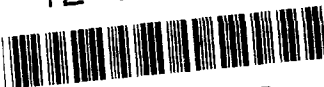


12-13-2005

RECC



103136497

To the Director of the U.S. Patent and Trademark Office

Use record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Xingang Jin  
Fahua Lan  
Junfei Zhang  
Kang Lin

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) November 24, 2005

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

2. Name and address of receiving party(ies)

Name: Finisar Corporation

Internal Address:

Street Address: 1308 Moffett Park Drive

City: Sunnyvale

State: CA

Country: USA Zip: 94089

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

12/06/2005 HTECKLU1 00000029 11292735

04 FC 8021

40.00 DP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: North Weber & Baugh LLP

Internal Address:

Street Address: 2479 E. Bayshore Road

Suite 707

City: Palo Alto

State: CA Zip: 94303

Phone Number: (650) 856-7539

Fax Number: (650) 856-0542

Email Address: mnorth@northweber.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

9. Signature:   
Signature

November 28, 2005  
Date

Michael V. North  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

7

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Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT  
REEL: 017326 FRAME: 0275

650 856-0542

To: Ariana Sanchez

**Title:** Glass Package for Optical Device  
**Inventors:** Xingang Jin, Fahua Lan, Junfei Zhang and Kang Lin  
**Filed:** PGCPATENT 2, 2005  
**Serial Number:** Unassigned  
**Client Docket No.:** Unassigned  
**Attorney Docket No.:** 20014-1094

From Finisar Shanghai

## ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, the individual(s) named below (hereinafter "INVENTOR" whether singular or plural) has sold, assigned, transferred, and set over, and hereby sells, assigns, transfers and sets over, to Finisar Corporation, a corporation of the State of Delaware, having a place of business at 1308 Moffett Park Drive, Sunnyvale, CA 94089 ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

1. The full and exclusive worldwide right, title, and interest in and to all inventions and improvements ("SUBJECT MATTER") that are disclosed in the U.S. patent application, entitled "GLASS PACKAGE FOR OPTICAL DEVICE" ("APPLICATION"),

☐ which is a provisional application

- ☐ bearing Application No. \_\_\_\_\_, and filed on \_\_\_\_\_;  
☐ to be filed herewith; or

☒ which is a non-provisional application

- ☐ bearing Application No. \_\_\_\_\_, and filed on \_\_\_\_\_;  
☒ to be filed herewith;  
☒ having an oath or declaration executed on the same date as this Assignment;  
☐ having an oath or declaration executed on a different date than this Assignment.

2. The entire worldwide right, title, and interest in and to the APPLICATION and all applications claiming priority to the APPLICATION or having related SUBJECT MATTER to the APPLICATION, including all such utility, divisional, continuation, substitute, renewal, reissue or other related application and all rights of priority in the APPLICATION, together with all rights to recover damages for infringement of provisional rights.

INVENTOR hereby authorizes and requests the Commissioner of the U.S. Patent and Trademark Office, and Patent Office Officials in all foreign countries, to issue to the ASSIGNEE any and all patents which may be granted from the APPLICATION.

INVENTOR will, without further consideration, communicate to the ASSIGNEE any facts known to the INVENTOR regarding the SUBJECT MATTER, testify in any legal proceeding, sign all lawful papers, execute all oaths, assignments, powers of attorney, applications and any other paper desired by ASSIGNEE to obtain, perfect, or secure to ASSIGNEE any and all of the rights, titles and interests herein conveyed, and to maintain, enforce, and defend these rights,

**Title:** Glass Package for Optical Device  
**Inventors:** Xingang Jin, Fahua Lan, Junfei Zhang and Kang Lin  
**Filed:** DECEMBER 2, 2005  
**Serial Number:** Unassigned  
**Client Docket No.:** Unassigned  
**Attorney Docket No.:** 20014-1094

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titles and interests in the United States and all foreign countries. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE herein.

INVENTOR represents that the INVENTOR, at the time of the execution of this Assignment, is the sole and lawful owner of the entire rights, titles and interests in and to the SUBJECT MATTER as set forth in the APPLICATION, and that the SUBJECT MATTER is unencumbered, and that the INVENTOR has good and full right and lawful authority to sell and convey the SUBJECT MATTER in the manner herein set forth.

INVENTOR covenants with ASSIGNEE that the INVENTOR has not made and will not hereafter make any assignment, grant, mortgage, license or other agreement affecting the rights, titles, and interests herein conveyed.

INVENTOR agrees to, and hereby acknowledges, that the ASSIGNEE may apply for and receive patents related to the APPLICATION or SUBJECT MATTER.

