

12-15-2005

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

12/9/05



103137621

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Michael Vincent Buccì  
David A. LaGassa  
Michele D. Matthews  
Donald J. Gilbert

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) December 9, 2005

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Capital One Financial Corporation

Internal Address: \_\_\_\_\_

Street Address: 1680 Capital One Drive

City: McLean

State: VA

Country: United States Zip: 22102-3491

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Frank M. Gasparo

Internal Address: \_\_\_\_\_

Street Address: Baker & McKenzie LLP

805 Third Avenue

City: New York

State: NY Zip: 10022

Phone Number: (212) 751-5700

Fax Number: (212) 759-9133

Email Address: nycipd@bakernet.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 02-0393

Authorized User Name Baker & McKenzie LLP

**9. Signature:**

12/16/2005 DBYRME 00000194 020393 11298103 Signature

December 9, 2005

Date

01 FC:0021 40.00 DA Frank M. Gasparo (Reg. No. 44,700)  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

112959 U.S. PTO  
11/298103



120905

**ASSIGNMENT**

WHEREAS, I/We,

**Michael Vincent Bucci**  
5720 Oak Mill Court  
Glen Allen, VA 23059

Citizenship: United States

**David A. LaGassa**  
3804 Hackamore Lane  
Richmond, VA 23233

Citizenship: United States

**Michele D. Matthews**  
12611 Cutler Ridge Drive  
Richmond, VA 23233

Citizenship: United States

and

**Donald J. Gilbert**  
3711 Reeds Landing Cir.  
Midlothian, VA 23113

Citizenship: United States

have made certain new and useful inventions and discoveries, for which I/we have filed an application for Letters Patent entitled **SYSTEM AND METHOD FOR MANAGING TRANSACTIONS**, the specification of which is being filed herewith as U.S. Patent Application, and

WHEREAS, **Capital One Financial Corporation**, having a place of business at 1680 Capital One Drive, McLean, VA 22102-3491, United States of America, and who, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited,

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, and other valuable consideration furnished by Assignee to me/us, receipt and sufficiency of which I/we hereby acknowledge, I/we hereby, without reservations:

Page 1 of 6

NYCDMS/970977.1

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in my/our name(s) or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.

4. Warrant that I/we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that I/we have good right to assign the same to Assignee without encumbrance.

5. Bind my/our heirs, legal representatives, and assigns, as well as myself/ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me/us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me/us, my/our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me/us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in my/our control or in the control of my/our heirs, legal representatives, or assigns which may be useful for establishing the facts of my/our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 9 day of

December, 2005

  
Michael Vincent Bucci

STATE OF VA )  
COUNTY OF Goockland )

On this 9th day of December 2005, before me came **Michael Vincent Bucci**,  
to me known and known to me to be the individual described in, and who executed the foregoing  
instrument, and he has acknowledged to me that he has executed the same.

  
Notary Public

My Commission Expires July 31, 2009



IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 1<sup>st</sup> day of

December, 2005

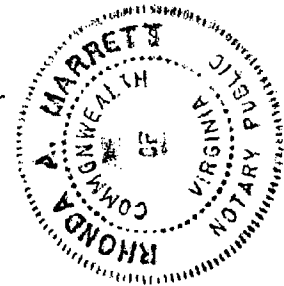
David A. LaGassa  
David A. LaGassa

STATE OF VA )  
COUNTY OF Goochland )

On this 9<sup>th</sup> day of December 2005, before me came **David A. LaGassa**, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.

Rhonda A. Marrett  
Notary Public

My Commission Expires July 31, 2009



IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 9th day of

December, 2005

  
Michele D. Matthews

STATE OF VA )  
COUNTY OF Goodland )

On this 9th day of December 2005, before me came **Michele D. Matthews**, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.

  
Notary Public

My Commission Expires July 31, 2009



IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 9 day of

December, 2005

Donald J. Gilbert  
Donald J. Gilbert

STATE OF VA )  
COUNTY OF Goodland )

On this 9th day of December 2005, before me came **Donald J. Gilbert**, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he has acknowledged to me that he has executed the same.

Rhonda A. Marrett  
Notary Public

My Commission Expires July 31, 2009

