## 12-21-2005



Form **PTO-1595** 

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| US DEPARTMEN    | II OF COMMERCE                        |
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| U.S. Patent at  | NT OF COMMERCE<br>nd Trademark Office |

| OMB No. 0651-0027 (exp. 5/31/2002) PATENT   |  |
|---|--|
| To the Honorable Commissioner of Patents and Trademarks:  | Please record the attached original documents or copy there  |
| 1. Name of conveying party(ies): Shinichi Kawasaki (6/2/2004), Sumio Nakatake (6/2/2004), Hiroya Kitahata (6/2/2004), Setsuo Nakajima (6/2/2004),  Additional name(s) of conveying party(ies) attached?  3. Nature of Conveyance:  Assignment  Security Agreement  Change of Name  X Other  Correct Assignment Recordal  Execution Date: in parentheses after inventor name | 2. Name and address of receiving party(ies)  Name: Sekisui Chemical Co., Ltd.  Internal Address:  Street Address:  4-4, Nishitemma 2-chome, Kita-ku Osaka-shi, Osaka 530-8565 JAPAN  City:  State: Zip:  Additional name(s) & Yes x No   |
| 4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the et A. Patent Application No.(s):  10/500,317  | execution date of the new application is:    B. Patent No.(s):   |
| Additional numbers attact   | hed? Yes x No  |
| Name and address of party to whom correspondence concerning document should be mailed:     Name: Jonathan P. Osha     OSHA LIANGUER   | 6. Total number of applications and patents involved:  1   |
| OSHA · LIANG LLP Internal Address: Atty. Dkt.: 12088/019001 Street Address: 1221 McKinney St., Suite 2800   | 7. Total fee (37 CFR 3.41) \$ 40.00  Enclosed  Authorized to be charged to deposit accompany and the second |
| City: State: Zip: Houston TX 77010  | (Form 2038 enclosed)  8. Deposit account number:  50-0591  (Attach duplicate copy of this page if paying by deposit account account account number:  |
| DO NOT USE  | THIS SPACE   |
| 9. Statement and signature.  To the best of my knowledge and belief, the foregoing in is a true copy of the original document.  To the best of my knowledge and belief, the foregoing in its atrue copy of the original document.   | formation is true and correct and any attached copy  |
| Name of Person Signing  | Signature Date   |
| Total number of pages including cover sheet, attac  | chments, and documents:  |
|   |  |
| I hereby certify that this paper (along with any paper referred to as being Express Mail, Airbill No. EV708377843US, on the date shown below in a MS Assignment Recordation Services, Director of the US Patent and Tra   | in envelope addressed to:  |

**PATENT** 

**REEL: 017369 FRAME: 0128** 

| Form PTO-1595   | CORDATION FORM COVER SHEET (continued)  |           |  |  |
|---|---|-----------|--|--|
| Additional Conveying Party(ies)   | (1. Continued):                         |           |  |  |
| Yuji Eguchi (6/2/2004), Junichiro Anzai (6/2/2004), and Yoshinori Nakano (6/2/2004) |   |           |  |  |
| Additional Assignees (2. Continu  | ued):                                   |           |  |  |
| Assignee Name: Internal Address: Street Address:                                    |   |           |  |  |
| City:   | State:                                  | Zip Code: |  |  |
| Assignee Name: Internal Address: Street Address:                                    |   |           |  |  |
| City:   | State:                                  | Zip Code: |  |  |
| Assignee Name: Internal Address: Street Address:                                    |   |           |  |  |
| City:   | State:                                  | Zip Code: |  |  |
| Additional Applications and/or Patents (4. Continued):                              |   |           |  |  |
| Additional Patent Application Numbers 4A. Continued:                                | Additional Patent Nui<br>4B. Continued: | mbers     |  |  |
|   |   |           |  |  |
| Additional numbers attached? Yes No   |   |           |  |  |

2

Docket No.: 12088/019001

7-2107

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 714979105 US, in an envelope addressed to: Mail Stop Assignment, Office of Initial Patent Examination, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date, shown below.

