




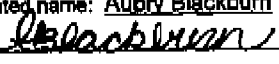

RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)		
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3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>September 7, 2005</u>		
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) <u>11/137,036</u> B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning this document should be mailed: Name: <u>TraskBritt</u> Internal Address: _____ _____ Street Address: <u>P.O. Box 2550</u> _____ City: <u>Salt Lake City</u> State: <u>UT</u> Zip: <u>84110</u>	6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41) <u>\$40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>20-1469</u> (Attach duplicate copy of this page if paying by deposit account)	
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9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 40%;"> <u>Allen C. Turner</u> Name of Person Signing Reg. No. 33,041 </div> <div style="width: 30%; text-align: center;">  Signature </div> <div style="width: 30%; text-align: right;"> <u>September 16, 2005</u> Date </div> </div> Attorney Docket No.: <u>0274-6353.1US</u> Total number of pages including cover sheet, attachments, and documents: <u>3</u>		

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1. Name of conveying party(ies): University of Utah			2. Name and address of receiving party(ies) Name: University of Utah Research Foundation Address: 615 Arapahoe Drive, Suite 310, Salt Lake City, Utah 84108		
Additional name of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			Additional Name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
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Execution Date: <u>September 7, 2005</u>					
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) <u>11/137,036</u> B. Patent No.(s)					
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			8. Deposit account number: <u>20-1469</u> (Attach duplicate copy of this page if paying by deposit account)		
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9. Statement and signature.					
Attorney Docket No.: <u>0274-6353.1US</u>					
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.					
<u>Allen C. Turner</u> Name of Person Signing Reg. No. 33,041			 Signature		<u>September 16, 2005</u> Date
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Attorney Docket No. 0274-6353.1US

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, the University of Utah ("ASSIGNOR"), hereby:

Sells, assigns and transfers to the University of Utah Research Foundation, ("ASSIGNEE"), a non-profit organization existing under the laws of the State of Utah, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, including priority rights, in and to any and all improvements for which an application for United States Letters Patent entitled

OCCIPITOCERVICAL PLATE

has been filed under Serial No. 11/137,036, on May 25, 2005, and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agrees that said ASSIGNEE may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said ASSIGNEE, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said ASSIGNEE, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said ASSIGNEE, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said ASSIGNEE, its successors, assigns and legal representatives; and

Attorney Docket No. 0274-6353.1US

Covenants with said ASSIGNEE, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

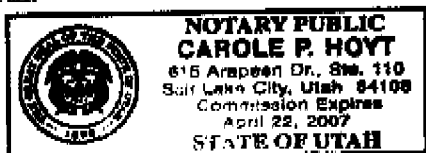
ASSIGNOR:
UNIVERSITY OF UTAH

Sept 7, 2005
Date

Brent K. Brown
Name: Brent K. Brown, Esq.
Title: Associate Director

STATE OF UTAH)
 : ss.
County of Salt Lake)

Before me this 7th day of September, 2005, personally appeared Brent K. Brown, Esq., the person who is described in and who executed the above instrument, and acknowledged to me that she executed the same of her own free will for the purpose therein set forth.



Carole P. Hoyt
Notary Public

My Commission Expires

April 22, 2007

Document in ProLaw



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TRASKBRITT, P.C.

UNITED STATES PATENT AND TRADEMARK OFFICE

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DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 11, 2006

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SALT LAKE CITY, UT 84110UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/17/2005

REEL/FRAME: 016999/0073
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

UTAH, UNIVERSITY OF

DOC DATE: 09/07/2005

ASSIGNEE:

UTAH, UNIVERSITY OF RESEARCH
FOUNDATION
615 ARAPEEN DRIVE, SUITE 310
SALT LAKE CITY, UTAH 84108

SERIAL NUMBER: 11137036

FILING DATE: 05/25/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: OCCIPITOCERVICAL PLATE

PATENT

REEL: 017212 FRAME: 0245

016999/0073 PAGE 2

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

TRASKBRITT

Intellectual Property Attorneys

FACSIMILE TRANSMITTAL SHEETTotal number of pages including cover letter: 7

To: **Marcus Kirk, Examiner**
Assignment Division
Office of Public Records

Date: **January 26, 2006**Facsimile No.: **(571) 273-0140**Telephone No.: **()**From: **Aubry Blackburn for Allen C. Turner**Serial No.: **11/137,036**Client/matter number: **0274-6353.1US**Message/Comments: **Dear Mr. Kirk,**

Our office contacted you earlier today regarding the Notice of Non-Recordation of Document. We are now transmitting to you, in two separate faxes, the corrected documents.

This fax contains the Notice of Recordation of Assignment Document, Recordation Form Cover Sheet (from the PTO), Recordation Form Cover Sheet (as-filed on September 16, 2005), and assignment from the University of Utah to the University of Utah Research Foundation.

The other fax will contain the Notice of Non-Recordation of Document, Recordation Form Cover Sheet (from the PTO), Recordation Form Cover Sheet (as-filed on September 16, 2005), and assignment from the inventors to the University of Utah.

If there is any fee for this request, please debit TraskBritt Deposit Account No. 20-1469.

Please contact us if you have any questions.

Thank you.

If you do not receive the complete document, please call (801) 532-1922 as soon as possible

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