

11-18-2005

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



103121825

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1. Name of conveying party(ies)

Parmigiani, Measure et Art du Temps, S.A.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 16 December 2002

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Parmigiani Fleurier S.A.

Internal Address: _____

Street Address: 11 rue du Temple

City: Fleurier

State: _____

Country: Switzerland

Zip: 2114

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

D420,293

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Roger Pitt

Internal Address: Kirkpatrick & Lockhart Nicholson

Graham LLP

Street Address: 599 Lexington Avenue

City: New York

State: NY

Zip: 10022

Phone Number: 212-536-4867

Fax Number: 212-536-3901

Email Address: rpitt@KLNG.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ paid 6/9/05

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 08-0570

Authorized User Name Anthony H. Handal

9. Signature:

Signature

14 November 2005

Date

Roger Pitt (Registration No. 46,996)

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 6

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450



06-09-2005



COMMERCE
Trademark Office

RECORDATION FOI
PATENTS ONLY

103018493

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Parmigiani, Measure et Art du Temps, S.A.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) _____

- ☐ Assignment ☐ Merger
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D420,293

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Name: Anthony H. Handal

Internal Address: Kirkpatrick & Lockhart Nicholson

Graham LLP

Street Address: 599 Lexington Avenue

City: New York

State: NY Zip: 10022

Phone Number: 212-536-4870

Fax Number: 212-536-3901

Email Address: ahandal@KLNG.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 08-0570

Authorized User Name Anthony H. Handal

9. Signature: _____

Signature

June 3, 2005

Date

Anthony H. Handal / Roger Pitt Registration Nos. 26,275; 46,996

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 8

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

06/09/2005 6TON11

00000000 000570 1420233

01 FC:0021

40.00 BA

PATENT
REEL: 017230 FRAME: 0094



REGISTRE DU COMMERCE DU CANTON DE NEUCHÂTEL

Extrait avec éventuelles radiations

EXTRAIT DU REGISTRE
Report du 14 octobre 1998
No réf. 60119/1990
N° féd. CH-645-1007772-0

Parmigiani Fleurier S.A.

inscrite le 24 septembre 1990

Société anonyme

Réf.	Raison Sociale
1 5	Parmigiani, mesure et art du temps S.A. Parmigiani Fleurier S.A.
Réf.	Siege
1	Fleurier
Réf.	Adresse
1	Hôpital 33 rue du Temple 11
Réf.	Dates des Statuts
1	04.09.1990 30.01.1995 5 16.12.2002 (nouv. stat.)
Réf.	But, Observations
1	<u>But:</u> étude, développement, fabrication, achat, vente, importation, exportation de montres-bracelets, de mouvements, de ponduleries, prototypes de chronomètres de marine, et de toutes autres pièces et autres produits horlogers. Elle peut aussi acquérir des immeubles en rapport avec son but.
5	<u>But:</u> conception, production et commercialisation de produits horlogers et apparentés.
Réf.	Organe de publication - mode de communication aux actionnaires
1 5	POSC Convocations des actionnaires: par lettre recommandée

R	Capital-actions		
	Nominal	Libéré	Actions
1	CHF 1'000'000	CHF 1'000'000	1'000 actions CHF 1'000, nominatives
5	CHF 1'000'000	CHF 1'000'000	1'000 actions CHF 1'000, nominatives, liées selon statuts
Apports en nature, reprises de biens, avantages particuliers			
1	(rad. réf. 5) La libération du capital social intervient par l'apport que fait Michèle Parmigiani, à Fleurier de l'actif et du passif de la raison individuelle "Michèle Parmigiani", à Fleurier, et dessus radiée, pour une valeur de 150'000 CHF, acceptée pour ce montant et imputée sur le capital. Actif 470'761.75 CHF et passif de 320'761.75 CHF.		