Signature:

Dated: June 7, 2005

Sarah I Howe

Docket No.: 12088/019001 (PATENT)

IN THE UNITED STATES PATE

In re Patent Application of: Shinichi Kawasaki et al.

Application No.: 10/500,317

Filed: June 28, 2004

For: PLASMA FILM FORMING SYSTEM

07-28-2005

103050377

Confirmation No.: 9863

Art Unit: 2812

Examiner: Not Yet Assigned

#### THIRD REQUEST FOR CORRECTED ASSIGNMENT RECORDAL

Mail Stop Assignment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a copy of the Corrected Notice of Recordation of Assignment Document as received from the U.S. Patent and Trademark Office. The third Assignor's name is listed backwards on the Notice. "Kitahata" is the Assignor's last name and "Hiroya" is the Assignor's first name. Applicant respectfully requests that the third Assignor's name be corrected from "Hiroya, Kitahata" to -- <u>Kitahata, Hiroya</u> -- as shown in the enclosed copy of:

- 1) the recordation form cover sheet which bears the third Assignor's name; and
- 2) the assignment which shows the third Assignor's name.

No petition is believed necessary. However, if a petition is deemed to be required, please consider this paper as such and charge the appropriate fees to the Deposit Account 50-0519, under Order No. 12088/019001.

109225 1

PATENT REEL: 017369 FRAME: 0130

Docket No.: 12088/019001 Application No.: 10/500,317

It there are any other charges, or any credits, please apply them to the same Deposit Account and reference number.

Dated: June 7, 2005

Respectfully submitted,

Jonathan P. Osha THOMAS SCHERER

Registration No.: 33,986 **OSHA** · LIANG LLP

1221 McKinney St., Suite 2800

Houston, Texas 77010

(713) 228-8600

(713) 228-8778 (Fax)

103290

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**PATENT REEL: 017369 FRAME: 0131** 

|  | - 1/100 DOUNGE ITU. 14000/017001  |  |  |
|--|---|--|--|
| OMS No. 0651-0027 (exp.f/31/2002)  | U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office                                   |  |  |
| POBAREVOS  |   |  |  |
| Tab settings → → ▼ 1028  | 64593 Shed original documents or conv thereof   |  |  |
| To the Director of the United States Patent and Trademark Onto   | 2. Name and address of receiving party(ies):  |  |  |
| (1) Shinichi KAWASAKI; (2) Sumio NAKATAKE; (3) May KATAHATA; (4) Setsuo NAKAJIMA; (5) Yuji EGUCHI; (6) Junichiro ANZAI; (7) Yoshinori NAKANO                               | Name: SEKISUI CHEMICAL CO., LTD.  Address: 4-4, Nishitemma 2-chome, Kita-ku               |  |  |
| Additional names(s) of conveying party(les)  | . 26  |  |  |
| 3. Nature of conveyance:   | 6-28-04   |  |  |
|  |   |  |  |
| ☐ Security Agreement ☐ Change of Name  | City: Osaka-shi State/Prov.: Osaka  |  |  |
| Other  | Country: JAPAN ZIP: 530-8565  |  |  |
| Execution Date: June 2, 2004   | Additional name(s) & address(es)  |  |  |
| Patent Application No. Filing date  September 2000 65 1 105 00337  | B. Patent No.(s)  |  |  |
| Additional numbers   | Yes No  |  |  |
| Name and address of party to whom correspondence concerning document should be mailed:   | 6. Total number of applications and patents involved:                                     |  |  |
| Name: Jonathan P. Osha   | 7. Total fee (37 CFR 3.41):\$ 40.00   |  |  |
| Registration No. 33,986  Address: OSHA & MAY L.L.P.  | ☑ Enclosed - Any excess or insufficiency should be credited or debited to deposit account |  |  |
| One Houston Center, Suite 2800   | ☐ Authorized to be charged to deposit account   |  |  |
| 1221 McKinney Street   | 8. Deposit account number:  |  |  |
| City: Houston State/Prov.: TX  | 50-0591   |  |  |
| Country: USA ZIP: 77010  | (Attach duplicate copy of this page if paying by deposit account)                         |  |  |
| DO NOT   | JSE THIS SPACE  |  |  |
| 9. Statement and signature.  To the best of my knowledge and belief, the foregoing information of the original document.  Tushus Successful 15,079  Name of Person Signing |   |  |  |

