

12-06-2005



.103130934

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John Y. Chang, Joshua Makower, Julia D. Vransy, John H. Morriss, Ketan P. Muni, Isaac J. Kim, Hung Ha, William M. Fecteau and Amrish Jayprakash Walke

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: September 21, 2005

2. Name and address of receiving party(ies):

Acclarent, Inc.
1525-B O'Brien Drive
Menlo Park, CA 94025

A Delaware corporation.

4. Application number(s) or patent number(s): 11/150,847

If this document is being filed together with a new application, the filing date of the application is: [Inventor Declaration Execution Date]

A. Patent Application No.(s):

[Application No.]

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Robert D. Buyan
Stout, Uxa, Buyan & Mullins, LLP
4 Venture, Suite 300
Irvine, CA 92618

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40

☒ Enclosed (Check No. 3905)☐ Authorized to be charged to deposit account if fee is insufficient.

8. Deposit account number: 50-0878

(Attach duplicate copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature.

Attorney Docket No. ACLRT-038B

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Robert D. Buyan

Name of Person Signing

Signature

November 29, 2005

Date

Total number of pages including cover sheet, attachments and document: [Total No. pages 12]

ASSIGNMENT

Name(s) of Inventor(s): John Y. Chang, Joshua Makower, Julia D. Vransy, John H. Morriss, Ketan P. Muni, Isaac J. Kim, Hung Ha, William M. Facticeau and Amrish Jayprakash Walke

Name of Assignee: Acclarent, Inc.

State of Incorporation of Assignee: Delaware

Address of Assignee: 1525-B O'Brien Drive, Menlo Park, CA 94025

Title of Application: Devices, Systems and Methods Useable for Treating Sinusitis

Application No.: 11/150,847 Date of Filing of Application: June 10, 2005

1. In consideration of the sum of \$1.00 and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned agree(s) to sell, assign and transfer and does (do) hereby sell, assign and transfer to the above-named Assignee, its successors and assigns, the entire and exclusive right, title and interest in and to the inventions claimed and described in the above-identified patent application in the United States and throughout the world, and in and to any applications for patent and patents which may be granted and issued thereon in any and all countries, and in and to any continuations, divisions, reissues and extensions of said application(s) and patent(s) and all international priority rights associated therewith. The undersigned agree(s) to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.
2. The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
3. The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
4. The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.
5. The undersigned hereby represent(s) and warrant(s) that no other assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.
6. The undersigned hereby authorize and request the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenant that they have full right to convey the entire interest herein assigned, and that they have not executed any agreement in conflict herewith.
7. The undersigned hereby grant Frank J. Uxa, Reg. No. 25,612; Donald E. Stout, Reg. No. 34,493; Robert D. Buyan, Reg. No. 32,460; Kenton R. Mullins, Reg. No. 36,331; Jo Anne M.

Ybaben, Reg. No. 42,243; Linda Allyson Fox, Reg. No. 38,883 and Greg S. Hollrigel, Ph.D., Registered Patent Agent No. 45,374, all of the firm of STOUT, UXA, BUYAN & MULLINS, LLP, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Signature of Inventor: John Y. Chang Date: 8/18/05
John Y. Chang

Signature of Inventor: Joshua Makower Date: 8/17/05
Joshua Makower

Signature of Inventor: Julia D. Vranj Date: 8/17/05
Julia D. Vranj

Signature of Inventor: John H. Morriss Date: 9/19/05
John H. Morriss

Signature of Inventor: Ketan P. Muni Date: 9/19/05
Ketan P. Muni

Signature of Inventor: Isaac J. Kim Date: 9/19/05
Isaac J. Kim

Signature of Inventor: Hung Ha Date: 9/19/05
Hung Ha

Signature of Inventor: William M. Fecteau Date: 9/19/05
William M. Fecteau

Signature of Inventor: Amrish Jayprakash Walke Date: 9/21/05
Amrish Jayprakash Walke

ALL-PURPOSE NOTARIAL ACKNOWLEDGMENT

State of California

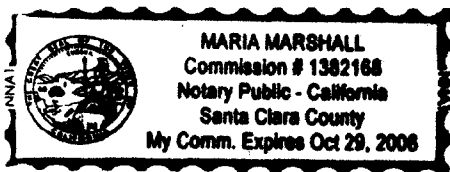
County of Santa Clara

On August 18, 2005 before me, Maria Marshall, Notary Public
(Date) (Name, Title of Officer)

personally appeared John Y. Chang

☒ personally known to me
- OR -

☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

[Signature]

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DESCRIPTION OF ATTACHED DOCUMENT

Assignment

TITLE OR TYPE OF DOCUMENT

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2 June 10, 2005
NUMBER OF PAGES DATE OF DOCUMENT

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ALL-PURPOSE NOTARIAL ACKNOWLEDGMENT

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On August 17, 2005 before me, Maria Marshall, Notary Public
(Date) (Name, Title of Officer)

personally appeared Joshua Makower

☒ personally known to me

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☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



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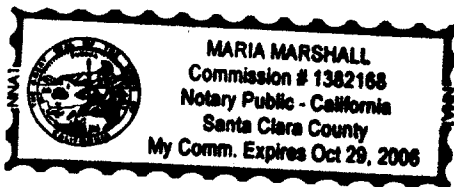
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On August 17, 2005 before me, Maria Marshall Notary Public
(Date) (Name, Title of Officer)

personally appeared Julia D. Vransy

☒ personally known to me
- OR -

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WITNESS my hand and official seal.

Maria Marshall

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State of California

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On September 19, 2005 before me, Maria Marshall, Notary Public
(Date) (Name, Title of Officer)

personally appeared John H. Morriss

☒ personally known to me
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On September 19, 2005 before me, Maria Marshall, Notary Public
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personally appeared Ketan P. Muni

☒ personally known to me
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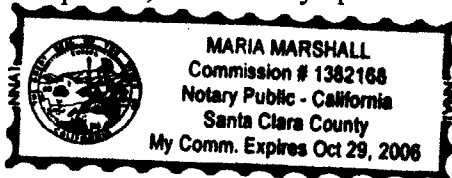
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On September 19 2005 before me, Maria Marshall Notary Public
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personally appeared Isaac J. Kim

☒ personally known to me
- OR -

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personally appeared Hung Ha

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personally appeared William M. Facteau

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personally appeared Amrish Jayprakash Walke

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