

• •	3-2005
Form PTO-1595 (Rev. 07/05) DMB No. 0651-0027 (exp. 6/30/2008)	U.S. DEPARTMENT OF COMMERCI United States Patent and Trademark O
1	21457 SUNLY
To the Director of the U.S. Patent and Trademark Office: Pleas	e record the attached documents or the new address(es) below.
Name of conveying party(ies) Novartis Finance Corporation	Name and address of receiving party(ies) Name: Syngenta Investment Corporation
	Internal Address:
Additional name(s) of conveying party(ies) attached? Yes No 3. Nature of conveyance/Execution Date(s):	Street Address: 2200 Concord Pike
Execution Date(s) 3/1/2004 Assignment Merger	
Security Agreement Change of Name	City: Wilmington
☐ Joint Research Agreement ☐ Government Interest Assignment	State: Delaware
Executive Order 9424, Confirmatory License Other	Country: United States of America Zip: 19803 Additional name(s) & address(es) attached? Yes
	Additional name(s) & address(es) attached? Yes document is being filed together with a new application
	accament to bound mea to gother than a men applicant
A. Patent Application No.(s) Additional numbers at	B. Patent No.(s) 5,679,560
Additional numbers at	B. Patent No.(s) 5,679,560 tached? Yes No
	B. Patent No.(s) 5,679,560
Additional numbers at 5. Name and address to whom correspondence	B. Patent No.(s) 5,679,560 tached? Yes No 6. Total number of applications and patents
Additional numbers at 5. Name and address to whom correspondence concerning document should be mailed:	B. Patent No.(s) 5,679,560 tached? Yes No 6. Total number of applications and patents involved: 1
Additional numbers at 5. Name and address to whom correspondence concerning document should be mailed: Name:Larry W. Stults, Esq.	B. Patent No.(s) 5,679,560 tached? Yes No 6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00
Additional numbers at 5. Name and address to whom correspondence concerning document should be mailed: Name: Larry W. Stults, Esq.	B. Patent No.(s) 5,679,560 tached? Yes No 6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00 Authorized to be charged by credit card Authorized to be charged to deposit account Enclosed
5. Name and address to whom correspondence concerning document should be mailed: Name: Larry W. Stults, Esq. Internal Address: Syngenta Biotechnology, Inc. Street Address: 3054 East Cornwallis Road	B. Patent No.(s) 5,679,560 tached? Yes No 6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00 Authorized to be charged by credit card Authorized to be charged to deposit account Enclosed None required (government interest not affecting) 8. Payment Information
Additional numbers att 5. Name and address to whom correspondence concerning document should be mailed: Name:Larry W. Stults, Esq. Internal Address:Syngenta Biotechnology, Inc.	B. Patent No.(s) 5,679,560 tached? Yes No 6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00 Authorized to be charged by credit card Authorized to be charged to deposit account Enclosed None required (government interest not affecting) 8. Payment Information
5. Name and address to whom correspondence concerning document should be mailed: Name: Larry W. Stults, Esq. Internal Address: Syngenta Biotechnology, Inc. Street Address: 3054 East Cornwallis Road City: Research Triangle Park State: North Carolina Zip:27709 Phone Number: 919-541-8646	B. Patent No.(s) 5,679,560 tached? Yes No 6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00 Authorized to be charged by credit card Authorized to be charged to deposit account Enclosed None required (government interest not affecting) 8. Payment Information a. Credit Card Last 4 Numbers
5. Name and address to whom correspondence concerning document should be mailed: Name: Larry W. Stults, Esq. Internal Address: Syngenta Biotechnology, Inc. Street Address: 3054 East Cornwallis Road City: Research Triangle Park State: North Carolina Zip:27709 Phone Number: 919-541-8646 Fax Number: 919-541-8689	B. Patent No.(s) 5,679,560 tached? Yes No 6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00 Authorized to be charged by credit card Authorized to be charged to deposit account Enclosed None required (government interest not affecting) 8. Payment Information a. Credit Card Last 4 Numbers Expiration Date
5. Name and address to whom correspondence concerning document should be mailed: Name:Larry W. Stults, Esq. Internal Address:Syngenta Biotechnology, Inc. Street Address:3054 East Cornwallis Road City: Research Triangle Park State:North Carolina Zip:27709 Phone Number:919-541-8646	B. Patent No.(s) 5,679,560 tached? Yes No 6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00 Authorized to be charged by credit card Authorized to be charged to deposit account Enclosed None required (government interest not affecting) 8. Payment Information a. Credit Card Last 4 Numbers Expiration Date b. Deposit Account Number 50-1744

Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

11/17/2005 ECOOPER _00000145\501744 5679560

01 FC:8021

40.00 BA

PATENT

REEL: 017223 FRAME: 0886

ASSIGNMENT

Whereas, Novartis Finance Corporation, 608 Fifth Avenue, New York, NY 10020, a corporation of New York, is the assignee and legal owner of the entire right, title and interest in each of the United States patents listed in Appendix B; and

Whereas, in accordance with a resolution of its Board of Directors made on October 31, 2000, Novartis Finance Corporation wishes to assign its entire interest in each of the United States patents listed in Appendix B and in certain related United States patents and patent applications to Syngenta Investment Corporation, 2200 Concord Pike, Wilmington, DE 19803, a corporation of Delaware, and said Syngenta Investment Corporation wishes to acquire Novartis Finance Corporation's interest in said patents and patent applications.

Now, therefore, Novartis Finance Corporation hereby assigns to Syngenta Investment Corporation and its successors and assigns its entire right, title and interest in (1) (a) each of the three hundred thirty-five (335) United States patents listed in Appendix B, (b) any and all applications for reissues of said patents, (c) any and all reissues of said patents that may be issued and/or granted, (d) any and all applications for extensions of said patents (including reissue patents) and for reexamination certificates for said patents (including reissue patents) and (e) any and all extensions of said patents (including reissue patents) that may be issued and/or granted and reexamination certificates that may be issued for said patents (including reissue patents) and (2) (a) any and all United States patent applications, whether pending or abandoned, that are continuations and divisions (including further continuations and divisions such as, but not limited to, continuations and divisions of continuations) of the applications which issued as the patents listed in Appendix B. (b) any and all United States patents that may have been or, in the future, that may be issued and/or granted on all of said applications, (c) any and all applications for reissues of said patents, (d) any and all reissues of said patents that may be issued and/or granted, (e) any and all applications for extensions of said patents (including reissue patents) and for reexamination certificates for said patents (including reissue patents) and (f) any and all extensions of said patents (including reissue patents) that may be issued and/or granted and reexamination certificates that may be issued for said patents (including reissue patents), including, in the case of all patents (including reissue patents) within the scopes of (1) and (2), the right to sue, and to obtain damages, for past infringement, said right, title and interest conveyed herein by Novartis Finance Corporation to Syngenta Investment Corporation constituting the entire right, title and interest in said patents (including reissue patents), applications, extensions and reexamination certificates.

In witness whereof, Novartis Finance Corporation has caused this Assignment to be signed by its duly authorized corporate officer.

Signed this 1st day of March 2004.

