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| FORM PTO-1595 (Modified) (Rev. 03-01)   | 006  | HEET   | U.S. DE  |   | OF COMMERCE                                   |
| (Rev. 03-01)<br>OMB No. 0851-0027 (exp.5/31/2002)<br>POBA/REV03   |  | 1  |  | Patent and  | Trademark Office                              |
| <i>Tab settings</i> → → ▼ . 1031495   |  | ▼  |  | •   | ▼   |
| To the Director of the United States Patent and Trademark O   |  | record the attach  | ed original do   | cuments or  | copy thereof.                                 |
| Name of conveying party(ies):     Masatoshi Tokushima   | 2. Name                                    | and address of   | receiving par  | rty(ies):   | 0   |
| Masatosiii 10kusiiiiia  | Name:                                      | NEC Corporatio   | n  |   | 7.7 €   |
|   | Address:                                   | 7-1, Shiba 5-cl  | home. Minato   | o-ku  | 0.s.  |
|   | / ladi coc.                                |  | ,  |   | ,56<br>/3:                                    |
| Additional names(s) of conveying party(ies)   |  |  |  |   | 133   |
| 3. Nature of conveyance:  |  |  |  |   |   |
| 🛛 Assignment 🔲 Merger   |  |  |  |   |   |
| ☐ Security Agreement ☐ Change of Name   | City: To                                   | kyo  | State/Prov   | <i>ı</i> .:   |   |
| ☐ Other   | _ Country:                                 | Japan  | Z  | IP: 108-8   | 001   |
| Franctico Data December 15, 2005  |  |  |  | _   | _   |
| Execution Date: December 15, 2005   | _   Additional ı                           | name(s) & address(   | es)  | ☐ Yes   | ⊠ No<br>                                      |
| 4. Application number(s) or patent numbers(s):  |  |  |  |   |   |
| If this document is being filed together with a new application,  | the execut                                 | ion date of the a  | pplication is:   | Decem   | ber 15, 2005                                  |
|   |  |  |  |   |   |
| Patent Application No. Filing date  |  | B. Patent No.(s)   | 1  |   |   |
| Additional numbers  |  | Yes ⊠ No   |  |   |   |
|   |  |  |  | atents invo   | olved: 1                                      |
| Additional numbers  5. Name and address of party to whom correspondence   | 6. Total r                                 | Yes ⊠ No   | eations and p  |   | olved: 1                                      |
| Additional numbers  5. Name and address of party to whom correspondence concerning document should be mailed:   | 6. Total r                                 | Yes 🛛 No<br>number of applic   | eations and pa   | .\$ 40.00   |   |
| Additional numbers  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Paul J. Esatto, Jr.  | 6. Total r 7. Total f                      | Yes 🛛 No<br>number of applic<br>ee (37 CFR 3.4   | eations and particular to the  | .\$ <u>40.00</u><br>ficiency sh   |   |
| Additional numbers  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Paul J. Esatto, Jr.  Registration No. 30,749  Address: SCULLY, SCOTT, MURPHY & PRESSER   | 6. Total r 7. Total f                      | Yes 🖾 No number of applic ee (37 CFR 3.4   | eations and particular and particula | .\$ 40.00 ficiency sh   | ould be                                       |
| Additional numbers  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Paul J. Esatto, Jr.  Registration No. 30,749   | 6. Total r 7. Total f                      | Yes 🛛 No number of applicate (37 CFR 3.41 aclosed - Any exceptited or debited  | eations and particular | .\$ 40.00 ficiency sh   | ould be                                       |
| Additional numbers  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Paul J. Esatto, Jr.  Registration No. 30,749  Address: SCULLY, SCOTT, MURPHY & PRESSER  400 Garden City Plaza - Suite 300  | 6. Total r 7. Total f                      | Yes 🛛 No number of applicate (37 CFR 3.4- aclosed - Any expedited or debited athorized to be continuous process.)  | eations and particular | .\$ 40.00 ficiency sh   | ould be                                       |
| 5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Paul J. Esatto, Jr.  Registration No. 30,749  Address: SCULLY, SCOTT, MURPHY & PRESSER  400 Garden City Plaza - Suite 300  City: Garden City State/Prov.: NY   | 6. Total r 7. Total f  Er cre AL 8. Depos  | Yes No number of applicate (37 CFR 3.4* aclosed - Any executed or debited athorized to be count number   | eations and particular | .\$ 40.00 ficiency sh ccount posit account  | ould be<br>unt                                |
| 5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Paul J. Esatto, Jr.  Registration No. 30,749  Address: SCULLY, SCOTT, MURPHY & PRESSER  400 Garden City Plaza - Suite 300  City: Garden City State/Prov.: NY  Country: USA ZIP: 11530  | 6. Total r 7. Total f Cre AL 8. Depos      | Yes No number of applicate (37 CFR 3.41 closed - Any extendited or debited athorized to be clit account number to duplicate copy of the duplicate copy of the number of the copy of the duplicate copy of the number of the copy of the number o | eations and particular | .\$ 40.00 ficiency sh ccount posit account  | ould be<br>unt                                |
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| 5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Paul J. Esatto, Jr.  Registration No. 30,749  Address: SCULLY, SCOTT, MURPHY & PRESSER  400 Garden City Plaza - Suite 300  City: Garden City State/Prov.: NY  Country: USA ZIP: 11530  DO NO  9. Statement and signature.  To the best of my knowledge and belief, the foregoing information.                    | 6. Total r 7. Total f                      | Yes No number of applicate (37 CFR 3.4* aclosed - Any exective and the count number of applicate copy of the space   | cations and particular particular page if paying the page if paying th | .\$ 40.00 ficiency sh ccount posit account  | ould be unt t account)                        |
| 5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Paul J. Esatto, Jr.  Registration No. 30,749  Address: SCULLY, SCOTT, MURPHY & PRESSER  400 Garden City Plaza - Suite 300  City: Garden City State/Prov.: NY  Country: USA ZIP: 11530  DO NO  9. Statement and signature.  To the best of my knowledge and belief, the foregoing infor of the original document. | 6. Total r 7. Total f X Er cru AL 8. Depos | Yes No number of applicate (37 CFR 3.42) aclosed - Any exceptited or debited atthorized to be continuated to be continua | cations and particles or insuffer to deposit a charged to deposit and any attack   | .\$ 40.00 ficiency shecount posit account posit account high by deposit hed copy is | ould be unt t account) s a true copy 19, 2005 |

