

11-25-2005

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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Carmel MAJIDI (11/14/2005), Richard GROFF
(11/14/2005), and Ronald S. FEARING
(11/14/2005)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address: _____

Street Address: _____

1111 Franklin Street, 12th Floor

City: Oakland

State: California

Country: United States of America Zip: 94607-5200

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

This application

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Peter J. Yim
MORRISON & FOERSTER LLP

Internal Address: Atty. Dkt.: 416272007200

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Phone Number: (415) 268-6373

Fax Number: (415) 268-7522

Email Address: PYim@mofo.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 03-1952
Authorized User Name Peter J. Yim

9. Signature:

Signature

Peter J. Yim - 44,417

Name of Person Signing

November 16, 2005

Date

11/25/2005 LMUELLER 00000118 031952
Total number of pages including cover
sheet, attachments, and documents 3

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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV731514027US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: November 16, 2005

Signature: (Laura Tsang)

sf-2035820

PATENT
REEL: 017253 FRAME: 0504

ASSIGNMENT

U.C. Case No. B04-082

For good and valuable consideration, including royalties as stated in the UC Policy effective October 1, 1997, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Carmel MAJIDI
2555 Virginia Street, #306
Berkeley, CA 94709
U.S. Citizen
2. Richard GROFF
1620 Milvia Street, Apt. A
Berkeley, CA 94709
U.S. Citizen
4. Ronald S. FEARING
201 Holly Lane
Orinda, CA 94563
U.S. Citizen

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in UCB Case No. B04-082:

or which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors entitled _____
- (b) X U.S. patent application No. _____ filed _____ and listing the above named persons as inventors entitled **NANOSTRUCTURED FRICTION ENHANCEMENT USING FABRICATED MICROSTRUCTURE**
- (c) _____ U.S. application serial no. _____, filed on _____ entitled _____
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111 (a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters

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Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

I/We hereby authorize and request the assignee's attorneys, Morrison & Foerster LLP, to insert where designated above the application number and filing date of said application when known.

executed this

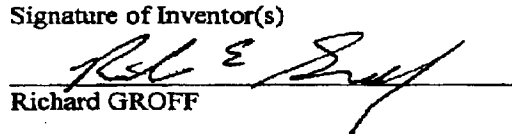
Date: 11/14/05

Signature of Inventor(s)

Carmel MAJIDI

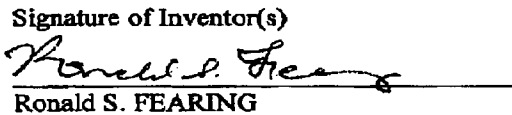
executed this

Date: 11/14/05

Signature of Inventor(s)

Richard GROFF

executed this

Date: Nov. 14, 2005

Signature of Inventor(s)

Ronald S. FEARING