Fro OM

1. CI

Add

Form PTO-1595 (Rev. 07/05) OMB No. 0651-0027 (exp. 6/30/2008)



U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

| 103133614 PAIENIS ONLY | | | |
|---|---|--|--|
| To the Director of the U.S. Patent and Trademark Office: Pleas | se record the attached documents or the new address(es) below. | | |
| Name of conveying party(ies) Charles Tomasino | 2. Name and address of receiving party(ies) Name: _TriboFilm Research, Inc. Internal Address: | | |
| Additional name(s) of conveying party(ies) attached? Yes No 3. Nature of conveyance/Execution Date(s): Execution Date(s) June 27, 2005 | Street Address: 625 Hutton Street, Suite 105 | | |
| ✓ Assignment | City: Raleigh State: North Carolina Country: US Zip: 27606 | | |
| Other | Additional name(s) & address(es) attached? Yes Vo | | |
| A. Patent Application No.(s) 11/050,230 Additional numbers att | | | |
| 5. Name and address to whom correspondence concerning document should be mailed: | 6. Total number of applications and patents involved: 1 | | |
| Name: David P. Hendricks Internal Address: Street Address: P.O. Box 37127 | 7. Total fee (37 CFR 1.21(h) & 3.41) \$_40.00 Authorized to be charged by credit card Authorized to be charged to deposit account Enclosed | | |
| City: Raleigh | None required (government interest not affecting title) 8. Payment Information a. Credit Card Last 4 Numbers | | |
| State: North Carolina Zip: 27627 | Expiration Date | | |
| Phone Number: (919) 831-1845 Fax Number: | b. Deposit Account Number Authorized User Name | | |
| Email Address: dphlaw@nc.rr.com | | | |
| 9. Signature: Signature David P. Hendricks, Reg. No. 53,014 Name of Person Signing | Total number of pages including cover sheet, attachments, and documents: | | |

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

| RECORDATION FO | RM COVER SHEET | |
|---|--|--|
| PATENTS ONLY | | |
| To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. | | |
| 1. Name of conveying party(ies) | 2. Name and address of receiving party(ies) | |
| Vinay G. Sakhrani | Name: TriboFilm Research, Inc. | |
| | Internal Address: | |
| 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | | |
| Additional name(s) of conveying party(ies) attached? Yes No. 3. Nature of conveyance/Execution Date(s): | Street Address: 625 Hutton Street, Suite 105 | |
| Execution Date(s) July 8, 2005 | otroct / tadi coo. | |
| ✓ Assignment Merger | | |
| Security Agreement Change of Name | City: Raleigh | |
| Joint Research Agreement | State: North Carolina | |
| Government Interest Assignment | Otate: North Saloma | |
| Executive Order 9424, Confirmatory License | Country: US Zip: 27606 | |
| Other | Additional name(s) & address(es) attached? Yes Vo | |
| A. Patent Application No.(s) 11/050,230 Additional numbers att | B. Patent No.(s) ached? ☐ Yes ✓ No | |
| 5. Name and address to whom correspondence | 6. Total number of applications and patents | |
| concerning document should be mailed: | involved: 1 | |
| Name: David P. Hendricks | 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00 | |
| Internal Address: | Authorized to be charged by credit card | |
| | Authorized to be charged to deposit account | |
| Street Address: P.O. Box 37127 | Enclosed | |
| | None required (government interest not affecting title) | |
| City: Raleigh | 8. Payment Information | |
| State: North Carolina Zip: 27627 | a. Credit Card Last 4 Numbers Expiration Date | |
| Phone Number: (919) 831-1845 | | |
| Fax Number: | b. Deposit Account Number | |
| Email Address: dphlaw@nc.rr.com | Authorized User Name | |
| 9. Signature: Dil O. 7 | 12/6/05 | |
| Signature | | |
| David P. Hendricks, Reg. No. 53,014 Name of Person Signing | Total number of pages including cover sheet, attachments, and documents: | |

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Form PTO-1595 (Rev. 07/05) OMB No. 0651-0027 (exp. 6/30/2008)

