RECORDATION COV



::LUX-P017B <u>ENT</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

00000015 11270681

11/14/2005 HLE333

05 FC:8021

Dear Sir:

Please record the attached original documents or copy thereof.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1.	Name of con		_	Execution Date:	112941		
	Inventor (s):	Dickinson, Alex		1/13/2004	27		
		Gunn III, Lawi		1/13/2004			
		Neches, Philip I Huang, Andrey		1/13/2004 1/13/2004			
	Serial No:	mang, Andrev	Group Art Unit: (Not				
	Filed: 11/08	3/2005	Examiner: (Not yet as				
2.	Name and A	ddress of					
	Receiving par	•					
			ston Avenue, Ste 102				
2	Notes of Co		ad, CA 92008				
	Nature of Conveyance: Assignment Potent Application(a) / Potent Number(a) (not yet assigned)						
٠.		Patent Application(s) / Patent Number(s): (not yet assigned) New Patent Application Entitled:					
				ronic Circuits and Systen	n c.22		
5	Name and ad						
٥,	Name:	Name and address of party to whom correspondences concerning document should be mailed: Name: DENNIS S. FERNANDEZ, ESO.					
	Customer No:		KNANDEZ, ESQ.				
	Address:		& ASSOCIATES, LLI	•			
	riddiess.	PATENT ATTO					
		PO BOX D					
		MENLO PARK	, CA 94025-6204				
		, ,	325-4999 FAX: (650) 32	5-1203			
		EMAIL: iploft@	iploft.com				
6.	Total Number	er of applications	and patents involved:	ONE			
7	Fee Calculati	ion					
٠.		Recordation Fee	\$40.00				
	Total Fees (3		\$40.00 <u>X</u> Enclo	sed			
			Auth	orized to be charged to Deposit Accor	unt		
8.	Deposit Acco	unt Number:			il d to oborgo		
			y miscalculated any req	uired fee, the Commissioner is hereb	y authorized to charge		
	the necessary	additional amo	unt associated with the	is communication or credit any over	Apaymon to Depart		
	Account No:	500482. A dupl	icate copy of this author	ization is enclosed.			
9.	Statement an	ıd signature:		- information is true and correct and	any attached copy is a		
	To the best o	f my knowledge	and belief, the foregoin	g information is true and correct and			
	true copy of t	he original docum	nem.				
	L V V	$\mathbb{Z} = \mathbb{Z} \times \mathbb{Z}$		11/10/10	-		
	Accord			Date: 1\\08\/05	<u>,</u>		
	DENNIS S.	FERNANDEZ	z, ESQ				
	Reg. No. 34	,160					
	-						

PATENT REEL: 017227 FRAME: 0994

Docket No.: LUX-P017

ASSIGNMENT

Whereas, We, ALEXANDER G. DICKINSON, LAWRENCE C. GUNN III, ANDREW SHANE HUANG, PHILIP M. NECHES, having agreed to assign the below identified invention to LUXTERA, INC ("Firm"), a Delaware corporation having its principal place of business at 1819 Aston Avenue, Ste 102, Carlsbad, CA 92008 for good and valuable consideration, receipt of which is hereby acknowledged, I do hereby sell, assign, and transfer unto LUXTERA, INC., its successors and assigns, the entire right, title, and interest, including the right of priority in, to and under an application for Letters Patents of the United States entitled:

Integrated Photonic-Electronic Circuits and Systems

X filed herewith and the inventions set forth and described therein, and any and all Letters Patent of

the United States and of countrie	es foreign thereto which may be granted	thereon or therefor; or
Serial No.: forth and described therein, and thereto which may be granted th	d any and all Letters Patent of the Universion or therefor;	and the inventions set ted States and of countries foreign
assigns, to execute and delive application, whether original, controlor desirable fully to secure to I said application and all patent ri	n, we agree promptly upon request of Ler without further compensation any ontinuation, divisional, or reissue, or oth LUXTERA, INC., its successors and a ghts therein, in the United States and in secution of interference proceedings in a facility of said Letters Patent.	r power of attorney, assignment, ner papers which may be necessary ssigns, the inventions described in any country foreign thereto, and to
Inventor's Signature:	ALEXANDER G. DICKINSON	Date: 1-13-04
Inventor's Signature:	LAWRENCE C. GUNNIII	Date: 1-13-104
Inventor's Signature:	ANDREW SHANE HUANG	Date: 13/04
Inventor's Signature:	<i>V</i>	Date:

PHILIP M. NECHES

PATENT REEL: 017227 FRAME: 0995

RECORDED: 11/08/2005

Docket No.: LUX-P017

ASSIGNMENT

Whereas, We, ALEXANDER G. DICKINSON, LAWRENCE C. GUNN III, ANDREW SHANE HUANG, PHILIP M. NECHES, having agreed to assign the below identified invention to LUXTERA, INC ("Firm"), a Delaware corporation having its principal place of business at 1819 Aston Avenue, Ste 102, Carlsbad, CA 92008 for good and valuable consideration, receipt of which is hereby acknowledged, I do hereby sell, assign, and transfer unto LUXTERA, INC., its successors and assigns, the entire right, title, and interest, including the right of priority in, to and under an application for Letters Patents of the United States entitled:

Integrated Photonic-Electronic Circuits and Systems

	e inventions set forth and described there tries foreign thereto which may be granted	
Serial No.: forth and described therein, a thereto which may be granted	nnd any and all Letters Patent of the Ur thereon or therefor;	and the inventions set nited States and of countries foreign
assigns, to execute and del application, whether original, or desirable fully to secure to said application and all patent	ion, we agree promptly upon request of liver without further compensation ar continuation, divisional, or reissue, or of LUXTERA, INC., its successors and rights therein, in the United States and i rosecution of interference proceedings in of said Letters Patent.	ny power of attorney, assignment, other papers which may be necessary assigns, the inventions described in in any country foreign thereto, and to
Inventor's Signature:	ALEXANDER G. DICKINSON	Date:
Inventor's Signature:	LAWRENCE C. GUNN III	Date:
Inventor's Signature:	ANDREW SHANE HUANG	Date:
Inventor's Signature:	BUTT M NULL HILLIP M. NECHES	Date: 81/13/04

PATENT REEL: 017227 FRAME: 0996