

FORM PTO-1595 U.S. DEPARTMENT OF COMMERCE
(Revised 3-01) Patent and Trademark Office

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO THE HONORABLE DIRECTOR OF U.S. PATENTS AND TRADEMARKS:

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): [Use numbers if there are multiple inventors and multiple dates of execution.]

(1) ROGER E. SMITH

Additional names of conveying party(ies) attached?

☐ YES ☒ NO

2. Name and address of receiving party(ies):

UNIVERSITY OF UTAH
102 President's Circle
Salt Lake City, UT 84112

Additional name(s) and address(es) attached?

☐ YES ☒ NO

3. Nature of conveyance

Assignment

Merger

X

Other - CORRECTIVE DOCUMENT - Request
for Correction of Notice of Recordation to
REMOVE ASSIGNEE: Univ. of Utah Research
Foundation recorded at Reel/Frame
016469/0199 (Bar Code No. "700192557A")

Security Agreement

Change of Name

Execution Date: June 29, 2005

4. Application number(s) or patent number(s): 10/536,287

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

B. Patent No.(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

NEEDLE & ROSENBERG, P.C.
Suite 1000
999 Peachtree Street
Atlanta, Georgia 30309

6. Total number of applications and patents involved: One (1)

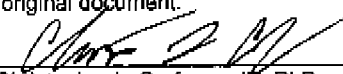
7. Total fee (37 CFR § 3.41): Previously Paid on November 1, 2005. (See attached copy)

☐ Check enclosed

☐ The Commissioner is hereby authorized to charge the total fee to Deposit Account No. 14-0629 (Attach duplicate copy of this form if paying by deposit account)

☒ The Commissioner is hereby authorized to charge any underpayment, or credit any overpayment, to Deposit Account No. 14-0629.

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.


Christopher L. Curfman, JD, PhD

February 1, 2006
Date

Total Number of Pages Including Cover Sheet, Attachments, and Document: Request for Corrective Notice of Recordation of Assignment (2 pgs.); Copy of Marked-Up Notice of Recordation of Assignment ("700192557A") rec'd on August 30, 2005, w/correction indicated (2 pgs.); Copy of executed Assignment document (2 pgs.); Copy of previously paid Credit Card Authorization Forms PTO 2038 in the amount of \$40; Copy of Notice of Non-Recordation document ("700221879A") (w/bar coded Cover Sheet) dated January 4, 2006 (4 pgs.); Fax Cover Sheet w/Cert. of Transmission (1 pg.)

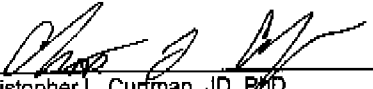
PATENT

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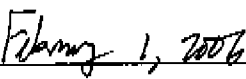
REEL: 017225 FRAME: 0465

Certificate of Facsimile Transmisslon Under 37 C.F.R. § 1.8

I hereby certify that this correspondence, including any items indicated as attached or included, is being transmitted via facsimile transmission to: Assignment Recordation Services, Director - U.S. Patent and Trademark Office, 1-571-273-0140, on the date indicated below.



Christopher L. Curfman, JD, PhD



Date

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1/10/2005
700221879ATTORNEY DOCKET NO. 25141.000013
SERIAL NO. 10534247
Page 1 of 2FORM PTO-1595 U.S. DEPARTMENT OF COMMERCE
(Revised 3-01) Patent and Trademark OfficeRECORDATION FORM COVER SHEET
PATENTS ONLY

COPY

TO THE HONORABLE DIRECTOR OF U.S. PATENTS AND TRADEMARKS:

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): [Use numbers if there are multiple inventors and multiple dates of execution.]

- (1) ROGER E. SMITH - (Assignor) to University of Utah (Assignee)
 (2) University of Utah - (Assignor) to University of Utah Research Foundation (Assignee)

Additional names of conveying party(ies) attached?

☐ YES ☒ NO

2. Name and address of receiving party(ies):

- (1) UNIVERSITY OF UTAH
102 President's Circle
Salt Lake City, UT 84112
 (2) UNIVERSITY OF UTAH RESEARCH FOUNDATION
815 Arapahoe Drive
Suite 310
Salt Lake City, UT 84108

Additional name(s) and address(es) attached?

