Address: P25144

Street Address: GREENBLUM &amp; BERNSTEIN, P.L.C.

1950 Roland Clarke Place

City: Reston State: VA ZIP: 20191

6. Total number of applications and patents involved: 1

7. Total Fee (37CFR 3.41): 40.00

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8. Deposit account number:

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bruce H. Bernstein, Reg. No. 29,027

Name of Person Signing

Stephen M. Roylance

Reg. No. 31,296

Signature

Date

Total number of pages including cover sheet, attachments and document: 4

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 Director of the United States Patent and Trademark Office, Mail Stop Assignments  
 220 20<sup>th</sup> Street S. Customer Window,  
 Crystal Plaza Two, Lobby, Room 1803  
 Arlington, VA 22202

P28144 P06

LISTING OF ADDITIONAL RECEIVING PARTIES

Name : Tatsuo TSUTSUI

Street address : 9-8, Nakahara 4-chome, Isogo-ku, Yokohama-shi,  
Kanagawa 235-0036 Japan

P36144.AS1.doc

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ASSIGNMENT

WHEREAS, Tatsuo TSUTSUI, a citizen of Japan, whose post office address is 9-8, Nakahara 4-chome, Isogo-ku, Yokohama-shi, Kanagawa 235-0036 Japan, hereinafter referred to as the ASSIGNOR, has invented a certain improvement relating to

**Device to Deliver Powdery Medicine into Nasal Cavity**

for which he has executed, on the same date as this assignment, an application for Letters Patent for the United States to be filed in the United States Patent and Trademark Office, which Application is a National Stage of International Application No. PCT/JP03/05924, filed May 13, 2003.

If this Assignment is filed after the filing date of the above-identified application, the undersigned hereby authorize and request any one of the attorneys of record in this application including Neil F. Greenblum, Reg. No. 28,394, Bruce H. Bernstein, Reg. No. 29,027, Arnold Turk, Reg. No. 33,094, James L. Rowland, Reg. No. 32,674, Stephen M. Roylance, Reg. No. 31,296, Leslie J. Paperner, Reg. No. 33,329, William Pieprz, Reg. No. 33,630, Robert W. Mueller, Reg. No. 35,043 and William E. Lyddane, Reg. No. 41,568, of the firm Greenblum & Bernstein, P.L.C., whose address is 1950 Roland Clarke Place, Reston, Virginia 20191, to insert here in parenthesis (Application No. 10/512,857, filed November 12, 2003) the filing date and application number of said application when known.

AND WHEREAS, Bioactis Limited, a corporation organized and existing under the laws of Japan, whose post-office address is 6F, Toho Twin Tower Bldg., 5-2, Yuraku-cho 1-chome, Chiyoda-ku, Tokyo 100-0006 Japan and Tatsuo TSUTSUI, whose post office address is 9-8, Nakahara 4-chome, Isogo-ku, Yokohama-shi, Kanagawa 235-0036 Japan, are desirous of becoming joint owners, and are hereinafter known as the ASSIGNEES, are desirous of acquiring the entire right, title and interest for the United States in and to said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues, and prolongations thereof.

NOW, THIS WITNESSETH that for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt whereof is hereby acknowledged, said ASSIGNORS hereby assign, sell and transfer to said ASSIGNEES, their assigns and legal representatives, the entire and exclusive right, title and interest in and to said invention and application, for the United States including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted therefor, said ASSIGNEES and their assigns and legal representatives to have, hold, exercise and enjoy the said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues and prolongations thereof, with all the rights, powers, privileges, and advantages in any ways arising from or pertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, reissues, and prolongations thereof, for the use and benefit of said ASSIGNEES and their assigns and legal representatives in as ample and beneficial a manner to all intents and purposes as the said ASSIGNORS might or could have held and enjoyed the same, if this assignment had not been made.

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AND said ASSIGNORS hereby agree to execute all papers necessary to file applications in the United States for said invention and to assign the same to said ASSIGNEES, or any assignee acquiring title to said invention, and to execute any other papers that may be needed in connection with filing said application and securing Letters Patent thereon.

AND said ASSIGNORS authorize and request the Commissioner of Patents to issue Letters Patent on said application, and on any and all divisions and continuations thereof, to said ASSIGNEES, their assigns and legal representatives, in accordance herewith.

IN TESTIMONY WHEREOF, this assignment is executed on the date indicated below.

Tatsuo TSUTSUI

Tatsuo TSUTSUI

Nov. 10, 2004

Date