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TRANSM

12-13-2005

ACTION

Atty. Docket: 03292.102200

To the Director, U.S. Patent and Tra

Original documents or copy thereof.



103134843

1. Name of conveying party(ies):

NOGA RUTTENBERG, JENNIFER BERNSTEIN, and
CARL T. HORTON JR.

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: December 2, 2005, December 2, 2005, and
November 30, 2005

2. Name and address of receiving party(ies):

Name: AMERICAN EXPRESS TRAVEL RELATED
SERVICES COMPANY, INC.

Foreign Address: _____

Domestic Address: General Counsel's Office, AmericanExpress Tower, 200 Vesey StreetCity: New York State NY ZIP 10285Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: December 2, 2005,
November 30, 2005

A. Patent Application Number:

Filing Date:

B. Title of Invention:

SYSTEM AND METHOD FOR TRANSFERRING A
FINANCIAL TRANSACTION ACCOUNT ACCORDING TO
PREDETERMINED CRITERIA

Additional numbers attached?

☐ Yes☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:Name: Fitzpatrick, Cella, Harper & Scinto30 Rockefeller PlazaNew York, New York 10112-3800Telephone No.: (212) 218-2100Facsimile No.: (212) 218-2200

6. Number of applications and patents involved:

One

7. Total fee (37 CFR 3.41): . . \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number (for deficiency or excess)

06-1205

(Attach duplicate copy of this page if paying by deposit account):

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and the attached is the original document or is a
true copy of the original document.*Lock See Yu-Jahnes (Reg. No. 38,667)

Name of Person Signing

Signature

December 5, 2005

Date

12/12/2005 ECOOPER 00000133 11294503

Total number of pages including cover sheet, attachments, and documents: 7

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Form #122

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PATENT
REEL: 017323 FRAME: 0644

Attorney Docket No.: 03292.102200

ASSIGNMENT**UTILITY PATENT**

WHEREAS, the undersigned inventor(s) (hereinafter singly and collectively, "ASSIGNOR") of the addresses indicated below, have invented subject matter disclosed in the patent application entitled **SYSTEM AND METHOD FOR TRANSFERRING A FINANCIAL TRANSACTION ACCOUNT ACCORDING TO PREDETERMINED CRITERIA** filed herewith or was filed on _____ and assigned U.S. Serial No. _____.

AND WHEREAS, **AMERICAN EXPRESS TRAVEL RELATED SERVICES COMPANY, INC.** (hereinafter "ASSIGNEE"), a subsidiary of **AMERICAN EXPRESS COMPANY**, a corporation organized and existing under and by virtue of the laws of the State of New York, having its principal place of business and mailing address at General Counsel's Office, American Express Tower, World Financial Center, 200 Vesey Street, New York, New York 10285-4900, is desirous of memorializing its interest therein;

NOW, THEREFORE, the undersigned ASSIGNOR hereby confirms that ASSIGNOR is a full time salaried employee, past employee or a contractor of **AMERICAN EXPRESS COMPANY** or one of its subsidiary companies (collectively "AXP") and that ASSIGNOR developed the subject matter of the invention on AXP's time and at the expense of AXP and within the scope of ASSIGNOR's duties as an employee of AXP and thereby ASSIGNOR recognizes that AXP owns the invention as described in the aforesaid application. Nonetheless to comport with Patent Office procedures, ASSIGNOR memorializes that relationship in the form of this assignment and;

THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by these presents does sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right to the invention, as described in the aforesaid application, including patent, copyright and any other intellectual property rights, any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document, or any other application which claims priority to said application, in the United States and all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States adheres, and hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives,

AND HEREBY AGREES to transfer to ASSIGNEE, its successors, assigns and legal representatives, without further remuneration, a like interest in and to any improvements, derivative works, and applications based thereon, growing out of or relating to the invention; and to provide all reasonable assistance and execute any papers, if accurate, desired by ASSIGNEE, its successors, assigns and legal representatives, to preserve, acquire and/or perfect ASSIGNEE's

AXP Matter No.: 200502333

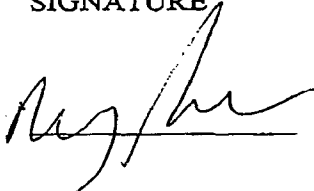
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Attorney Docket No.: 03292.102200

full protection and title in and to the invention and any improvements and to file any of the aforementioned applications.

In the event that the Application Number and/or filing date is not available when this Assignment is executed, I hereby authorize and request any attorney associated with Fitzpatrick, Cella, Harper & Scinto (Customer No. 05514) or American Express Company, to insert in this assignment the filing date and/or the application number of said application and/or any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

EXECUTED as of the date written below by ASSIGNOR:

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
Noga RUTTENBERG	273 Milton Road Rye, NY 10580		<u>12/2/05</u>
Jennifer BERNSTEIN	201 East 83 rd Street Apt. 2F New York, NY 10028	_____	_____
Carl T. HORTON Jr.	1984 Park Avenue Bridgeport, CT 06604	_____	_____
Scott B. BROOKS	19 Wilmer Place Metuchen, NY 08840	_____	_____

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
(212) 218-2100

NY_Main 538448_I

AXP Matter No.: 200502333

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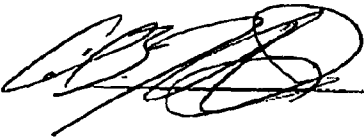
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