| RECORDATION FORM COVER SHEET | | | | |
|---|--|--|--|--|
| PATENTS ONLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. | | | | |
| 1. Name of conveying party(ies) | 2. Name and address of receiving party(ies) | | | |
| Charles K. Chiklis | Name: TriboFilm Research, Inc. Internal Address: | | | |
| Additional name(s) of conveying party(ies) attached? Yes No 3. Nature of conveyance/Execution Date(s): Execution Date(s) July 8, 2005 | Street Address: 625 Hutton Street, Suite 105 | | | |
| Assignment Merger Security Agreement Change of Name Joint Research Agreement Government Interest Assignment | City: Raleigh State: North Carolina Country: US Zip: 27606 | | | |
| Executive Order 9424, Confirmatory License Other 4. Application or patent number(s): | Additional name(s) & address(es) attached? Yes No document is being filed together with a new application. | | | |
| A. Patent Application No.(s) 11/050,230 Additional numbers att | B. Patent No.(s) tached? ☐ Yes ✓ No | | | |
| 5. Name and address to whom correspondence concerning document should be mailed: | 6. Total number of applications and patents involved: 1 | | | |
| Name: David P. Hendricks | 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00 | | | |
| Internal Address: | Authorized to be charged by credit card Authorized to be charged to deposit account | | | |
| Street Address: P.O. Box 37127 | Enclosed None required (government interest not affecting title) | | | |
| City: Raleigh | 8. Payment Information | | | |
| State: North Carolina Zip: 27627 | a. Credit Card Last 4 Numbers Expiration Date | | | |
| Phone Number: (919) 831-1845 | b. Deposit Account Number | | | |
| Fax Number:Email Address: dphlaw@nc.rr.com | Authorized User Name | | | |
| 9. Signature: Dil Will | 12/6/05 | | | |
| David P. Hendricks, Reg. No. 53,014 | Total number of pages including cover 6 sheet, attachments, and documents: | | | |

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

| 6 |
|----------|
| フ |
| <u> </u> |

01 FC:80

| _ | -19-2005 DEPARTMENT OF COMME |
|--|--|
| | DEPARTMENT OF COMME States Patent and Trademar |
| PATEN | 3042823 IS UNE 1 |
| To the Director of the U.S. Patent and Trademark Office: Plea | ase record the attached documents or the new address(es) belo |
| 1. Name of conveying party(ies) | 2. Name and address of receiving party(ies) |
| Charles Tomasino Charles K. Chiklis | Name: TriboFilm Research, Inc. |
| Vinay G. Sakhrani | Internal Address: |
| Additional name(s) of conveying party(ies) attached? | 0 |
| 3. Nature of conveyance/Execution Date(s): | Street Address: 625 Hutton Street, Suite 105 |
| Execution Date(s) | FIN |
| Assignment Merger | City: Raleigh |
| Security Agreement Change of Name | City: Raleigh |
| Joint Research Agreement | State: North Carolina |
| Government Interest Assignment Executive Order 9424, Confirmatory License | Country: US Zip: 2760 |
| Other | Additional name(s) & address(es) attached? Yes |
| | Additional name(s) & address(es) attached? Yes to document is being filed together with a new application. |
| A. Patent Application No.(s) | B. Patent No.(s) |
| 11/050,230 | |
| | |
| Additional numbers a | attached? Yes V No |
| 5. Name and address to whom correspondence concerning document should be mailed: | 6. Total number of applications and patents involved: 1 |
| Name: David P. Hendricks | 7. Total fee (37 CFR 1.21(h) & 3.41) \$.40.00 |
| Internal Address: | Authorized to be charged by credit card |
| | Authorized to be charged to deposit account |
| Street Address: P.O. Box 37127 | Enclosed |
| 4 | None required (government interest not affecting |
| | \ |
| City: Raleigh | 8. Payment Information |
| City: Raleigh State: NC Zip: 27627 | 8. Payment Information |
| | 8. Payment Information a. Credit Card Last 4 Numbers Expiration Date |
| State: NC Zip: 27627 Phone Number: | 8. Payment Information a. Credit Card Last 4 Numbers Expiration Date b. Deposit Account Number |
| State: NC Zip: 27627 Phone Number: | 8. Payment Information a. Credit Card Last 4 Numbers Expiration Date |

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Name of Person Signing

Total number of pages including cover

sheet, attachments, and documents:

ASSIGNMENT OF APPLICATION

Docket No. TFR-003-US

WHEREAS, I, Charles Tomasino of Raleigh, NC, have invented certain new and useful improvements disclosed in an application for United States Letters Patent title "Scratch Resistant Gradient Coating and Coated Articles," Application No. 11/050,230, and executed by me on February 3, 2005.

AND WHEREAS TriboFilm Research, Inc., a North Carolina Corporation (hereafter, together with any successors, legal representatives of assign thereof, called "Assignee"), wants to acquire the entire right, title, and interest in and to said improvement and application.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is acknowledged. I have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer. and set over to Assignee the entire right, title, and interest in and to said improvements, and said application and all divisions, substitutions, continuations, and continuations-in-part thereof, and all United States Letters Patent which may be granted thereon and all reissues and extensions thereof, and all priority rights under the International Convention for the Protection of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said improvements in any foreign countries; and I hereby authorize and request the United States Commissioner for Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said improvements to Assignee in accordance with the terms of this Assignment;