INVENTOR grants the attorney of record for the APPLICATION the power to insert on this Assignment any further identification, including the application number and filing date, which may be necessary or desirable to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment. Four inventor/assignor signature blocks are located on the following pages.

**Title:** Glass Package for Optical Device  
**Inventors:** Xingang Jin, Fahua Lan, Junfei Zhang and Kang Lin  
**Filed:** DECEMBER 2, 2005  
**Serial Number:** Unassigned  
**Client Docket No.:** Unassigned  
**Attorney Docket No.:** 20014-1094

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Notary Seal

**INVENTOR Name:** Junfei Zhang  
**INVENTOR Address:** No. 1 Yupin Road  
Shanghai, China  
**Date of Signature:** 2005-11-24

Junfei Zhang

[INVENTOR Signature]

---

**Witnessed By:** Hongyang Ren  
[Print Name]  
Ren Hongyang  
[WITNESS Signature]

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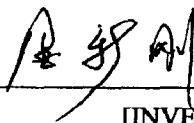
On \_\_\_\_\_, before me \_\_\_\_\_ personally appeared  
[Date] [Notary Public]

\_\_\_\_\_ personally known to me or proved to me on the basis of  
[INVENTOR]  
satisfactory evidence to be the person whose name is subscribed to the within instrument and  
acknowledging to me that [he/she] executed the same in [his/her] authorized capacity, and that  
by [his/her] signature on the instrument the person, or the entity upon behalf of which the person  
acted, executed the instrument

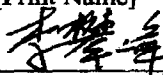
\_\_\_\_\_  
Notary

**Title:** Glass Package for Optical Device  
**Inventors:** Xingang Jin, Fahua Lan, Junfei Zhang and Kang Lin  
**Filed:** DECEMBER 2, 2005  
**Serial Number:** Unassigned  
**Client Docket No.:** Unassigned  
**Attorney Docket No.:** 20014-1094

**INVENTOR Name:** Xingang Jin  
**INVENTOR Address:** 4/f, Building 72, 887 Zu-Chong-Zhi  
Road Zhangjiang Hi-Tech Park  
Pudong Shanghai, China  
**Date of Signature:** 2005/11/24



[INVENTOR Signature]

**Witnessed By:** Panfeng Li  
[Print Name]  
  
[WITNESS Signature]

On \_\_\_\_\_, before me \_\_\_\_\_ personally appeared  
[Date] [Notary Public]

\_\_\_\_\_ personally known to me or proved to me on the basis of  
[INVENTOR]  
satisfactory evidence to be the person whose name is subscribed to the within instrument and  
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by [his/her] signature on the instrument the person, or the entity upon behalf of which the person  
acted, executed the instrument

\_\_\_\_\_  
Notary

Title: Glass Package for Optical Device  
Inventors: Xingang Jin, Fahua Lan, Junfei Zhang and Kang Lin  
Filed: DECEMBER 2, 2005  
Serial Number: Unassigned  
Client Docket No.: Unassigned  
Attorney Docket No.: 20014-1094

Notary Seal

INVENTOR Name: Fahua Lan  
INVENTOR Address: 4/f, Building 72, 887 Zu-Chong-Zhi  
Road Zhangjiang Hi-Tech Park  
Pudong Shanghai, China

Date of Signature: Nov. 24, 2005

[INVENTOR Signature]

Witnessed By:

Zhenli Wen

[Print Name]

Jahn Wen (Zhenli Wen)

[WITNESS Signature]

On \_\_\_\_\_, before me \_\_\_\_\_ personally appeared  
[Date] [Notary Public]

\_\_\_\_\_ personally known to me or proved to me on the basis of  
[INVENTOR]  
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by [his/her] signature on the instrument the person, or the entity upon behalf of which the person  
acted, executed the instrument

Notary

Title: Glass Package for Optical Device  
Inventors: Xingang Jin, Fahua Lan, Junfei Zhang and Kang Lin  
Filed: DECEMBER 2, 2005  
Serial Number: Unassigned  
Client Docket No.: Unassigned  
Attorney Docket No.: 20014-1094


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Notary Seal

INVENTOR Name: Kang Lin  
INVENTOR Address: 10155 Santa Clara Avenue, #A  
Cupertino, CA 95014  
Date of Signature: 2005-11-24

  
[INVENTOR Signature]

---

Witnessed By: Guojun Lai  
[Print Name]  
  
[WITNESS Signature]

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On \_\_\_\_\_, before me \_\_\_\_\_ personally appeared  
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acted, executed the instrument

\_\_\_\_\_  
Notary

Notary Seal

{20014/1094/00001316.DOC v1}

6 of 6