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U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Caterpillar Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

11/29/2005

Execution Date:

2. Name and address of receiving party(ies):

Name:

SAP Aktiengesellschaft

Internal Address:

Neurottstrasse 16

Walldorf, D-69190

Germany

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) _____

10/955,266

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Steve D. Lundquist

Caterpillar Inc.

Intellectual Property Department, AB6490

100 N. E. Adams Street

Peoria IL 61629-6490

6. Total number of applications and patents involved: ☒ 1

7. Total fee (37 CFR 3.41) \$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

03-1129

12/07/2005 DBYRNE 00000055 031129 10955266

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9. Signature.

Docket Number: 05-507

Steve D. Lundquist

Name of Person Signing

Signature

11/29/2005

Date

Total number of pages including cover sheet, attachments, and documents: ☐

Mail documents to be recorded with cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
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PATENT
REEL: 017311 FRAME: 0719

ASSIGNMENT OF PATENT

WHEREAS, CATERPILLAR INC., a corporation organized and existing by virtue of the laws of the State of Delaware, U.S.A., having a place of business at 100 N.E. Adams Street, Peoria, Illinois, 61629-6490, hereinafter referred to as "ASSIGNOR", is by assignments, the joint owner of the entire right, title and interest in the United States Patent Application and the inventive subject matters therein contained, hereinafter collectively referred to as "PATENT RIGHTS", as listed below.

METHODS AND SYSTEMS FOR DISTRIBUTING STOCK IN A DISTRIBUTION NETWORK

WHEREAS, SAP Aktiengesellschaft, a corporation of Germany, having a principal place of business at Neurottstrasse 16, Walldorf, D-69190 Germany, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in, to and under said PATENT RIGHTS.

NOW THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid by said ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, said ASSIGNOR does hereby assign, sell, transfer and convey to ASSIGNEE, its entire right, title and interest in and to said PATENT RIGHTS as set forth above and as defined hereinabove, to be held and enjoyed by the ASSIGNEE, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR and this Assignment and sale not been made, together with all claims for profits and damages by reason of past infringement and said PATENT RIGHTS by any party or parties, with the right to sue for and collect the same for its own use and behoof and for the use and behoof of its successors, assigns or other legal representatives.

IN WITNESS WHEREOF, ASSIGNOR has caused this Assignment to be signed by its duly authorized officer and its corporate seal to be hereto affixed and attested this 29th day of November, 2005.

CATERPILLAR INC.

By: Laurie J. Huxtable
Laurie J. Huxtable
Assistant Secretary