AND I HEREBY covenant that I have the full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant and agree that I will communicate to Assignee any facts known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuations-in-part, substitute, and reissue applications. make all rightful oaths, and generally provide aid to Assignee to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I execute this Assignment on the 27 day of

| , 2000. | |
|--|---|
| O | Charles Tomasin Signature |
| | Charles Tomasino |
| STATE OF NORTH CAROLINA) | STATE OF TARY |
| COUNTY OF Wake) | |
| County ^l aforesaid, personally appe | , 2005, before the undersigned, a Notary Public for the State and eared Charles Tomasino, known to me or proved to the State and person whose name is subscribed to the above Assignment and se same. |
| | • |

PATENT

03.25-09

Notaty Public commission expires

REEL: 017352 FRAME: 0843

ASSIGNMENT OF APPLICATION

Docket No. TFR-003-US

WHEREAS, I, Charles K. Chiklis of Cary, NC, have invented certain new and useful improvements disclosed in an application for United States Letters Patent title "Scratch Resistant Gradient Coating and Coated Articles," Application No. 11/050,230, and executed by me on February 3, 2005.

AND WHEREAS TriboFilm Research, Inc., a North Carolina Corporation (hereafter, together with any successors, legal representatives of assign thereof, called "Assignee"), wants to acquire the entire right, title, and interest in and to said improvement and application.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is acknowledged, I have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over to Assignee the entire right, title, and interest in and to said improvements, and said application and all divisions, substitutions, continuations, and continuations-in-part thereof, and all United States Letters Patent which may be granted thereon and all reissues and extensions thereof, and all priority rights under the International Convention for the Protection of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said improvements in any foreign countries; and I hereby authorize and request the United States Commissioner for Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said improvements to Assignee in accordance with the terms of this Assignment;

AND I HEREBY covenant that I have the full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant and agree that I will communicate to Assignee any facts known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuations-in-part, substitute, and reissue applications, make all rightful oaths, and generally provide aid to Assignee to obtain and enforce proper patent protection for said improvements in all countries.

| 1 1 2 | /HEREOF, I execute this Assignment on the day of 2005. |
|-------|--|
| | Charles K. Chiklis |
| | Signature |
| | Charles K. Chiklis |

On July (3.7), 2005, before the undersigned, a Notary Public for the State and County aforesaid, personally appeared Charles K. Chiklis, known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the above Assignment, and acknowledged that he executed the same.

ROBERT E. PINNEY
NOTARY PUBLIC
WAKE COUNTY, N.C.
My Commission Expires 11-6-2008

STATE OF NORTH CAROLINA)

ASSIGNMENT OF APPLICATION

Docket No. TFR-003-US

WHEREAS, I, Vinay G. Sakhrani of Raleigh, NC, have invented certain new and useful improvements disclosed in an application for United States Letters Patent title "Scratch Resistant Gradient Coating and Coated Articles," Application No. 11/050,230, and executed by me on February 3, 2005.

AND WHEREAS TriboFilm Research, Inc., a North Carolina Corporation (hereafter, together with any successors, legal representatives of assign thereof, called "Assignee"), wants to acquire the entire right, title, and interest in and to said improvement and application.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is acknowledged, I have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over to Assignee the entire right, title, and interest in and to said improvements, and said application and all divisions, substitutions, continuations, and continuations-in-part thereof, and all United States Letters Patent which may be granted thereon and all reissues and extensions thereof, and all priority rights under the International Convention for the Protection of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said improvements in any foreign countries; and I hereby authorize and request the United States Commissioner for Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said improvements to Assignee in accordance with the terms of this Assignment;

AND I HEREBY covenant that I have the full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant and agree that I will communicate to Assignee any facts known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuations-in-part, substitute, and reissue applications, make all rightful oaths, and generally provide aid to Assignee to obtain and enforce proper patent protection for said improvements in all countries.

| | - Hh |
|---|---|
| IN TESTIMONY WHEREOF, I execute this Assignment on t | he day of |
| July , 2005. | |
| | |
| B. C. | fineyed - |
| ART ALL | Signature |
| NOTAR | Signature |
| | Vinay G. Sakhrani |
| Z: PUBLIC : * | tina) or cannain |
| STATE OF NORTH CAROLINA) | |
|) SS: KALL COUNTY seems | |
| COUNTY OF WAKE | |
| On 8th July 2005, before the undersigned, a | N |
| | |
| County aforesaid, personally appeared Vinay G. Sakhrani, known to | • |
| of satisfactory evidence to be the person whose name is subscribed acknowledged that he executed the same. | to the above Assignment, and |
| sornowledged that he executed the same. | , |
| | Alpa B. Shah |
| | Notary Public My com. Exp. 4/24/2007 |
| | nu com Ex D. 4/24/2007 |
| | |

RECORDED: 07/14/2005