PATENT REEL: 017375 FRAME: 0846

# Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, , the undersigned,

#### Masatoshi TOKUSHIMA of Tokyo, Japan

Hereby sell, assign and transfer to

**NEC** Corporation

a corporation

of Japan

having a place of business at

7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan

its successors, assigns and legal representatives, the entire right, title and interest for Vinited States, in and to any and all improvements which are disclosed and claimed, and as possessed by the undersigned, any and all improvements which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned

on

December 15, 2005

and is entitled

#### STRUCTURE OF PHOTONIC CRYSTAL

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed on any of said improvements disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said improvements;

Authorize and request the Commissioner of Patents to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said improvements, or any of them, disclosed in said application:

Agree that, when requested, without charge to but at the expense of said Assignee. its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will, for the United States, execute all divisional, continuing, substitute, renewal, reissue and all other patent applications on any

and all said improvements; execute all rightful oaths, assignments, powers of attorncy and other papers; communicate to said Assignee its successors, assigns and representatives, all facts known to the undersigned relating to said improvements and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and REEL

PATENT : 017375 FRAME: 0847

# Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, , the undersigned,

#### Masatoshi TOKUSHIMA of Tokyo, Japan

Hereby sell, assign and transfer to

**NEC Corporation** 

a corporation

of Japan

having a place of business at

7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan

its successors, assigns and legal representatives, the entire right, title and interest for United States, in and to any and all improvements which are disclosed and claimed, and as possessed by the undersigned, any and all improvements which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned

December 15, 2005

and is entitled

## STRUCTURE OF PHOTONIC CRYSTAL

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed on any of said improvements disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said improvements;

Authorize and request the Commissioner of Patents to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said improvements, or any of them, disclosed in said application;

Agree that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will, for the United States, execute all divisional, continuing, substitute, renewal, reissue and all other patent applications on any

and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee its successors, assigns and representatives, all facts known to the undersigned relating to said improvements and the history thereof; lestify in all legal proceedings; and generally do everything possible which said Assignee, its successors. securing and the securing of the securing in securing, maintaining and EEL: 017375 FRAME: 0848

# Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged.

, the undersigned,

#### Masatoshi TOKUSHIMA of Tokyo, Japan

Hereby sell, assign and transfer to

**NEC Corporation** 

a corporation

of Japan

having a place of business at

7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan

its successors, assigns and legal representatives, the entire right, title and interest for  $v_{nited}$  States, in and to any and all improvements which are disclosed and claimed, and as possessed by the undersigned, any and all improvements which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned

on

December 15, 2005

and is entitled

### STRUCTURE OF PHOTONIC CRYSTAL

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed on any of said improvements disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said improvements;

Authorize and request the Commissioner of Patents to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said improvements, or any of them, disclosed in said application;

Agree that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will, for the United States, execute all divisional, continuing, substitute, renewal, reissue and all other patent applications on any

PATENT REEL: 017375 FRAME: 0849 and all said improvements; execute all rightful onths, assignments, powers of attorncy and other papers; communicate to said Assignee its successors, assigns and representatives, all facts known to the undersigned relating to said improvements and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

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|-------------------------|-------------------------|
| Dale: December 13, 2003 | Masatoshi TOKUSHIMA     |
| Witness: Merete Himila  |                         |
| Alexanor                |                         |
| Jul Banco Z             |                         |
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|                         | (L.S.)                  |
| Date:                   |                         |
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**RECORDED: 12/19/2005** 

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