

11-17-2005

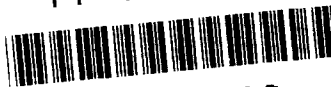
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FORM PTO-1596

1-31-92

Patent and Trademark Office

DOCKET NO.: 290146.121US2



MENT OF COMMERCE

103121086

To the Honorable Commissioner of Patent

Please record the attached original documents or copy thereto:

## 1. Name of conveying party(ies):

Scott James HAERTEL

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: October 7, 2005

## 2. Name and address of receiving party(ies):

Name: HSBC North America Holdings Inc.

Internal Address:

Street Address: 2700 Sanders Drive

City: Prospect Heights State/Country: Illinois ZIP: 60070

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No(s).

11/210,662, filed August 25, 2005

## B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Wilmer Cutler Pickering Hale and Dorr LLP

Internal Address:

Street Address: 1455 Pennsylvania Ave., N.W.

City: Washington State: DC ZIP: 20004

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

08-0219

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Scott M. Alter, Registration No. 32,879

Name and Registration No. of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

11/16/2005 DRYNE 00000030 000219 11210662

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US1DOCS 5376295v1

PATENT  
REEL: 017226 FRAME: 0435

## ASSIGNMENT

WHEREAS I, Scott James Haertel of Hanover Park, Illinois (hereinafter the "Assignor"), have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled BIOMETRIC IDENTIFICATION SYSTEM, METHOD AND MEDIUM FOR POINT OF SALE ENVIRONMENT, for which an application for United States Letters Patent was filed on August 25, 2005, and identified by United States Serial No. 11/210,662;

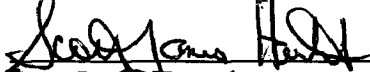
AND WHEREAS, HSBC North America Holdings Inc., a corporation of the State of Delaware and having an address of 2700 Sanders Drive, Prospect Heights, Illinois 60070, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, I do hereby sell, assign, transfer and set over unto HSBC North America Holdings Inc., its successors, and assigns (collectively hereinafter the "Assignee"), the entire right, title and interest in and to said invention as set forth in the above-mentioned application and any modifications and/or improvements therefor (collectively hereinafter the "Invention"), any patent applications in the United States and foreign countries, including Provisional Application No. 60/604,054, filed August 25, 2004, and any original applications, formal applications, continuation applications, continuations-in-part applications, request for continued examination applications, divisional applications, reissue applications, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said Invention, and all rights to claim priority therefrom and/or thereto; and any copyright or designs associated with or in said Invention, and all rights of action and damages for any past, present or future infringement relating thereto, including all rights of actions and damages from publication of the patent applications and/or issuance of any patent relating thereto;

UPON SAID CONSIDERATIONS, I hereby agree with the said Assignee that I will not execute any writing or do any act whatsoever conflicting with these presents, and that I will, at any time upon request, without further or additional consideration but at the expense of said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said Invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of Assignor and Assignee;

The undersigned hereby grant(s) the firm of Wilmer Cutler Pickering Hale and Dorr LLP the power to insert on this Assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

AND I request the Commissioner for Patents to issue any Letters Patent of the United States which may be issued for said invention to said HSBC North America Holdings Inc., its successors or assigns, as the sole owner of the entire right, title and interest in and to said patent(s) and the invention covered thereby.

  
\_\_\_\_\_  
Scott James Haertel

10/7/05  
\_\_\_\_\_  
Date

STATE OF Illinois )  
COUNTY OF Cook ) SS:

On this 7th day of October, 2005 before me personally appeared Scott James Haertel, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

S E A L

Susan Neme

Notary Public

My commission expires 01/20/07

