

Form PTO-1595 (Rev. 03/05)  
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

John A. Bagwell (Individual)

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: Triatek Lighting, Inc.

Internal Address: \_\_\_\_\_

Street Address: 1025 Cobb Place Boulevard,

Suite 100

City: Kennesaw

State: Georgia

Country: USA Zip: 30144

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) OCTOBER 31, 2002

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**4. Application or patent number(s):**

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

6,208,122

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Christine M. Szwerc

Internal Address: Intellectual Property Department

Street Address: One Carrier Place

City: Farmington

State: CT Zip: 06034

Phone Number: 860-674-3457

Fax Number: 860-998-4342

Email Address: Christine.Szwerc@carrier.utc.com

**6. Total number of applications and patents involved: One****7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 03-0835

Authorized User Name \_\_\_\_\_

**9. Signature:**

Christine M. Szwerc  
Signature

4/20/2005  
Date

Christine M. Szwerc, Reg. No. 43,177

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 030635 6208122

PATENT ASSIGNMENT

WHEREAS, John A. Bagwell (the "ASSIGNOR"), owns all right, title and interest in and to the Patents and Patent Applications identified below that have been issued or filed in the United States identified below (the "PATENT RIGHTS"):

<u>Name of Invention</u>	<u>Patent or Application Number</u>	<u>Issue or Filing Date</u>
"High Frequency Pulse Width Modulation of AC Current for Control of Lighting Load Power"	6,208,122 (United States)	Issued March 27, 2001

WHEREAS, the ASSIGNOR hereby confirms that he has the full right to convey all right, title and interest, as herein expressed, in, to and under the PATENT RIGHTS and the inventions described therein; and

WHEREAS, Triatek Lighting, Inc. (the "ASSIGNEE"), a corporation duly organized and existing under the law of the State of Georgia, desires to acquire all right, title and interest in, to and under the PATENT RIGHTS and inventions described therein;

NOW, THEREFORE, in consideration of the premises and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the ASSIGNOR hereby sells, assigns, and transfers unto the ASSIGNEE and its successors, assigns and legal representatives, the full and exclusive right, title and interest in, to and under (i) the PATENT RIGHTS and the inventions described therein; (ii) the underlying patent application(s); (iii) any patents or patent applications based on any continuation, continuation-in-part, divisional, reissue or reexamination of the patent already granted or which may be granted on the PATENT RIGHTS in the United States and all foreign countries, together with the right of priority under the Patent Cooperation Treaty, the International Convention for the Protection of Industrial

Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres; and (iv) all claims for damages by reason of past infringement of the PATENT RIGHTS, with the right to sue for damages, and collect the same for its own use and benefit, and for the use and benefit of its successors, assigns, or other legal representatives.

The ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks and any foreign Office of Patents and Trademarks to issue the PATENT RIGHTS to the ASSIGNEE, for its interest as the ASSIGNEE, for the sole use and benefit of the ASSIGNEE its successors, assigns, and legal representatives. The ASSIGNOR also hereby agrees to (i) transfer upon request, and without further remuneration, a like interest to the ASSIGNEE, its successors, assigns, and legal representatives, in and to any improvements, and application for patents based thereon, growing out of or related to the PATENT RIGHTS and inventions; and (ii) execute any papers the ASSIGNEE, its successors, assigns or legal representatives deem essential to the ASSIGNEE's full protection and title in and to the invention hereby transferred and for maintaining any resulting patent concerning such invention.

Executed and delivered this 31 day of October, 2002.

By:   
John A. Bagwell