### ASSIGNMENT 譲波証

本証末尾に署名した者(以下、「発明者」という) は、適正・相当な対価を受領しており、それが十分な 対価であることを認識した上で、

(氏名または組織名)

(住所)

(以下「会社」という)と、その承継人および瞭受人 に対して、

(発明の名称)

という名称で知られ、アメリカ合衆国において末尾署名者が特許申請をした発明(以下、「発明」という)にかかるアメリカ合衆国(その属領、支配地を含むがこれに限らない)におけるすべての権利、権原および利権を、当該特許申請、その分割、継続、一部継続、再発行及び延長、並びに、それらに対して与えられる全ての米国特許証とともに譲渡する。かかる利権とは、「会社」、その承継人、譲受人、またはそれらの法的代理人の上記申請に対する、及び、特許証が与えられたときはそれに対する、本譲渡が行われなかった場合に「発明者」に対して特許証が与えられ、また延長されることにより享受しうると同一の期間にわたる完全な所有権を意味する。

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned individual(s) (referred to herein as "INVENTOR(S)") hereby assign, transfer and set over to:

SEKISUI CHEMICAL CO., LTD. having the following address:

4-4, Nishitemma 2-chome, Kita-ku, Osaka-shi, Osaka 530-8565 Japan

(referred to herein as "COMPANY"), its successors and assigns, the entire right, title, and interest for the United States of America (including without limitation its territories and possessions) in and to the invention known by the following title:

#### PLASMA FILM FORMING APPARATUS

for which the undersigned has/have executed an application for patent in the United States of America (referred to herein as "INVENTION"), together with said patent application, all divisions, continuations, continuations in part, reissues, and extensions thereof, and all United States Letters Patent which may be granted therefor. Such interest represents the entire ownership of said applications and Letters Patent when granted and is to be owned by COMPANY, its successors, and assigns, or their legal representatives, for the full and entire term for which such Letters Patent may be granted or extended, as fully and entirely as the same would have been enjoyed by the INVENTOR(S) if this assignment had not been made.

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PATENT REEL: 017369 FRAME: 0133

# OSHA NOVAK & MAY L.L.P.

さらに、本証末尾に署名した発明者は、それぞ れ、以下のとおり間意する。

- 1. 発明」に関するアメリカ合衆国における特許申 請の出願に関して、更に必要な又は望ましく、 適法かつ適切な書類に署名、執行すること。これには、上記申請及びそれらの分割、継続、一 部継続、修正を全て含み、更に、それらに関するインターフェアレンス手続、その他「会社」 が権限を保持するために必要もしくは望ましいものが含まれるが、その限りではない。
- 2. 工業所有権の保護に関する国際条約またはそれに類する条約や協定の下で優先権の主張その他のために必要な、書面、書類の執行及び合法的行為を遂行すること。
- 3. 有効かつ強制力のある特許を「会社」が得るために必要なあらゆる合法かつ積極的な行為をすること。

本証末尾に署名した「発明者」は、それぞれ、アメリカ合衆国特許商標庁長官に対して、当該特許申請(それに基づくすべての分割、継続、一部継続、再発行申請を含むがそれに限らない)から生じるすべての特許証を「会社」に対して発行するよう授権し、かつ、請願する。

本証末尾に署名した「発明者」は、それぞれ、本証 の登記にあたりアメリカ合衆国特許商標庁の規則 に従って必要もしくは望ましい識別情報を本譲渡 証に挿入する権限をローゼンタール・アンド・オーシャ法律事務所に授与する。

In addition, the undersigned INVENTOR(S) each hereby agrees:

