

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO THE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE:
PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name(s) of Conveying party(ies):
Eli Lilly and Company

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

3. Name and address of receiving party(ies):

Name: MERCK & Co., INC.
Internal Address: RY60-30
Street Address: P.O. Box 2000
City & State: RAHWAY, NEW JERSEY
Zip/Postal Code: 07065-0907

Execution Date: Aug 16, 2005

Additional name(s) & addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s) are as follows:

(a) Patent Application No(s). 10/500,516, filed on 07/29/2002
and titled:
MODULATORS OF ACETYLCHOLINE RECEPTORS

(b) If this document is being filed together with a new application, the execution date of the application is:

Additional numbers attached? ☐ Yes ☐ No

5. Name & address of party to whom correspondence concerning documents should be mailed:

Name: John C. Todaro
Internal Address: PATENT DEPARTMENT
MERCK & CO., INC.
P.O. BOX 2000 -- RY60-30
City & State: RAHWAY, N.J. Zip: 07065-0907

6. Total no. of applications & patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
☒ The Director is hereby authorized to charge the deposit account number entered below for any fees which may be required or to credit any overpayment.

8. Deposit account number: 13-2755

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John C. Todaro Reg. No. 36,036

Name of Person Signing

John C. Todaro
Signature

Feb 22, 2006

Date

Total number of pages including cover sheet, attachments, and documents: 4

NOTE: Send to Mail Stop Assignment Recordation Services or Fax to Patent and Trademark Office at (571) 273-0140

CERTIFICATE OF MAILING OR FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type) Karen Ann Drost

Signature

Karen Ann Drost

Date February 22, 2006

PATENT

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REEL: 017279 FRAME: 0723

ASSIGNMENT

WHEREAS ELI LILLY AND COMPANY ("LILLY"), having a place of business at Lilly Corporate Center, Indianapolis, IN 46285 by virtue of:

an assignment dated 15 August 2002 between Peter Charles ASTLES and LILLY;

an assignment dated 28 March 2003 between Stephen Richard BAKER and LILLY;

assignments dated 15 January 2002 and 14 August 2002 between Jean Michel VERNIER and LILLY;

an assignment dated 14 August 2002 between Rowena Villanueva CUBE and LILLY;

assignments dated 8 January 2002 and 12 September 2002 between Jose Antonio MARTINEZ-PEREZ and LILLY;

assignments dated 8 January 2002 and 11 September 2002 between Ana Isabel MATEO-HERRANZ and LILLY;

an assignment dated 14 August 2002 between Colin Peter DELL and LILLY;

an assignment dated 24 August 2002 between Sonia GUTIERREZ-SANFELICIANO and LILLY;

an assignment dated 26 August 2002 between Lourdes PRIETO and LILLY;

an assignment dated 20 August 2003 between Martine KEENAN and LILLY;

an assignment dated 6 September 2002 between Adam Jan SANDERSON and LILLY;

an assignment dated 20 August 2002 between Colin William SMITH and LILLY;

(copies of which are attached hereto), is a co-owner of the right, title and interest in an invention that is the subject of a patent application ("Priority Application") which is entitled "MODULATORS OF ACETYLCHOLINE RECEPTORS", containing 57 pages and 0 drawings, and which was filed in the United States Patent and Trademark Office (USPTO) on 17 January 2002 and accorded serial number 60/350,152 and the subject of a subsequent International Patent Application filed under the Patent Cooperation Treaty ("International Application") of the same title, containing 156 pages and 0 drawings, and which was filed in the USPTO acting as Receiving Office on 29 July 2002 and accorded the application number PCT/US02/21296.

WHEREAS, Merck & Co., Inc., P.O. Box 2000, Rahway, NJ 07065-0907, ("ASSIGNEE") wishes to acquire the entire right, title and interest for, in and to all inventions disclosed in such Priority Application and International Application, and in, to and under any Letters Patent which may be obtained to said inventions; including the acquisition by the ASSIGNEE of the entire right, title and interest for in and to all inventions

disclosed in US Patent Application Number 10/500516 and European Patent Application Number 02756388.1.

NOW, THEREFORE, pursuant to the Collaboration Agreement between LILLY and SIBIA NEUROSCIENCES, Inc., having a place of business at 505 Coast Boulevard South, La Jolla, California 92037-4641 (SIBIA) dated 25 May 1999, by and between SIBIA and LILLY ("Collaboration Agreement"), and the subsequent Project Termination Agreement dated 10 January 2001 by and between LILLY and the ASSIGNEE (the "Termination Agreement"), LILLY hereby sells, assigns, transfers and sets over unto ASSIGNEE, its successors and assignees, LILLY's entire right, title and interest for, in and to all inventions disclosed in the Priority Application and International Application, including all priority rights for other countries arising there from, and any and all present patent applications (US Patent Application Number 10/500516 and European Patent Application Number 02756388.1) or future patent applications to such inventions that may be filed in any country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, international applications filed under the PCT, United States provisional patent applications, subsequent United States provisional patent applications claiming some or all of this invention, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates; and any related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by the ASSIGNEE for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by LILLY had this Assignment and sale to ASSIGNEE not been made.

LILLY authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any foreign equivalent thereof, to issue any such Letters Patent which may be granted on the Application to ASSIGNEE as assignee of the entire right, title and interest therein and thereto.

NOW THEREFORE, further pursuant to the Collaboration Agreement Article 9.2, the ASSIGNEE grants LILLY a royalty free non-exclusive right to use all inventions that are the subject of this Assignment for any and all purposes.

IN WITNESS WHEREOF I have executed this agreement as set for the above.



Representative for ELI LILLY AND COMPANY

Douglas K. Norman
General Patent Counsel

Date August 16, 2005

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Docket No. X14685

UNITED STATES OF AMERICA

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

Before me, a Notary Public for Marion County, State of Indiana, personally appeared DOUGLAS K. NORMAN, and acknowledged the execution of the foregoing instrument this 16th day of August, 2005.

Marie A. Thomas

Notary Public

Marie A. Thomas, Notary Public**Resident of Marion County****My Commission Expires:****February 10, 2009**

Representative for MERCK & Co., Inc.

Title Patent CounselDate September 21, 2005

UNITED STATES OF AMERICA

STATE OF New Jersey)
) SS:
COUNTY OF Union)

Before me, a Notary Public for Essex County, State of New Jersey, personally appeared Melvin Winkler, and acknowledged the execution of the foregoing instrument this 21st day of September, 2005.


Notary Public

VIOLET BURGESS
NOTARY PUBLIC OF NEW JERSEY
Commission Expires 5/30/2007