Réf.			Administration, organe de révision et personnes ayant qualité pour signer		
Inscr.	Mod.	Rad.	Nom et Prénom(s), Origine, Domicile	Fonctions	Mode de signature
1		m 2	Parmigiani Michele, de Couvet, à Fleurier	adm. président	signature individuelle
1			Landolt Pierre, d'Aarau, à Patos, Brésil	adm. vice-président	signature individuelle
1		5	Prétôt Francis, du Noirmont, à La Chaux-de-Fonds	adm. secrétaire	signature collective à 2
1		6	de Meuron Monique, de Neuchâtel et Saint-Sulpice (NE), à Kowloon City (Etat de Kowloon)	adm.	signature collective à 2



Réf.			Administration, organe de révision et personnes ayant qualité pour signer		
Inscr.	Mod.	Rad.	Nom et Prénom(s), Origine, Domicile	Fonctions	Mode de signature
1			Landolt François, d'Aarau, à Pully	adm.	signature collective à 2
1	m 2		Vuille Emmanuel, de La Sagne (NE), à Boudry	adm.	signature collective à 2
1	m 2		Hofner Jean-Patrice, de Duggingen, à Môtiers	adm.	
1	m 2		Zwahlen Walter, de Saanen, à Auvornier	adm.	
1			"Serratus SA", à Neuchâtel	réviscur	
	2	m 5	Parmigiani Michele, de Couvet, à Fleurier	adm. président	signature collective à 2
	2	m 5	Vuille Emmanuel, de La Sagne (NE), à Boudry	adm. secrétaire dir. général	signature collective à 2
	2	4	Hofner Jean-Patrice, de Duggingen, à Môtiers	adm.	signature collective à 2
	2	5	Zwahlen Walter, de Saanen, à Auvornier	adm.	signature collective à 2
3		5	Sermet Francis, de Dombresson, à Fonin-Vilars-Sauls	adm.	signature collective à 2
	5		Parmigiani Michele, de Couvet, à Fleurier	adm. président dir. général	signature collective à 2
5			Jacot Jean-Marc, du Locle, à Auvornier	adm.	signature collective à 2
5			Prêtre Gabriel, de Boncourt, à Lausanne	adm.	signature collective à 2
	5		Vuille Emmanuel, de La Sagne (NE), à Boudry	adm.	signature collective à 2
5			Gigandet Flavien, des Genevez (JU), à Peseux	directeur	signature collective à 2
5			Moron José, d'Espagne, à La Chaux-de-Fonds	directeur	signature collective à 2

Réf.	JOURNAL		PUBLICATION ROSE	
	Numéro	Date	Date	Page
0		report		
2	2691	22.12.1998	08.01.1999	117
4	2350	11.10.2001	17.10.2001	8103
6	2113	03.09.2003	09.09.2003	8

Réf.	JOURNAL		PUBLICATION ROSE	
	Numéro	Date	Date	Page
1	108	27.10.1995	07.11.1995	6112
3	1230	15.05.2000	19.05.2000	3404
5	123	15.01.2003	21.01.2003	10

Neuchâtel, le 30 décembre 2004

Extrait certifié conforme

30 DEC. 2004

Le préposé par délégation



Fin de l'extrait

Seul un extrait certifié conforme, signé et muni du sceau du registre, a une valeur légale.

TRANSLATION FROM FRENCH
Ref. 031465



COMPANIES REGISTRY OF NEUCHÂTEL

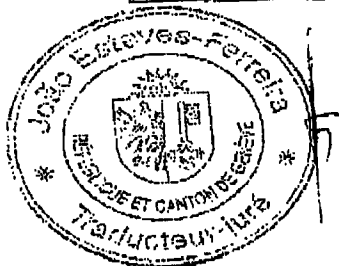
REGISTER EXTRACT
 Brought fwd on 14 October 1998
 Ref No. 60119
 Federal No. CH-645-1007772-0

Parmigiani Fleurier S.A.

Limited liability company registered on 24 September 1990

Ref.	
1 5	Parmigiani, mesure et art du temps S.A. Parmigiani Fleurier S.A.
1	Fleurier
1 5	Hôpital 33 rue du Temple 11
1	04.09.1990 30.01.1995 5 16.12.2002 (new art.)
1 5	<u>Purpose:</u> study, development, manufacture, purchase, sale, import, export of watches, movements, clocks, maritime chronometer prototypes, and all other parts and other watch products. It may also acquire buildings in relation with its purpose. <u>Purpose:</u> design, production and marketing of horological and similar products
1 5	FOSC Communication to shareholders: registered mail

Ref.	
1 5	CHF 1'000,000 CHF 1'000,000 1'000 registered shares of CHF 1,000.- CHF 1'000,000 CHF 1'000,000 1'000 registered shares of CHF 1,000.-, restricted as per Articles