- To sign and execute any further documents which
  may be necessary or desirable, lawful and proper
  in connection with the prosecution of all
  applications for patent(s) on the INVENTION in
  the United States, including without limitation
  said application and all divisions, continuations,
  continuations-in-part, amendments thereof, and
  all interference proceedings associated
  therewith, or otherwise necessary or desirable to
  secure the title thereto to COMPANY;
- To execute all papers and documents and to perform all lawful acts which may be necessary in connection with claims to priority or otherwise under the International Convention for the Protection of Industrial Property or similar treaties or agreements;
- To perform all lawful affirmative acts which may be necessary to obtain the grant of a valid and enforceable patent to COMPANY;

The undersigned INVENTOR(S) each hereby authorizes and requests the Commissioner of Patents and Trademarks in the United States to issue any and all Letters Patent resulting from said application, including without limitation any division, continuation, continuation-in-part, or reissue thereof to COMPANY.

The undersigned INVENTOR(S) each hereby grants to the firm of OSHA NOVAK & MAY L.L.P. the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the Unites States Patent and Trademark Office for the recordation of this document.

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| 以上を確認の上、             | 下記の者が下記署名に並んで記され |  |  |
|----------------------|------------------|--|--|
| た日に各自署名して本職波証を発効させた。 |                  |  |  |

**RECORDED: 07/21/2005** 

|              |                               | signature          |   |  |
|--------------|-------------------------------|--------------------|---|--|
|              | (署名)                          | June 2,2004        | Shinichi Kawasaki                       |  |
| 年月日          | 氏名(楷書):                       | Date               | Name: Shinichi KAWASAKI                 |  |
|              | (署名)                          | June 2, 2004       | Samo Nabotabe.                          |  |
| 年月日          | 氏名(楷書):                       | Date               | Name: Sumio NAKATAKE                    |  |
|              | (署名)                          | June 2, 2004       | Hiroza Kitafata                         |  |
| 年月日          | 氏名(楷書):                       | - Control Control  |   |  |
|              | (署名)                          | June 2, 2004 Date  | Name: Setsuo NAKAJIMA                   |  |
| 年月日          | 氏名(楷書):                       |                    | V S Facolis                             |  |
|              |                               | JUNE 2, 2004 Date  | Vuji Eguchi Name: Yuji Eguchi           |  |
| <br>年月日      | <u>【署名)</u><br>氏名(楷書):        | -                  | Janiela Amin                            |  |
| <b>-77 H</b> | からは (資金)・                     | June 2, 2004  Date | Junichuro Amai<br>Mame: Junichiro ANZAI |  |
|              | (署名)                          |                    | V (                                     |  |
| 年月日          | 氏名(楷書):                       | June 2, 2004 Date  | Name: Yoshinori NAKANO                  |  |
| 本籍渡証は        | tアメリカ合衆国のいずれかの州の公証人また         | This Assignmen     | nt may be signed before a Notary        |  |
| •            | 名する2名の証人の面前で署名されるものと          | Public for one o   | f the States of the United States or    |  |
| する。          |                               | before two witne   | sses who sign below:                    |  |
|              | (暑名)                          | June 2, 2004       | Motokazu Yuasa                          |  |
| 年月日          | 氏名(楷書):                       | Date               | Name: Motokazu YUASA                    |  |
|              | (署名)                          | June 2, 2004       | Takal Ohta                              |  |
| 年月日          | 氏名(楷書):                       | Date               | Name: Takae OHTA                        |  |
| •••••        |                               |                    | ••••••                                  |  |
|              | 特許申請書と同時に提出 <u>されなかった</u> 場合、 | Where this Assign  | ment is not filed concurrently with the |  |
| 下記識別情        | 報が <u>後に</u> 追加されるものとする。      | patent application | , the following identifying information |  |
| (国申請シ        | リアル番号:                        | may be added after | _execution:                             |  |
| <b>申請日:</b>  |                               | U.S. Application   | U.S. Application Serial No.:            |  |
| •••••        |                               | Filing Date:       |   |  |
| ocumentl1    |                               | 3                  | <i>y</i> • •                            |  |

PATENT REEL: 017369 FRAME: 0135

IN WITNESS WHEREOF, this Assignment

has been executed by each of the undersigned individuals on the date appearing by such individual's