Novartis Finance Corporation

Wayne P. Merkelson

Vice-President

Appendix B

4,600,428	4,886,887	5,120,741	5,430,188
4,601,745	4,888,348	5,135,927	
4,602,936	4,897,109	5,153,200	5,436,248 5,430,013
4,603,211	4,897,396	5,166,153	5,439,912 5,446,454
4,605,656	4,897,424	5,166,430	5,446,154
4,608,372	4,900,827		5,447,935
4,612,037	4,902,340	5,169,951 5,475,202	5,447,945
4,612,395	4,904,778	5,175,293	5,451,595
4,618,360	4,908,467	5,179,094	5,457,276
4,623,382		5,183,492 5,100,000	5,466,785
4,629,810	4,914,098	5,190,928	5,477,002
4,632,695	4,918,088	5,194,628	5,478,958
4,671,819	4,918,230	5,204,100	5,481,013
4,674,229	4,919,704	5,209,771	5,484,918
•	4,919,935	5,217,871	5,489,695
4,681,619	4,921,980	5,232,898	5,493,032
4,686,294	4,925,840	5,237,084	5,496,848
4,692,524	4,925,842	5,245,040	5,506,099
4,693,741	4,925,876	5,250,556	5,508,184
4,693,745	4,931,439	5,250,557	5,508,402
4,705,800	4,931,560	5,250,559	5,514,795
4,707,182	4,931,581	5,254,739	5,514,816
4,709,092	4,935,051	5,262,386	5,519,026
4,727,186	4,938,797	5,266,554	5,519,125
4,729,190	4,939,257	5, 266, 585	5,521,153
4,753,954	4,944,790	5,274,100	5,521,195
4,758,667	4,948,798	5,276,186	5,523,311
4,759,793	4,952,726	5,280,041	5,527,760
4,766,253	4,958,030	5,281,718	5,527,917
4,780,125	4,959,096	5,286,709	5,530,195
4,780,551	4,962,126	5,296,449	5,536,726
4,798,688	4,968,344	5,302,605	5,536,900
4,810,724	4,978,383	5,302,607	5,538,979
4,812,164	4,989,741	5,310,721	5,541,148
4,831,148	4,992,434	5,322,853	5,541,310
4,833,255	4,996,325	5,324,842	5,545,630
4,847,258	4,997,941	5,332,717	5,552,368
4,849,007	4,997,967	5,350,689	5,554,619
4,849,009	5,002,604	5,373,013	5,556,828
4,849,219	5,002,606	5,384,321	5,558,997
4,851,028	5,023,259	5,384,403	5,559,034
4,851,031	5,023,333	5,384,416	5,561,230
4,853,396	5,041,603	5,397,697	5,567,705
4,854,963	5,049,571	5,399,545	5,583,249
4,857,100	5,063,236	5,403,814	5,585,238
4,859,229	5,075,316	5,403,844	5,585,519
4,861,787	5,075,443	5,407,934	5,595,733
4,866,079	5,077,311	5,409,823	5,596,131
4,870,184	5,082,860	5,412,107	5,597,779
4,872,902	5,102,445	5,420,301	5,597,840
4,881,964	5,104,440	5,430,034	5,599,771
4,881,966	5,112,849	5,430,035	5,599,828

1

Appendix B

5,693,7745,866,1335,698,4255,866,3265,710,0315,869,7195,714,5995,872,2125,716,8495,877,0125,721,3645,880,3285,723,4915,882,8695,723,7215,883,2835,723,7595,888,8015,728,8755,889,1745,728,9225,891,6885,728,9235,912,375	5,679,560 5,847,258 5,679,623 5,849,870 5,686,282 5,851,766 5,686,283 5,852,012 5,686,295 5,856,154 5,686,600 5,859,300 5,688,939 5,859,335 5,689,044 5,859,336 5,693,774 5,866,153	5,654,414 5,830,899 6,130,2 5,659,124 5,831,114 6,137,0 5,662,898 5,837,290 6,147,2 5,663,176 5,840,868 D361,50 5,663,383 5,843,982 D364,95 5,670,350 5,846,571 End 5,674,514 5,847,147	5,646,157 5,800,997 6,091,00 5,646,288 5,804,693 6,103,60 5,648,383 5,814,453 6,107,27 5,648,487 5,814,629 6,107,54 5,650,505 5,817,502 6,117,67 5,650,517 5,817,602 6,117,89 5,654,414 5,830,899 6,130,23	5,625,136 5,759,561 5,981,58 5,627,188 5,763,481 5,990,38 5,631,007 5,766,900 6,017,85 5,637,763 5,767,369 6,017,85 5,639,949 5,770,450 6,018,10 5,641,666 5,770,696 6,034,08 5,641,882 5,770,758 6,048,82 5,643,360 5,780,469 6,057,49 5,643,774 5,783,704 6,066,59 5,645,169 5,789,214 6,071,69 5,646,145 5,792,904 6,083,88	5,601,847 5,731,266 5,925,367 5,606,057 5,731,267 5,929,102 5,610,042 5,731,500 5,942,662 5,610,044 5,731,501 5,945,437 5,612,288 5,731,502 5,955,274 5,614,395 5,731,506 5,955,362 5,625,071 5,758,766 5,958,835
--	---	---	--	--	---

2

Attorney Docket: S-20046/CGC1506 Application Number: 5,679,560

FILING BY "FIRST CLASS MAIL" UNDER 37 C.F.R. 1.8

I hereby certify that the following correspondence is being deposited with the United States Postal Service as "First Class Mail" with proper postage in an envelope addressed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450 on November 14, 2005.

- 1) Recordation Cover Sheet (Form PTO-1595)
- 2) Assignment Document (2 pages)
- 3) PostCard Receipt

RECORDED: 11/16/2005

Laneisha C. Hayes

Name

Signature