Form PTO-1595 (Rev. 08/05) Doc Code: <b>1216.002</b> OMB No. 0651-0027 (exp. 6/30/2008)	United States Patent and Trademark Office	
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.		
1. Name of conveying party(ies)	2. Name and address of receiving party(les)	
HAWKES, James B. FAUST JR., Thomas E.	Name: EATON VANCE MANAGEMENT	
raogi sk., inomas E.	Internal Address:	
Additional name(s) of conveying party(les) attached?  Yes  No	Street Address: 225 State Street	
3. Nature of conveyance/Execution Date(s):		
Execution Date(s) 11/21/05	011	
	City: Boston	
<ul> <li>Security Agreement</li> <li>Joint Research Agreement</li> </ul>	State: MA	
Government Interest Assignment	Country: US Zip: 02109	
☐ Executive Order 9424, Confirmatory License		
Other	Additional name(s) & address(es) attached?   Yes   No	
4. Application or patent number(s):	document is being filed together with a new application.	
A. Patent Application No.(s)	B. Patent No.(s)	
11/284,205		
Additional numbers attached?  Yes No		
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:	
Name: Richard L. Sampson	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00	
Internal Address: Suite 519	Authorized to be charged by credit card	
	Authorized to be charged to deposit account	
Street Address: 50 Congress Street	☐ Enclosed ☐ None required (government interest not affecting title)	
	8. Payment information	
City: Boston	_	
State: MA Zip: 02109	a. Credit Card Last 4 Numbers 3341	
Phone Number: 617-557-2900	Expiration Date 08/06	
Fax Number: 617-557-0077	b. Deposit Account Number 50-0734	
Email Address: rsampson@sampsonlaw.com	Authorized User Name Richard L. Sampson	
9. Signature:	3/14/06	
Signature	Date	
Richard L. Sampson	Total number of pages including 3	
Name of Person Signing	cover	

Occuments to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1460, Alexandria, VA 22313-1450

PATENT REEL: 017335 FRAME: 0414 fg/1/2)

Docket No.: 1216.002

## ASSIGNMENT OF PATENT RIGHTS

WHEREAS the undersigned inventor/s, James B. Hawkes and Thomas E. Faust Jr., has/have made an invention or discovery described and set forth in an application for U.S. Letters Patent, identified by Attorney Docket No. 1216.002 and entitled TAX MANAGED BUY-WRITE FUND executed prior to or contemporaneously herewith, filed on 1/2/05 and assigned U.S. Serial No. 1/287205, and

WHEREAS EATON VANCE MANAGEMENT, a Massachusetts Business Trust duly organized and existing under the laws of the Commonwealth of Massachusetts and having its principal place of business at 225 State Street, Boston, MA, is desirous of acquiring the entire right, title, and interest in and to said application(s) and the invention or discovery described therein and in and to any and all LETTERS PATENT that may be issued thereon in the UNITED STATES OF AMERICA and in any foreign country:

NOW THEREFORE, in consideration of the sum of one dollar and other valuable consideration, receipt of which is hereby acknowledged, the undersigned inventor/s hereby sell, assign, and transfer unto the said EATON VANCE MANAGEMENT and its successors and assigns the entire right, title, and interests in and to said application(s) and the invention or discovery therein described, together with the entire right, title, and interest in and to all LETTERS PATENT, including reissues, divisions, renewals, continuations and continuations-in-part or other derived applications thereof which may be obtained in the UNITED STATES OF AMERICA upon said invention or discovery, and the entire right, title, and interest in and to all applications for LETTERS PATENT in all countries foreign to the United States and all LETTERS PATENT issued thereon, including the right to claim for any corresponding foreign application the priority of the said application.

The undersigned inventor/s hereby authorize the application serial number and filing date to be entered hereinabove once known, and authorize and request the COMMISSIONER OF PATENTS of the UNITED STATES of AMERICA and the corresponding Officers of Foreign Governments to issue all LETTERS PATENT granted thereon in accordance with this assignment.

PATENT REEL: 017335 FRAME: 0415

**RECORDED: 03/14/2006** 

(Pg 2 mg 2)

Docket No.: 1216.002

The undersigned inventor/s furthermore agree to sign all papers and do all reasonable acts necessary to obtain and defend such LETTERS PATENT and to vest the title thereto in the assignee named herein, but without expense to ourselves.

First (or sole)	inventor	
Witness	10-11-11-11-11-11-11-11-11-11-11-11-11-1	James B. Hawkes  Date November 21, 2003
Second inventor	signature	
	Thomas E. Faust Jr.	
Witness		Date 1/21/05

PATENT REEL: 017335 FRAME: 0416