United States Patent Trademark Office RM COVER SHEET Atty Docket No.: GUID-005CON7 S ONLY Precord the attached documents or the new Address (es) below. 2. Name and address of receiving party(ies) Name: Cardiothoracic Systems, Inc. Internal Address: Street Address: 3200 Lakeside Drive, B, 4 th Floor City: Santa Clara State: California Country: U.S.A. Zip: 95054 Other
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ument is being together with a new application.
B. Patent No. (s).
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Additional number(s) attached ☐ Yes ☒ No
. Total number of applications and patents involved:
1
. Total fee (37 CFR 1.21(h) & 3.41) \$40.00
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- August - Comment
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4 64100
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Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:

ASSIGNMENT

WHEREAS, we, Charles S. Taylor, a citizen of the United States residing at 4380 26th Street, San Francisco, California 94131; Dwight P. Morejohn, a citizen of the United States residing at 731 North Campus Way, Davis, California 95616; Benjamin Sherman, a citizen of the United States residing at 2146 Seacliff Drive, Milpitas, California 95035; Gary B. Weller, a citizen of the United States residing at 15570 El Gato Lane, Los Gatos, California 95032; William F. Witt, a citizen of the United States residing at 3809 Carlson Circle, Palo Alto, California 94306; and Caralin R. Adair, a citizen of the United States residing at 677 Pettis Avenue, Mountain View, California 94041 (hereinafter referred to collectively as "ASSIGNORS"), have invented and own a certain invention entitled "SURGICAL INSTRUMENTS AND PROCEDURES FOR STABILIZING THE BEATING HEART DURING CORONARY ARTERY BYPASS GRAFT SURGERY," for which application for Letters Patent of the United States of America was filed on September 16, 1997 as U.S. Serial No. 08/931,158; and

WHEREAS, CARDIOTHORACIC SYSTEMS, INC., a corporation organized and existing under and by virtue of the laws of the State of California and having its principal place of business at 10600 North Tantau Avenue, Cupertino, California 95014-0739 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNORS hereby authorize and request the Assistant Commissioner for Patents to issue said Letters Patent and any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon request of ASSIGNEE, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or legal proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its designated legal representative any and all papers, instruments, declarations or affidavits required to apply for, obtain, maintain, issue and enforce said

application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

witness my hand at Cuper And, Collif, this 15th day of March, 1998.

Charles S. Taylor

STATE OF CALIFORNIA) ss. COUNTY OF S. Claude)

On this day of March, 1998, before me, a Notary Public, personally appeared Charles S. Taylor, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for said County and State

Seal



Then has Lettes

witness my hand at <u>Cupetino</u>, <u>Ca</u>, this <u>19th</u> day of <u>Flbruary</u>, 1998.

Dwight P. Morejohn

STATE OF CALIFORNIA)

COUNTY OF SCHOOL)

ss

On this Aday of Lob, 1998, before me, a Notary Public, personally appeared **Dwight P. Morejohn**, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for said County and State

Seal



5

WITNESS my hand at Cuperfino, Ca, this _ 1 th

day of Feb rury ____, 1998.

Genzuin Treeman Benjamin Sherman

STATE OF CALIFORNIA)

COUNTY OF S. Clara)

On this Hay of February 1998, before me, a Notary Public, personally appeared Benjamin Sherman, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

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WITNESS my hand and official seal.

Seal

KAREN LEE TITUS
Comm. # 1099015
NOTARY PUBLIC - CALIFORNIA
Santa Clara County
My Comm. Expires May 28, 2000

Notary Public in and for said County and State

REEL: 017296 FRAME: 0290

witness my hand at Caperfind, Ca, this 9th day of Kbruary, 1998.

Gary B. Weller

STATE OF CALIFORNIA)

COUNTY OF S. Clare)

ss

On this ______day of _______, 1998, before me, a Notary Public, personally appeared Gary B. Weller, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Rublic in and for said County and State

Seal



he ti

witness my hand at Cuperfixe, Co, this _
day of _______, 1998.

this J. Mills

STATE OF CALIFORNIA

COUNTY OF Sillare

On this 5 day of 6b, 1998, before me, a Notary Public, personally appeared William F. Witt, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted,

WITNESS my hand and official seal.

executed the instrument.

oen her Litter Notary Public in and for said County and State

Seal

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WITNESS my hand at Cupe 11/10, 1998.

STATE OF CALIFORNIA

COUNTY OF 5. Clara

On this Hay of Hb, 1998, before me, a Notary Public, personally

appeared Caralin R. Adair, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Seal

RECORDED: 02/27/2006

KAREN LEE TITUS

Laren ha Litins Notary Public in and for said County and State