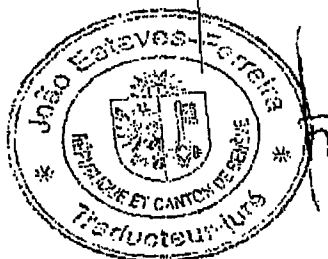


TRANSLATION FROM FRENCH

Ref. 031465

1	(canc. ref. 5) The capital is paid up through a contribution by Michele Parmigiani of the assets and liabilities of the individual company "Michele Parmigiani", in Fleurier, hereover cancelled, for an amount of 150'000 CHF, accepted for said amount and assigned to the capital. Assets 479'761,75 CHF and liabilities 320'761,75 CHF
---	---

Ref.					
Reg'd	Mod.	Canc.			
1		m 2	Parmigiani Michele, from Couvet, in Fleurier	dir. president	individual
1			Landolt Pierre, from Aarau, in Patos, Brazil	dir. vice-president	individual
1		5	Prétôt Francis, from Le Noirmont, in La Chaux-de-Fonds	dir. secretary	joint by 2
1		6	de Meuron Monique, from Neuchâtel and Saint-Sulpice, in Kuwait City (State of Kuwait)	dir.	joint by 2
1			Landolt François, from Aarau, in Pully	dir.	joint by 2
1		m 2	Vuille Emmanuel, from La Sagne (NE), in Boudry	dir.	joint by 2
1		m 2	Hofner Jean Patrice, from Duggingen, in Môtiers	dir.	
1		m 2	Zwahlen Walter, from Saanen, in Auvernier	dir.	
1			"Serratus SA", in Neuchâtel	auditors	
	2	m 5	Parmigiani Michele, from Couvet, in Fleurier	dir. president	joint by 2
	2	m 5	Vuille Emmanuel, from La Sagne (NE), in Boudry	dir. secretary	joint by 2
	2	4	Hofner Jean Patrice, from Duggingen, in Môtiers	general manager	joint by 2
	2	5	Zwahlen Walter, from Saanen, in Auvernier	dir.	joint by 2
3		5	Sermet Francis, from Dombresson, in Fenin-Villars-Saules	dir.	joint by 2
	5		Parmigiani Michele, from Couvet, in Fleurier	dir. president	joint by 2
				general manager	
5			Jacot Jean-Marc, from Le Locle, in Auvernier	dir.	joint by 2
5			Prêtre Gabriel, from Boncourt, in Lausanne	dir.	joint by 2
	5		Vuille Emmanuel, from La Sagne (NE), in Boudry	dir.	joint by 2
5			Gigandet Flavien, from Les Genevez (JU), in Peseux	manager	joint by 2
5			Moron José, from Spain, in La Chaux-de-Fonds	manager	joint by 2



TRANSLATION FROM FRENCH
Ref. 031465

Ref.				
1	108	27.10.1995	07.11.1995	5112
2	2691	22.12.1998	08.01.1999	117
3	1230	15.05.2000	19.05.2000	3404
4	2350	11.10.2001	17.10.2001	8103
5	123	15.01.2003	21.01.2003	10
6	2113	03.09.2003	09.09.2003	8

Neuchâtel, 07 November 2003

Certified true and correct copy

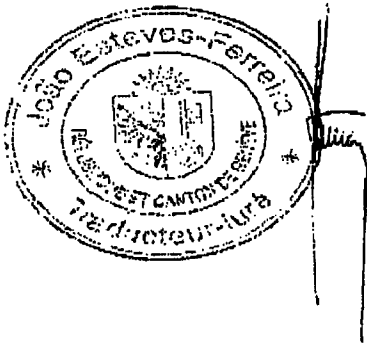
07 NOV. 2003

The Deputy Registrar : *[signed]*

[L.S.]

End of the extract

CERTIFIED TRUE AND CORRECT TRANSLATION





0820819.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 19, 2005

PTAS



103018493A

ANTHONY H. HANDAL
KIRKPATRICK & LOCKHART NICHOLSON ET AL.
599 LEXINGTON AVENUE
NEW YORK, NY 10022

UNITED STATES PATENT AND TRADEMARK OFFICE
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KIRKPATRICK & LOCKHART
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