

12-16-2005



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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

- 1) Weibi HONG
2) Yuancheng LU

Execution Date(s) 12/08/2005

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance:**

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: New Focus Lighting and Power Technology Co., Ltd.

Internal Address: No. 9608, Bei Qing Road, Shanghai,

CHINA

Street Address: Same as Above

City:

State:

Country: Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Raymond Y. Chan

Internal Address: Same as below

Street Address: 108 N. Ynez Ave., #128,

City: Monterey Park,

State: CA Zip: 91754

Phone Number: 626-571-9812

Fax Number: 626-571-9813

Email Address: raymondcc@davidandraymond.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers

Expiration Date

b. Deposit Account Number

Authorized User Name

9. Signature:

Signature

Raymond Y. Chan

Name of Person Signing

Date

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

WHEREAS, I (we), Weibi HONG, Yuancheng LU
whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, have made an
invention entitled:

Compact Converter
(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

☒ executed on even data herewith

☐ executed on:

☐ filed on:

Serial No.:

WHEREAS, New Focus Lighting and Power Technology (Shanghai) Co., LTD
whose post office address is No. 9608, Bei Qing Road, Shanghai, China
hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the
same in the United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby
acknowledged, I (we), ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the
entire right, title, and interest in and to said INVENTION and application throughout the United States of
America, including any and all Letters Patent granted on any division, continuation, continuation-in-part
and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in
connection with the filing, prosecution and maintenance of said application or any other patent
application(s) in the United States for said INVENTION, including additional documents that may be
required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration.
ASSIGNOR also agrees, without further consideration and at ASSIGNEE'S expense, to identify and
communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the
INVENTION that are within ASSIGNOR'S possession or control, and to provide further assurances and
testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the
prosecution, maintenance and defense of any patent application or patent encompassed within the terms of
this instrument. ASSIGNOR'S obligations under this instrument shall extend to ASSIGNOR'S heirs,
executors, administrators and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks
to issue any and all Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title
and interest in and to the same, for ASSIGNEE'S sole use and behoof; and for the use and behoof of
ASSIGNEE'S legal representatives and successors, to the full end of the term for which such Letters Patent
may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment
and sale not been made.

ASSIGNOR authorizes Raymond Yat Chan to insert or complete any information in this
document needed to effect its recordal in the U.S. Patent and Trademark Office.

ASSIGNOR NAME: Weibi HONG, Yuancheng LU

ADDRESS: No. 9608, Bei Qing Road, Shanghai, China

SIGNATURE

SIGNATURE

12/08/2005

DATE

12/08/2005

DATE