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U.S. DEPARTMENT OF COMMERCE
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Alcatel Optronics UK Limited

2. Name and address of receiving party(ies)

Name: Avanex U.K. Limited

Internal Address: _____

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) _____

- Assignment
- Security Agreement
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____
- Merger
- Change of Name

Street Address: Starlaw Park

Starlaw Road

City: Livingston

State: _____

Country: United Kingdom Zip: EH54 8SF

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

This document is being filed together with a new application

A. Patent Application No. (s)

10/734,110

B. Patent No. (s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Warren S. Wolfeld

Internal Address: HAYNES BEFFEL & WOLFELD LLP

Street Address: P.O. Box 366

751 Kelly Street

City: Half Moon Bay

State: California Zip: 94019

Phone Number: (650) 712-0340

Fax Number: (650) 712-0263

Email Address: wwolfeld@hmbay.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 4584
Expiration Date 05/08

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:

Warren S. Wolfeld
Signature

1/11/2006
Date

Warren S. Wolfeld
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

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Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 017204 FRAME: 0705

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OP \$40.00 10734110

Feb. 9. 2004 3:28PM WILSON SONSINI

No.4017 P 4

FILE COPY



**CERTIFICATE OF INCORPORATION
ON CHANGE OF NAME**

Company No. 3517183

The Registrar of Companies for England and Wales hereby certifies that

ALCATEL OPTRONICS UK LIMITED

having by special resolution changed its name, is now incorporated
under the name of

AVANEX U.K. LIMITED

Given at Companies House, Cardiff, the 1st August 2003



C035171830



THE OFFICIAL SEAL OF THE
REGISTRAR OF COMPANIES



Companies House

for the record

Feb 9 2004 3:28PM WILSON SONSINI

No.4017 P 5

02-24-04

COMPANIES ACT 1985

ALCATEL OPTRONICS UK LIMITED

COMPANY LIMITED BY SHARES

COMPANY NO. 3517183

By a written resolution dated 31 July 2003, the following resolution was passed.

THAT the name of the Company be changed to "Avanex U.K. Limited".

Attorney for
D.W. Company Services Limited,
as Secretary

.....
Company secretary
Presented by:
Dundas & Wilson C.S
Saltire Court
20 Castle Terrace
EDINBURGH, EH1 2EN
(Reference: HM/DIC/KYM001.0030)

