	Rev. 4/00)			U.S. Gepartment of Commerce Patent and Trademark Office	
	RECORDATION FORM				
	PATENTS	ONLY			
	oner for Patents. Please record the attached origina	l documents or copies	s thereof.		
		2. Name and Address	of Receiving Par	ty(ies):	
Name of Convey	nng Partyles): Australian National University	Name:	Vaxine Pty		
	Adstrain Notional Officerory				
		Internal Address:			
•					
		Street Address:		ilding 10 The Canberra	
Addition	nal names of conveying parties attached.	1	Hospital, Y	amba Drivo	
. Nature of Conveyance:		Otto Cara Zini	Garran, ACT, Australia		
⊠Assig	gnment □ Merger	City, State, Zip:	darran, ACT, Adadand		
□ Sec	urity Agreement 🛘 🗅 Change of Name				
□ Oth					
	No.: 103099116	□ Additional r	☐ Additional name(s) and address(es) attached.		
	e: May 30, 2003		4. (B) Patent Number(s):		
1. (A) Patent Appi	lication Number(s):	5,476,844			
		ALL DIA COORER/BEE/944			
		Atty Dkt: COOPER/REF/844			
		Title: GAMMA INULIN COMPOSITIONS			
		1			
•					
If this document i	s being filed together with a new				
application, the ex	xecution date of the application is:				
				_	
		hers Attached Total Number of A	pplications and		
5. Name and Ado Document Should	tress of Party to whom Correspondence Concerning this	Total Number of A	pplications and tents involved:	1	
Document Should	ress of Party to whom Correspondence Concerning this if be Mailed: Richard E. Fichter	Total Number of A Pa 7. Total Fee:		\$40.00	
<i>Document Should</i> Name:	riess of Party to whom Correspondence Concerning this if be Meiled: Richard E. Fichter Registration Number 26,382	Total Number of A Pa 7. Total Fee: (37 CFR 3.41)			
Document Should	Richard E. Fichter Registration Number 26,382 Bacon & Thomas, PLLC	Total Number of A Pa 7. Total Fee: (37 CFR 3.41) □ Enclosed	tents Involved:	\$40.00	
<i>Document Should</i> Name:	riess of Party to whom Correspondence Concerning this if be Meiled: Richard E. Fichter Registration Number 26,382	Total Number of A Pa 7. Total Fee: (37 CFR 3,41) □ Enclosed ⊠ Authorized to	tents involved:	\$40.00	
<i>Document Should</i> Name:	Hress of Party to whom Correspondence Concerning this If be Meiled: Richard E. Fichter Registration Number 26,382 Bacon & Thomas, PLLC 625 Slaters Lane Fourth Floor	Total Number of A Pa 7. Total Fee: (37 CFR 3.41) □ Enclosed □ Authorized to 8. Deposit Account	be charged to	\$40.00 deposit account 02-0200	
<i>Document Should</i> Name:	Hress of Party to whom Correspondence Concerning this If be Meiled: Richard E. Fichter Registration Number 26,382 Bacon & Thomas, PLLC 625 Slaters Lane Fourth Floor	Total Number of A Pa 7. Total Fee: (37 CFR 3,41) □ Enclosed 図 Authorized to 8. Deposit Account of ATTACH BUPLICATE	be charged to	\$40.00 deposit account 02-0200	
Document Should Name: Address:	Richard E. Fichter Registration Number 26,382 Bacon & Thomas, PLLC 625 Slaters Lane Fourth Floor Alexandria, VA 22314	Total Number of A Pa 7. Total Fee: (37 CFR 3,41) □ Enclosed 図 Authorized to 8. Deposit Account of ATTACH BUPLICATE	be charged to	\$40.00 deposit account 02-0200	
Document Should	Richard E. Fichter Registration Number 26,382 Bacon & Thomas, PLLC 625 Slaters Lane Fourth Floor Alexandria, VA 22314	Total Number of A Pa 7. Total Fee: (37 CFR 3,41) □ Enclosed 図 Authorized to 8. Deposit Account a ATTACH DUPLICATE THIS SPACE	be charged to	\$40.00 deposit account 02-0200 EIF PAYING BY DEPOSIT ACCOUNT	
Document Should Name: Address: 9. Statement and To the	Richard E. Fichter Registration Number 26,382 Bacon & Thomas, PLLC 625 Slaters Lane Fourth Floor Alexandria, VA 22314	Total Number of A Pa 7. Total Fee: (37 CFR 3,41) □ Enclosed 図 Authorized to 8. Deposit Account a ATTACH DUPLICATE THIS SPACE	be charged to	\$40.00 deposit account 02-0200 EIF PAYING BY DEPOSIT ACCOUNT	
Document Should Name: Address: 9. Statement and To the	Richard E. Fichter Registration Number 26,382 Bacon & Thomas, PLLC 625 Slaters Lane Fourth Floor Alexandria, VA 22314 DO NOT USE	Total Number of A Pa 7. Total Fee: (37 CFR 3,41) □ Enclosed 図 Authorized to 8. Deposit Account a ATTACH DUPLICATE THIS SPACE	be charged to	\$40.00 deposit account 02-0200 EIF PAYING BY DEPOSIT ACCOUNT	

