

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):Arnold Horwitz
Stephen Fitzhugh Carroll
David BurkeAdditional name of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance/Execution Date(s):**Execution Date(s) 10/07/98; 10/21/98; & 10/22/98, respectively

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Joint Research Agreement
- ☐ Government Interest Assignment
- ☐ Executive Order 9424, Confirmatory License
- ☐ Other

2. Name and address of receiving party(ies)Name: XOMA Corporation

Internal Address: _____

Street Address: 2910 7th StreetCity: BerkeleyState: CaliforniaCountry: USA Zip: 94710Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application number(s) or patent number(s):**☐ This document is being filed together with a new application.A. Patent Application No.(s)
10/629,516B. Patent No.(s)
6,087,126
6,599,880Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**Name: Michael B. HarlinInternal Address: McAndrews, Held & Malloy, Ltd.Street Address: 500 West Madison StreetSuite 3400City: ChicagoState: Illinois Zip: 60661Phone Number: 312/775-8000Fax Number: 312/775-8100Email Address: mhmpto@mhmllaw.com**6. Total number of applications and patents involved: 3****7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card
- ☒ Authorized to be charged to deposit account
- ☐ Enclosed
- ☐ None required (government interest not affecting title)

8. Payment Informationa. Credit Card Last 4 Numbers _____
Expiration Date _____b. Deposit Account Number 13-0017Authorized User Name McAndrews Held & Malloy, Ltd.**9. Signature:**Michael B. HarlinMarch 16, 2006

Signature

Date

Michael B. Harlin

Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents5Documents to be recorded (including cover sheet) should be faxed to (571)2/3-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

A S S I G N M E N T

Serial No: 09/099,725Filed: June 19, 1998Title: Bactericidal/Permeability-Increasing Protein (BPI) Deletion Analogs

For Ten (\$10.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign to XOMA Corporation, a Delaware corporation, 2910 7th Street, Berkeley, California 94710 (hereinafter "assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in the above-identified application for Letters Patent of the United States, and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned warrant themselves to be the owners of the interest herein assigned and to have the right to make this assignment and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation, continuation-in-part and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns, may deem necessary or expedient, and for said consideration the undersigned further agree upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in said assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by said assignee, its successors and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

WITNESS my hand and seal this 7th day of October, Nineteen Hundred and Ninety-Eight.

Arnold Horwitz
Arnold Horwitz

State of CALIFORNIA
County of LOS ANGELES } ss.

On 07 OCT '98 before me, JB SPARKS Notary Public, personally appeared
DATE NAME, TITLE OF OFFICER

Arnold Horwitz
NAME(S) OF SIGNER(S)

☒ personally known to me, or

☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized Capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

JB Sparks
Signature of Notary



CAPACITY CLAIMED BY SIGNER

- ☒ INDIVIDUAL(S)
☐ CORPORATE OFFICER(S) _____
 TITLE(S) _____
☐ PARTNER(S)
☐ ATTORNEY-IN-FACT
☐ TRUSTEE(S)
☐ SUBSCRIBING WITNESS
☐ GUARDIAN/CONSERVATOR

☐ OTHER _____

SIGNER IS REPRESENTING:
NAME OF PERSON(S) OR ENTITY(IES)

WITNESS my hand and seal this 21 day of October, Nineteen Hundred and Ninety-Eight.

Stephen Fitzhugh Carroll
Stephen Fitzhugh Carroll

State of CALIFORNIA

County of ALAMEDA

ss.

On 10/21/98 before me, JANET A. HUBBELL, NOTARY PUBLIC, personally appeared
DATE NAME, TITLE OF OFFICER

Stephen Fitzhugh Carroll

NAME(S) OF SIGNER(S)

☒ personally known to me, or

☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized Capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Janet A. Hubbell
Signature of Notary



CAPACITY CLAIMED BY SIGNER

☒ INDIVIDUAL(S)

☐ CORPORATE

OFFICER(S)

TITLE(S)

☐ PARTNER(S)

☐ ATTORNEY-IN-FACT

☐ TRUSTEE(S)

☐ SUBSCRIBING WITNESS

☐ GUARDIAN/CONSERVATOR

☐ OTHER

SIGNER IS REPRESENTING:
NAME OF PERSON(S) OR ENTITY(IES)

PATENT

REEL: 017344 FRAME: 0058

WITNESS my hand and seal this 22 day of October, Nineteen Hundred and Ninety-Eight.

David Burke
David Burke

State of CALIFORNIA
County of ALAMEDA }

ss.

On 10/22/98 before me, JANET A HUGEL, NOTARY PUBLIC, personally appeared
DATE NAME, TITLE OF OFFICER

David Burke
NAME(S) OF SIGNER(S)

☒ personally known to me, or

☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized Capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Janet A. Hugel
Signature of Notary



CAPACITY CLAIMED BY SIGNER

- ☒ INDIVIDUAL(S)
☐ CORPORATE OFFICER(S) _____
 TITLE(S) _____
☐ PARTNER(S)
☐ ATTORNEY-IN FACT
☐ TRUSTEE(S)
☐ SUBSCRIBING WITNESS
☐ GUARDIAN/CONSERVATOR

☐ OTHER _____

SIGNER IS REPRESENTING:
NAME OF PERSON(S) OR ENTITY(IES)

