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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Stuart Nicholas Richards  
Andrew James Shooter

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 1/23/06

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: The Lubrizol Corporation

Internal Address: \_\_\_\_\_

Street Address: 29400 Lakeland Boulevard

City: Wickliffe,

State: Ohio

Country: United States of America Zip: 44092-2298

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/556,897 filed November 15, 2005

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Samuel B. Laferty

Internal Address: The Lubrizol Corporation

Legal Department

Street Address: 29400 Lakeland Boulevard

City: Wickliffe,

State: Ohio Zip: 44092-2298

Phone Number: (216) 447-5541

Fax Number: (440) 347-1110

Email Address: sam.laferty@noveon.com

**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
 Expiration Date \_\_\_\_\_

b. Deposit Account Number 50-1501

Authorized User Name Samuel B. Laferty

**9. Signature:**

Samuel Laferty  
 Signature

Samuel B. Laferty

Name of Person Signing

February 1, 2006  
 Date

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 501501 10556697

**Docket No. 3333-01**

**ASSIGNMENT**

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, to our full satisfaction, we,

**Stuart Nicholas Richards and Andrew James Shooter**

hereby sell and assign to THE LUBRIZOL CORPORATION, an Ohio corporation having its principal place of business at 29400 Lakeland Boulevard, Wickliffe, Ohio, 44092-2298, the entire right, title and interest, in the United States and all territories thereof and in all foreign countries, in and to all the inventions in

**POLYURETHANE DISPERSANTS**

set forth in: ☐ the application for United States Letters Patent

☐ executed by me (us) of even date herewith

☐ executed by us on the following dates: \_\_\_\_\_

☒ serial number 10/556,697, filed November 15, 2005 the U.S. national phase of International Patent Application Serial No. PCT/US2004/014790 filed 11 May 2004.

and naming us as inventors; and the entire right, title and interest in and to said application and all original and reissue patents granted for any of said inventions, and all divisions and continuations of said application and patents granted thereon, and all applications for any of said inventions filed in countries foreign to the United States and patents granted thereon, including the subject matter of any and all claims which may be obtained in all such patents; and the right to apply for and obtain patents in countries foreign to the United States including the right to claim for any foreign application the benefits of the International Convention including the right to claim priority in accordance with such Convention, and any other benefits available through the laws of these foreign countries with respect to patent applications as fully and entirely as we could claim such benefits; and the right to file applications for patents and otherwise to apply for and obtain any patents for said inventions in its own name in any country.

And we hereby covenant that we have full right to convey the entire interest herein assigned, and that I (we) have not executed and will not execute any agreement in conflict herewith; and we agree that we will communicate to THE LUBRIZOL CORPORATION all facts known to our respecting said invention whenever requested by it, and will execute all instruments or documents requested by it for the filing and prosecution of applications for Letters Patent of the United States of America and all foreign countries on said invention, or in connection with litigation regarding said invention, or for the purpose of protecting title to said Invention or Letters

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**Docket No. 3333-01**

Patent therefore in the United States or foreign countries and will testify in any legal proceedings relating thereto, at the request of THE LUBRIZOL CORPORATION, all without further or other compensation than that above set forth, but otherwise at the cost of THE LUBRIZOL CORPORATION.

And we further covenant and agree that if and when THE LUBRIZOL CORPORATION desires to file a disclaimer relating to said inventions in the United States or any foreign country, we will, upon its request, sign and deliver all papers requisite for the filing of such disclaimer.

And we authorize and request the Commissioner of Patents and Trademarks of the United States to issue the said Letters Patent to THE LUBRIZOL CORPORATION, as assignee and owner of the said entire interest.

This Assignment shall enure to the benefit of the successors and assigns of THE LUBRIZOL CORPORATION.

23 Jan 06  
Date

First Inventor

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United Kingdom

WITNESS: Patrick J. Sackel

DATE: 23/1/06

WITNESS: Dean Thetford

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DATE: 23/1/06

WITNESS: Patrick J. Sackel

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