THIS DEED is made this 30 of 100 2003

BETWEEN

NATIONAL HEALTH SCIENCES CENTRE LIMITED, ACN 071 137 043, incorporated in the Australian Capital Territory, carrying on business at Level 5, Building 10 The Camberra Hospital, Yamba Drive, Garran, in the Australian Capital Territory (the 'NHSC')

AND

VAXINE PTY LTD, ACN 100 787 719, incorporated in the Australian Capital Territory, carrying on business at Level 5, Building 10 The Canberra Hospital, Yamba Drive, Garran, in the Australian Capital Territory ('Vaxine')

AND

AUSTRALIAN NATIONAL UNIVERSITY, a body corporate duly constituted pursuant to the Australian National University Act 1991, of Canberra, ACT, 0200 ('ANU')

RECITALS

- ANU is the registered proprietor of the Patents.
- B. In order to enhance the realisation of commercial success for the Patents, ANU and NHSC entered into a Deed dated [] ('Collaborative Deed') under which ANU agreed to transfer to NHSC all of its right, title and interest in the Patents in return for certain payments and obligations.
- C. ANU and NHSC have now agreed that the Collaborative Deed will be terminated and replaced with this Deed under which ANU agrees:
 - (a) to transfer the Patents to Vaxine rather than NHSC; and
 - (b) to accept issue of shares in Vaxine in consideration of the transfer of the Patents to Vaxine.

THE PARTIES AGREE

1. DEFINITIONS AND INTERPRETATION

1.1 Definitions

In this Deed:

'Deed' means this Deed and any schedule or annexure to it;

'Effective Date' means the date on which the last party signs this Deed.

'Patents' means:

- (a) Australian Registered Patent No. PCT/AU89/00349 entitled 'Gamma Inulin Compositions'; and
- (b) Australian Registered Patent No. PCT/AU86/00311 entitled 'Immunotherapeutic Preparation for Treatment of Cancer'

and all applications and provisional applications deriving priority from the patents specified in (a) and (b).

'Shares' means 75,000 shares in Vaxine.

1.2 Interpretation

In this Deed, unless the contrary intention appears:

- (a) headings are for ease of reference only and do not affect the meaning of this Deed;
- (b) the singular includes the plural and vice versa and words importing a gender include other genders;
- (c) other grammatical forms of defined words or expressions have corresponding meanings;
- (d) a reference to a document or agreement, including this Deed, includes a reference to that document or agreement as novated, altered or replaced from time to time;
- (e) a reference to any legislation or statutory instrument or regulation is construed in accordance with the Acts Interpretation Act 1901 (Cth) or the equivalent State legislation, as applicable;
- (f) 'including' is not used as a word of limitation.

2. TERMINATION OF COLLABORATIVE DEED

NHSC and ANU agree that the Collaborative Deed is terminated from the Effective Date and has no further force or effect. Each of those parties releases the other from and against any liability they might otherwise have had under the Collaborative Deed.