☐ YES ☒ NO

3. Nature of conveyance: (1) June 28, 2005 (2) July 8, 2005

<input type="checkbox"/> Assignment	<input type="checkbox"/> Merger	<input checked="" type="checkbox"/> Other - CORRECTIVE DOCUMENT - Request for Correction of Notice of Recordation to add Assignor recorded at Reel/Frame 016462/0108
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name	

Execution Date: June 29, 2005

4. Application number(s) or patent number(s): 10/638,287

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):	B. Patent No.(s):
5. Name and address of party to whom correspondence concerning document should be mailed: NEEDLE & ROSENBERG, P.C. Suite 1000 999 Peachtree Street Atlanta, Georgia 30309	6. Total number of applications and patents involved: One (1)

7. Total fee (37 CFR § 3.41): \$80.00

- ☐ Check enclosed
☒ Two (2) Credit Card Payment Authorization Form PTO-2038a enclosed for payment of (1) Assignment of Roger E. Smith to University of Utah, and (2) Assignment from University of Utah to University of Utah Research Foundation.
☐ The Commissioner is hereby authorized to charge the total fee to Deposit Account No. 14-0629 (Attach duplicate copy of this form if paying by deposit account)
☒ The Commissioner is hereby authorized to charge any underpayment, or credit any overpayment, to Deposit Account No. 14-0629.

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Christopher L. Guffman, J.D., Ph.D.

Date

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ATTORNEY DOCKET NO. 21101.0043073
SERIAL NO. 10458287
Page 2 of 2

Total Number of Pages including Cover Sheet, Attachments, and Document: 11 - Request for Corrective Notice of Recordation of Assignment to add Assignor (2 pgs.), Copy of Marked-Up Notice of Recordation of Assignment indicating correction requested (2 pgs.), Two (2) Executed Assignment documents (2 pgs. ea./4 pgs. total); Two (2) Credit Card Authorization Forms PTO 2038 in the amount of \$40 ea./\$80 total; Fax Cover Sheet w/Cert. of Transmission (1 pg.)

Certificate of Facsimile Transmission Under 37 C.F.R. 5.16

I hereby certify that this correspondence, including any items indicated as attached or included, is being transmitted via facsimile transmission to: Assignment Recordation Services, Director - U.S. Patent and Trademark Office, 1-871-273-0140, on the date indicated below.


Christopher L. Curfman, JD, PhD

November 2, 2005
Date

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PAGE 006/013

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ATTORNEY DOCKET NO. 21101.0043U3

ASSIGNMENT

WHEREAS, I Roger E. Smith, have invented new and useful improvements in "MICROPOROUS MATERIALS, METHODS, AND ARTICLES FOR LOCALIZING AND QUANTIFYING ANALYTES" for which an international patent application was filed on November 20, 2003 and assigned International Application No. PCT/US03/37598, which subsequently entered the U.S. National Phase on May 25, 2005 and assigned U.S. Application No. 10/536,287 and claims priority to U.S. Provisional Application No. 60/429,093 and 60/429,259, both filed on November 26, 2002; and

WHEREAS, the UNIVERSITY OF UTAH, whose post-office address is 201 President's Circle, Salt Lake City, Utah 84112, U.S.A., is desirous of acquiring the entire interest in the same;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, Roger E. Smith, do hereby sell, assign and transfer unto said UNIVERSITY OF UTAH, the entire right, title and interest in and to said invention and application throughout the world, including, without limitation, any Letters Patent that may issue thereon, and any subsequent application claiming priority to the above-identified application under 35 U.S.C. § 119(e), reissue, reexamination, division, continuation-in-part, extension, or continuation thereof, and all rights of priority under the International Convention arising from said application; the same for its legal representatives, and assigns, as fully and entirely as the same would have been held by it had this assignment and sale not been made;

AND, I do hereby bind myself, legal representatives, administrators, and assign properly to execute without further consideration any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid UNIVERSITY OF UTAH, its legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world.

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PAGE 007/013

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ATTORNEY DOCKET NO. 21101.0043U3

IN WITNESS WHEREOF, I have executed this Assignment this 29th day
of June, 2005.

UNIVERSITY OF UTAH

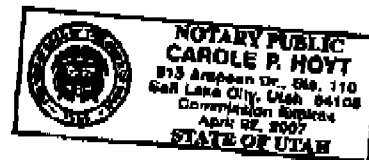
Roger E. Smith (L.S.)
Roger E. Smith

State of Utah Utah
County of Utah Salt Lake

On June 29th, 2005, before me, a Notary Public, came Roger E. Smith, to me known
and known to be the individual described in and who executed the ASSIGNMENT of the
invention entitled "MICROPOROUS MATERIALS, METHODS, AND ARTICLES FOR
LOCALIZING AND QUANTIFYING ANALYTES", and who duly acknowledged the same to
be his free act and deed.

Carole P. Hoyt
Notary Public

My Commission Expires: April 22, 07



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