

11-25-2005

RECOI

11/17/05



To the Director of the U.S. Patent and Trademark Office. 103125157

ments or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Alexander N. Artsyukhovich, Mikhail Boukhny, Raphael Gordon, Gary P. Sorensen, David Thoe, Ralph E. Svetic and Michael Yadlowsky

Execution Date(s) 15 November 2005

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Alcon, Inc.

Internal Address:

Street Address: P.O. Box 62

Bosch 69

City: Hunenberg

State:

Country: Switzerland Zip: CH-6331

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

NYA

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Alcon Research, Ltd.

Internal Address: Mail Code Q-148

Street Address: 6201 South Freeway

City: Fort Worth

State: Texas Zip: 76134

Phone Number: (817) 551-3063

Fax Number: (817) 551-4610

Email Address: jeff.schira@alconlabs.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers
Expiration Date

b. Deposit Account Number 50-1051

Authorized User Name Jeffrey S. Schira

9. Signature:

Signature

17 November 2005

Date

Jeffrey S. Schira, Reg. No. 34,922

Total number of pages including cover sheet, attachments, and documents: 5

Docket No. 2973 US Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

11/25/2005 6TON11 00000019 501051 11281751

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ASSIGNMENT

WHEREAS, we, Alexander N. Artsyukhovich, Mikhail Boukhny, Raphael Gordon, Gary P. Sorensen, David Thoe, Ralph E. Svetic and Michael Yadlowsky have invented a Surgical Cassette for which we have executed an application for United States Letters Patent; and

WHEREAS, **Alcon, Inc.**, a Swiss corporation, having a place of business at Bosch 69, CH-6331, Hünenberg, Switzerland, desires to purchase the same.

NOW, THEREFORE, for good and valuable consideration paid by **Alcon, Inc.**, the receipt and sufficiency of which are hereby acknowledged, I have sold, assigned, transferred and conveyed and by these presents do hereby sell, assign, transfer and convey unto **Alcon, Inc.**, in and for the United States and its territories and for foreign countries, my entire right, title and interest in and to said application, in and to the invention therein set forth and in and to any patent which may issue on said application or any reissue, renewal, division, continuation, continuation-in-part and foreign counterparts thereof; and I hereby bind myself, my heirs, legal representatives, administrators and assigns properly to execute without further consideration, any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect the sale, assignment, transfer and conveyance hereby made or intended to be made.

ALEXANDER N. ARTSYUKHOVICH

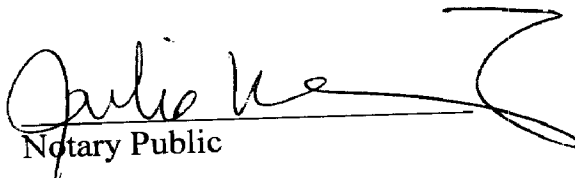


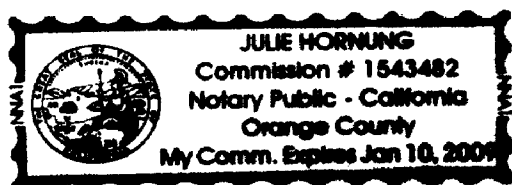
Date: 11/04/05

STATE OF CALIFORNIA)
COUNTY OF ORANGE)

On November 4 2005, before me, Julie Hornung, personally appeared Alexander N. Artsyukhovich, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument. Subscribed and sworn to (or affirmed) this day before me.

WITNESS my hand and official seal


Notary Public



MBoukhnyDate: 11/15/05STATE OF CALIFORNIA)
COUNTY OF ORANGE)

On November 15 2005, before me, Julie Hornung, personally appeared Mikhail Boukhny, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument. Subscribed and sworn to (or affirmed) this day before me.

WITNESS my hand and official seal



Julie Hornung
Notary Public

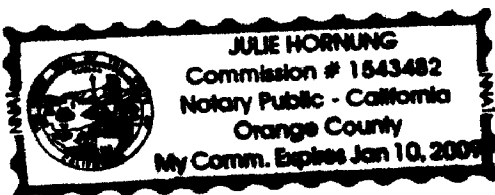
RAPHAEL GORDON

Raphael Gordon
Date: 11/04/05

STATE OF CALIFORNIA)
COUNTY OF ORANGE)

On November 4 2005, before me, Julie Hornung, personally appeared Raphael Gordon, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument. Subscribed and sworn to (or affirmed) this day before me.

WITNESS my hand and official seal



Julie Hornung
Notary Public

GARY P. SORENSEN

Gary P. Sorensen

Date: 11-7-05

STATE OF CALIFORNIA)
COUNTY OF ORANGE)

On November 7 2005, before me, Julie Hornung, personally appeared Gary P. Sorensen, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument. Subscribed and sworn to (or affirmed) this day before me.

WITNESS my hand and official seal



Julie Hornung
Notary Public

DAVID THOE

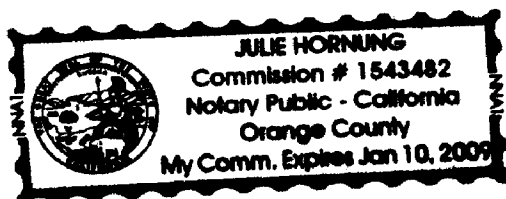
David Thoe

Date: 11-4-05

STATE OF CALIFORNIA)
COUNTY OF ORANGE)

On November 4 2005, before me, Julie Hornung, personally appeared David Thoe, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument. Subscribed and sworn to (or affirmed) this day before me.

WITNESS my hand and official seal



Julie Hornung
Notary Public

RALPH E. SVETIC

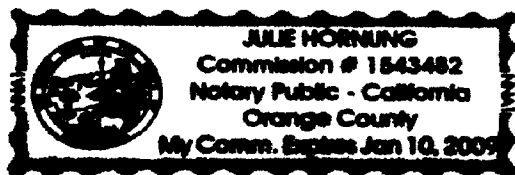
Ralph E. Svetic

Date: 11.7.05

STATE OF CALIFORNIA)
COUNTY OF ORANGE)

On November 7 2005, before me, Julie Horning, personally appeared Ralph E. Svetic, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument. Subscribed and sworn to (or affirmed) this day before me.

WITNESS my hand and official seal



Julie Horning
Notary Public

MICHAEL YADLOWSKY

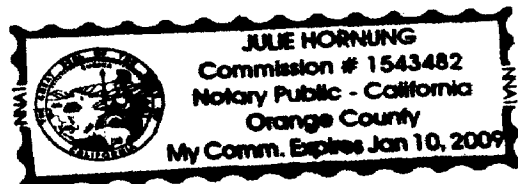
Michael Yadlowsky

Date: 11/8/05

STATE OF CALIFORNIA)
COUNTY OF ORANGE)

On November 8 2005, before me, Julie Horning, personally appeared Michael Yadlowsky, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument. Subscribed and sworn to (or affirmed) this day before me.

WITNESS my hand and official seal



Julie Horning
Notary Public