3. ASSIGNMENT OF PATENTS

- (a) In consideration of the issue of the Shares to ANU, ANU hereby assigns to Vaxine with effect from the Effective Date all of ANU's right, title and interest in the Patents.
- (b) Within a reasonable time after the Effective Date, ANU must at Vaxine's cost do all things reasonably required for vesting full, right, title and interest to the Patents in Vaxine and recording the transfers of the Patents to Vaxine's name.

4. ISSUE OF SHARES

Vaxine agrees to issue the Shares to ANU within 30 days after the Effective Date.

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NON DISCLOSURE

The parties must not disclose the terms of this document or its subject matter to any other person or persons whether directly or indirectly except to their shareholders, legal or financial advisors or as may be required by law.

6. LEGAL COSTS AND STAMP DUTY

- (a) Each party must pay its own costs including legal costs in connection with the preparation, negotiation and execution of this document.
- (b) If stamp duty is payable on this document, ANU and Vaxine must each pay one half of the stamp duty payable.

GOVERNING LAW

- 7.1 This Deed is governed by and interpreted in accordance with the laws of the Australian Capital Territory.
- 7.2 Each party irrevocably and unconditionally submits to the non-exclusive jurisdiction of the courts of the Australian Capital Territory and any courts which have jurisdiction to hear appeals from any of those courts and waives any right to object to any proceedings being brought in those courts.

8. AMENDMENT

This document may only be varied or replaced by a deed executed by all parties.

9. WAIVER AND EXERCISE OF RIGHTS

- (a) The single or partial exercise or waiver of a right relating to this document will not prevent any other exercise of that right or the exercise of any other right.
- (b) A party will not be liable for any loss, cost or expense of the other party caused or contributed to by the waiver, exercise, attempted exercise, failure to exercise or delay in exercise of a right.

10. FURTHER ASSURANCE

Each party must promptly execute all documents and do all things that each other party from time to time reasonably requests of it to effect, perfect or complete the provisions of this document and any transaction contemplated by it.

11. COUNTERPARTS

This document may consist of a number of counterparts and if so the counterparts taken together constitute one and the same document.

12. ENTIRE AGREEMENT

- (a) This document embodies the entire understanding and agreement between the parties as to its subject matter.
- (b) All previous negotiations, understandings, representations, warranties, memoranda or commitments in relation to or in any way affecting the subject

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matter of this document are merged in and superseded by this document and are of no force or effect whatever and no party will be liable to another party in respect of those matters.

- (c) No oral information provided by one party to another:
 - (i) affects the meaning or interpretation of this document; or
 - (ii) constitutes any collateral agreement, warranty or understanding between the parties.

. : 5

EXECUTED as a deed.

SIGNED SEALED AND DELIVER	ED
for THE NATIONAL HEALTH	
SCIENCES CENTRE by an authoris	ed

officer in the presence of

Signature of witness

Name of witness (print)

SIGNED SEALED AND DELIVERED for VAXINE PTY LTD by an authorised officer in the presence of

Signature of witness

Name of witness (print)

SIGNED SEALED AND DELIVERED for AUSTRALIAN NATIONAL

UNIVERSITY by an authorised officer in the presence of

Signature of witness

Name of witness (print)

Signature of officer

Name of officer (print)

Office held

Signature of officer

Name of officer (print)

CHARMAN Office held

Signature of officer

PROFESSOR JOHN P. HEARN DEPUTY VICE CHANCELLOR (RESEARCH)
Natresprafactor (point) University

CANBERRA, ACT 0200 AUSTRALIA

Office held

ATTESTATION

We, Dianne Sell_and Alison Raynes secretaries to DAVIES COLLISON CAVE, Patent Attorneys, of 1 Nicholson Street, Melbourne, Victoria, having carefully checked the attached document declare that it is a true and correct copy of the document of which it purports to be a copy.

DATED this 30th day of August, 2005

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RECORDED: 10/07/2005